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中信证券股份有限公司
CITIC Securities Company Limited

(A joint stock limited company incorporated in the People's Republic of China with limited liability)

(Stock Code: 6030)

ANNOUNCEMENT
CHANGE OF CHIEF COMPLIANCE OFFICER AND
CHIEF RISK OFFICER

The board of directors (the “**Board**”) of CITIC Securities Company Limited (the “**Company**”) hereby announces that Mr. ZHANG Guoming has recently submitted his resignation report to the Board, applying to resign as the Chief Compliance Officer and the Chief Risk Officer of the Company due to reaching retirement age. Mr. ZHANG Guoming has confirmed that he had no disagreement with the Board, and there are no matters in relation to his resignation that need to be brought to the attention of the shareholders, debtors of the Company and The Stock Exchange of Hong Kong Limited. As of the date of this announcement, he does not directly or indirectly hold any share of the Company. The Board would like to express its gratitude to Mr. ZHANG Guoming for his contribution to the development of the Company during his term of service.

On 28 August 2024, the Board resolved to (i) agree on Mr. ZHANG Guoming’s resignation as the Chief Compliance Officer and the Chief Risk Officer of the Company for reaching retirement age; (ii) agree to appoint Mr. ZHONG Fei to serve as the Chief Compliance Officer of the Company; (iii) agree to appoint Mr. YANG Haicheng to serve as the Chief Risk Officer of the Company; and (iv) authorize the operation management of the Company to handle the relevant procedures in relation to the aforesaid change of positions in accordance with relevant regulations. The changes mentioned above will be effective from the date of passing of the above-mentioned resolutions by the Board. Mr. ZHANG Guoming will cease to serve as the Chief Compliance Officer and the Chief Risk Officer of the Company. Terms of office of Mr. ZHONG Fei as the Chief Compliance Officer and Mr. YANG Haicheng as the Chief Risk Officer shall commence from the date of passing by the Board until the expiry of the term of the eighth session of the Board. Biographical details of Mr. ZHONG Fei and Mr. YANG Haicheng are set out as follows:

Mr. ZHONG Fei is a member of the Commission for Discipline Inspection and the head of the Audit Department of the Company. Mr. ZHONG joined the Company in 2000. Mr. ZHONG served as an auditor of Deloitte Touche Tohmatsu, an auditor, audit director, deputy head (in charge of work) and the head of the Audit Department, co-head of the Financial Planning Department of the Company, Co-CFO, head of the Treasury Department and CFO of CLSA. Mr. ZHONG obtained his bachelor’s degree in economics from the University of International Business and Economics in 1998, and his master’s degree in business administration from The Chinese University of Hong Kong in 2011. Mr. ZHONG was qualified as a CPA in the PRC in 2001.

Mr. YANG Haicheng is the head of the Risk Management Department of the Company. Mr. YANG joined the Company in 2006. Mr. YANG served as a derivative researcher in Haitong Securities Institution, the product development manager of the derivative business line, the investment manager and senior investment manager of the transaction and derivative department, the sales and structural director and deputy head of the equity derivatives business line, and the deputy head of the prime service business line of the Company. Mr. YANG also serves as a member of the risk management committee of the Securities Association of China. Mr. YANG obtained his bachelor's degree in science from Peking University in 2000, and his doctoral degree in science from the Graduate School of the Chinese Academy of Sciences in 2005.

As of the date of this announcement, Mr. ZHONG Fei and Mr. YANG Haicheng held no share of the Company and had no association with the directors, supervisors, senior management and shareholders holding more than 5% of the issued share capital of the Company.

By order of the Board
CITIC Securities Company Limited
ZHANG Youjun
Chairman

Beijing, the PRC
28 August 2024

As at the date of this announcement, the executive director of the Company is Mr. ZHANG Youjun; the non-executive directors of the Company are Mr. ZHANG Lin, Ms. FU Linfang, Mr. ZHAO Xianxin and Mr. WANG Shuhui; and the independent non-executive directors of the Company are Mr. LI Qing, Mr. SHI Qingchun and Mr. ZHANG Jianhua.