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# 中信建投証券股份有限公司 CSC FINANCIAL CO., LTD.

*(A joint stock company incorporated in the People's Republic of China with limited liability)*  
(Stock Code: 6066)

## ANNOUNCEMENT ON CHANGE IN SUPERVISORS

### PROPOSED APPOINTMENT OF SHAREHOLDER REPRESENTATIVE SUPERVISOR

The board of directors (the “**Board**”) of CSC Financial Co., Ltd. (the “**Company**”) hereby announces that on December 20, 2024, at the eighth meeting of the third session of the Supervisory Committee (the “**Supervisory Committee**”) of the Company, the *Resolution on the Nomination of a Shareholder Representative Supervisor of the Company* was passed. Pursuant to the resolution passed at the meeting of the Supervisory Committee of the Company, the Supervisory Committee announces that Ms. LIN Xuan (“**Ms. LIN**”) has been nominated as a shareholder representative supervisor candidate of the third session of the Supervisory Committee of the Company. Ms. LIN will officially assume office from the date on which the resolution on her appointment is elected and approved at the general meeting of the Company, with a term of office ending upon the term of the third session of the Supervisory Committee of the Company expires. The relevant resolution will be proposed at the general meeting of the Company for consideration and approval by the shareholders.

Biographical details of Ms. LIN are as follows:

**Ms. LIN Xuan** (林煊), born in February 1972, is the deputy secretary of the Party Committee and the administrative head of Human Resources Department of the Company. Ms. LIN joined the Company in November 2005, and has been serving as the administrative head of Human Resources Department since January 2022. Ms. LIN currently also serves as a vice chairwoman of talent development committee of the Securities Association of China and a member of National Committee of Chinese Financial Workers’ Union.

Ms. LIN served as the business director and assistant to general manager of the investment banking department and the merger and acquisition business department of Huaxia Securities Co., Ltd., and the assistant to general manager, director, executive director and managing director of Investment Banking Department, the administrative head of Investment Banking Internal Inspection Department and an employee representative supervisor of the Company.

Ms. LIN obtained a bachelor's degree in Economics from Renmin University of China, a master's degree in Economics from the Graduate School of the People's Bank of China (currently known as PBC School of Finance, Tsinghua University), and a doctor's degree in Economics from Graduate School of Chinese Academy of Social Sciences.

Save as disclosed above, Ms. LIN has confirmed that: (i) she held no directorships in other listed companies in the last three years, nor did she hold any positions within the Company or any of the subsidiaries thereof; (ii) she does not have any relationship with any Directors, supervisors, senior management or substantial or controlling shareholders of the Company; and (iii) she does not have any interest in the shares of the Company within the meaning under Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong).

Save as disclosed above, Ms. LIN has confirmed that there are no other matters related to her appointment that need to be brought to the attention of the shareholders of the Company, and there is no other information required to be disclosed pursuant to Rules 13.51(2)(h) to (v) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

Ms. LIN complies with the laws and regulations and the requirements of the stock exchange listing rules for the appointment of supervisor of listed securities companies in the place of the stock exchanges where the Company's shares are listed. Ms. LIN has no affiliated relationship with the substantial shareholders of the Company other than those stated in her biography. She does not hold any shares of the Company, and has not been subject to any punishment by securities regulatory authorities, governmental authorities and stock exchanges.

Upon approval of the appointment of Ms. LIN at the general meeting, the Company will sign an appointment letter with her. The remuneration of Ms. LIN shall be determined in accordance with the applicable remuneration management system of the Company.

A circular containing, among other things, details of the resolution to elect Ms. LIN as a shareholder representative supervisor of the Company, together with a notice of the general meeting, will be despatched to the shareholders in due course.

## **ELECTION OF EMPLOYEE REPRESENTATIVE SUPERVISOR**

The Board of the Company announces that, at the employee representative meeting of the Company (the "**Employee Representative Meeting**") held on December 20, 2024, Mr. DAI Bo ("**Mr. DAI**") was elected as employee representative supervisor of the third session of the Supervisory Committee of the Company.

Mr. DAI will officially assume office from the date on which the resolution on his appointment is elected and approved at the Employee Representative Meeting, with a term of office expiring when the term of the third session of the Supervisory Committee of the Company ends. After Mr. DAI's appointment is elected and approved by the Employee Representative Meeting, the Company will sign an appointment letter with him. Mr. DAI's remuneration shall be determined in accordance with the applicable remuneration management system of the Company.

The biographical details of Mr. DAI are set out as follows:

**Mr. DAI Bo (戴波)**, born in December 1971, is an employee representative supervisor, the administrative head of the Trading Department and the administrative head of the Derivatives Trading Department of the Company. Mr. DAI joined the Company in June 2010 and has been serving as the administrative head of the Derivatives Trading Department since November 2012 and concurrently as the administrative head of the Trading Department since July 2013, also as a director of China Futures Co., Ltd. (中信建投期貨有限公司) and a director of China Securities (International) Investment Company Limited (建投(海外)投資有限公司).

Mr. DAI was a senior researcher of the Research Department of CITIC Securities Co., Ltd. (中信証券股份有限公司), a research director of CITIC Fund Management Co., Ltd (中信基金管理有限責任公司), an executive general manager of the Asset Management Department of CITIC Securities Co., Ltd., an executive general manager and a managing director of the Trading Department of the Company, and the chairman and general manager of China Securities Investment Co., Ltd (中信建投投資有限公司).

Mr. DAI obtained a bachelor's degree in Engineering and a bachelor's degree in Economics from Tsinghua University, a master's degree in Business Administration from China Europe International Business School and a doctor's degree in Engineering from Tsinghua University.

Save as the information disclosed above, Mr. DAI has confirmed that: (i) he held no directorships in other listed companies in the last three years, nor did he hold any positions within the Company or any of the subsidiaries thereof; (ii) he does not have any relationship with any directors, supervisors, senior management or substantial or controlling shareholders of the Company; and (iii) he does not have any interest in the shares of the Company (as defined in Part XV of the Securities and Futures Ordinance, Chapter 571 of the Laws of Hong Kong);

Save as the information disclosed above, Mr. DAI has confirmed that, there are no other matters regarding his appointment required to be brought to the attention of the shareholders of the Company, nor is there any other information which is required to be disclosed pursuant to the requirements under Rules 13.51(2)(h) to (v) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

Mr. DAI complies with the laws, regulations and the requirements of the stock exchange listing rules for the appointment of supervisor of listed securities companies in the place of the stock exchanges where the Company's shares are listed. Mr. DAI has no affiliated relationship with the substantial Shareholders of the Company other than those stated in his biography. He does not hold any shares of the Company, and has not been subject to any punishment by securities regulatory authorities, governmental authorities and stock exchanges.

## **RESIGNATION OF EMPLOYEE REPRESENTATIVE SUPERVISOR**

Due to work arrangement, Ms. LIN will cease serving as the employee representative supervisor of the Company. Ms. LIN has confirmed that she has no disagreement with the Board and the Supervisory Committee of the Company, and there are no matters relating to her cessation of the employee representative supervisor of the Company that are required to be brought to the attention of The Stock Exchange of Hong Kong Limited and the shareholders of the Company.

By order of the Board  
**CSC Financial Co., Ltd.**  
**Wang Changqing**  
*Chairman*

Beijing, the PRC  
December 20, 2024

*As at the date of this announcement, the Executive Directors of the Company are Mr. WANG Changqing and Mr. JIN Jianhua; the Non-executive Directors of the Company are Mr. LI Min, Mr. YAN Xiaolei, Mr. LIU Yanming, Mr. YANG Dong, Ms. HUA Shurui and Ms. WANG Hua; and the Independent Non-executive Directors of the Company are Mr. PO Wai Kwong, Mr. LAI Guanrong, Mr. ZHANG Zheng, Mr. WU Xi and Mr. ZHENG Wei.*