



盛洋投資

Gemini Investments (Holdings) Limited
(Incorporated in Hong Kong with limited liability)
(Stock Code: 174)

15 December 2023

Dear Shareholder(s),

Election of Means of Receipt and Language of Corporate Communications

Pursuant to Rules 2.07A and 2.07B of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited, the articles of association of Gemini Investments (Holdings) Limited (the “**Company**”) and the Companies Ordinance (Chapter 622 of the Laws of Hong Kong), the Company is making available to you the following options for receiving the Company’s future corporate communications (“**Corporate Communications**”), which includes any document(s) to be issued by the Company for the information or action of any holders of its securities, including but not limited to: (a) directors’ reports, annual financial statements together with the independent auditor’s reports and, where applicable, summary financial reports; (b) interim reports and, where applicable, summary interim reports; (c) notices of meetings; (d) listing documents; (e) circulars; and (f) proxy forms, in the following manner:

- (1) to access all future Corporate Communications through the website of the Company at www.geminiinvestments.com.hk (the “**Website Version**”), instead of receiving printed copies by post and to receive a notification letter either by post or by email for the publication of the relevant Corporate Communications on the Company’s website; or
- (2) to receive by post the printed English version of all future Corporate Communications only; or
- (3) to receive by post the printed Chinese version of all future Corporate Communications only; or
- (4) to receive by post both the printed English and Chinese versions of all future Corporate Communications.

In support of environmental protection and to increase efficiency in communication with you, the Company encourages and recommends you to select option (1) above to receive the Website Version of all Corporate Communications in future. Even if you have chosen the Website Version, you are entitled to change your choice at any time by providing reasonable notice (of not less than 7 days) in writing to the Company c/o its share registrar and transfer office in Hong Kong, Tricor Standard Limited (the “**Share Registrar**”), or by email to is-ecom@hk.tricorglobal.com.

Please fill in the enclosed reply form (the “**Reply Form**”) and sign and return it by mail or by hand delivery to the Company c/o the Share Registrar at 17/F, Far East Finance Centre, 16 Harcourt Road, Hong Kong, or by email to is-ecom@hk.tricorglobal.com **on or before 12 January 2024**.

If the Company does not receive the duly completed and signed Reply Form or a response indicating the objection from you in writing on or before 12 January 2024, you will be deemed, until you serve reasonable notice of not less than 7 days in writing by mail to the Company c/o the Share Registrar (at the address above) or by e-mail to is-ecom@hk.tricorglobal.com, to have consented to receive the Website Version of all future Corporate Communications, and a written notification for the publication of Corporate Communications on the website of the Company will be sent to you in future.

If you elect to receive the Website Version of future Corporate Communications, you are requested to provide an email address in the Reply Form for the purposes of receiving (i) email notifications for the publication of the relevant Corporate Communications on the website of the Company as and when Corporate Communications are published on the website of the Company and (ii) all future Actionable Corporate Communications^(Note) in electronic form from the Company. If no email address is provided by you in the Reply Form or if you are otherwise deemed to have consented to receive the Website Version of future Corporate Communications as described above, the Company will send to you by post, (i) a notification letter for the publication of Corporate Communications on the website of the Company and (ii) all future Actionable Corporate Communications in printed form, until such time when you have provided a valid and functional email address to the Company and/or the Share Registrar for receiving the same.

As Actionable Corporate Communications are important corporate communications that seek your instructions on how to exercise your rights or make an election as a shareholder of the Company, **it is of paramount importance to ensure that the email address to be provided by you in the Reply Form is valid and functional at all times; otherwise you may not be able to receive the Actionable Corporate Communications on time or at all so as to exercise your rights or make an election as a shareholder of the Company promptly within the timeframe required under the Actionable Corporate Communications.** If the Company has attempted to send the Actionable Corporate Communication in electronic form to the email address provided by you in the Reply Form and subsequently received a non-delivery message, the Company will re-send the relevant Actionable Corporate Communication in printed form (accompanied with a request for your functional email address to facilitate electronic dissemination of Actionable Corporate Communications in future) to your address as shown in the Company's register of members.

You are entitled to change the choice of means of receipt and language(s) of all future Corporate Communications at any time by serving reasonable notice (of not less than 7 days) in writing to the Company c/o the Share Registrar by mail (at the address above) or by email to is-ecom@hk.tricorglobal.com. Even if you have elected (or are deemed to have consented) to receive the Website Version of all future Corporate Communications, if for any reason you have difficulty in accessing the Corporate Communications published on the website of the Company, or wish to receive Corporate Communications in printed form, the Company or the Share Registrar will, upon receipt of a written request by mail (at the address above) or by email to is-ecom@hk.tricorglobal.com, promptly send you the Corporate Communications in printed form in the elected language versions(s) free of charge.

Please note that: (a) printed copies of both the English and Chinese versions all future Corporate Communications will be available from the Company or the Share Registrar upon request; and (b) of the Website Version of both the English and Chinese versions of all future Corporate Communications will also be available on the website of the Company at www.geminiinvestments.com.hk and the website of The Stock Exchange of Hong Kong Limited at www.hkexnews.hk.

Should you have any queries in relation to this letter, please call the enquiry hotline of the Share Registrar at (852) 2980 1333 during business hours from 9:00 a.m. to 6:00 p.m., Monday to Friday, excluding Hong Kong public holidays.

By order of the Board
Gemini Investments (Holdings) Limited
LAI Kwok Hung, Alex
Executive Director and Chief Executive Officer

Note :

“Actionable Corporate Communication” means any corporate communication from the Company that seeks instructions from its shareholders on how they wish to exercise their rights or make an election as the shareholders of the Company, including but not limited to, election forms in connection with a dividend payment, excess application forms in connection with a rights issue or open offer, application forms for assured entitlement under an open offer, acceptance forms in connection with takeovers, mergers and share buy-backs, and provisional allotment letters in connection with a rights issue.

Reply Form

To: **Gemini Investments (Holdings) Limited (the “Company”)** (Stock Code: 174)

c/o Tricor Standard Limited
17/F, Far East Finance Centre
16 Harcourt Road, Hong Kong

I/We would like to receive the Corporate Communications* of the Company in the manner as indicated below:

(Please mark “✓” in **ONLY ONE** of the following boxes.)

- to access all future Corporate Communications through the website of the Company at **www.geminiinvestments.com.hk** (the “**Website Version**”), instead of receiving printed copies by post and to receive an email notification to my/our email address as provided by me/us under the paragraph below (if any)^(Note 8), or a notification letter mailed to my/our address, for the publication of the relevant Corporate Communications on the website of the Company; or
(In connection with my/our above elected choice of receiving the Website Version of the Company’s future Corporate Communications, I/we would like to provide my/our email address^(Note 8) as below :-
email address : _____ (in legible handwriting and English BLOCK LETTERS)
for the purposes of receiving (i) all email notifications for the publication of the relevant Corporate Communications on the website of the Company, and (ii) all future Actionable Corporate Communications[#] in electronic form from the Company.)
- to receive by post the **printed English version** of all future Corporate Communications **ONLY**; or
- to receive by post the **printed Chinese version** of all future Corporate Communications **ONLY**; or
- to receive by post both the **printed English and Chinese versions** of all future Corporate Communications.

Name of Shareholder(s): _____ Contact telephone number: _____

Address: _____

Signature(s)^(Note 4): _____ Date: _____

Notes:

- Please complete all the items. If no box, or more than one box, is marked “✓”, or any signature or other information is incorrectly completed, the Company reserves its right to treat this Reply Form as void.
 - If the Company does not receive this Reply Form or a response from you on or before 12 January 2024, you will be deemed to have consented to receive the Website Version of all Corporate Communications, and a notification for the publication of Corporate Communications on the website of the Company will be sent to you in future.**
 - By electing to access the Website Version of the Corporate Communications published on the website of the Company instead of receiving printed copies by post, you have expressly consented to waive the right to receive the Corporate Communications in printed form.
 - If your shares are held in joint names, all joint shareholders must sign on this Reply Form in order to be valid.
 - The above instruction will apply to all future Corporate Communications to be sent to you until you, by serving reasonable prior written notice of not less than 7 days, inform the Share Registrar of the Company, Tricor Standard Limited, at 17/F, Far East Finance Centre, 16 Harcourt Road, Hong Kong by mail or by email to is-ecom@hk.tricorglobal.com.
 - You have the right at any time by serving reasonable prior written notice of not less than 7 days to the Share Registrar of the Company by mail (the address stated in note 5 above) or by email to is-ecom@hk.tricorglobal.com to change the choice of means of receipt and/or language(s) of future Corporate Communications.
 - For the avoidance of doubt, we do not accept any other special instructions written on this Reply Form.
 - Please provide the email address **in legible handwriting and English BLOCK LETTERS**. If no email address is provided, (i) a notification letter for the publication of Corporate Communications on the website of the Company, and (ii) all future Actionable Corporate Communications in printed form, will be sent to you by post at your address as it appears in the Company’s register of members. As Actionable Corporate Communications are important corporate communications that seek your instructions on how to exercise your rights or make an election as a shareholder of the Company, **it is of paramount importance to ensure that the email address to be provided by you above is valid and functional at all times; otherwise you may not be able to receive the Actionable Corporate Communications on time or at all so as to exercise your rights or make an election as a shareholder of the Company promptly within the timeframe required under the Actionable Corporate Communications**. If the Company has attempted to send the Actionable Corporate Communication in electronic form to the email address provided by you above and subsequently received a non-delivery message, the Company will re-send the relevant Actionable Corporate Communication in printed form (accompanied with a request for your functional email address to facilitate electronic dissemination of Actionable Corporate Communications in future) to your address as shown in the Company’s register of members.
 - Should you have any queries in relation to this Reply Form, please call the enquiry hotline of the Share Registrar (Tricor Standard Limited) at (852) 2980 1333 during business hours from 9:00 a.m. to 6:00 p.m., Monday to Friday, excluding Hong Kong public holidays.
- * Corporate Communications include but not limited to: (a) the directors’ reports, annual accounts together with a copy of the auditor’s reports and, where applicable, summary financial reports; (b) interim reports and, where applicable, summary interim reports; (c) notices of meetings; (d) listing documents; (e) circulars; and (f) proxy forms.
- # “**Actionable Corporate Communication**” means any corporate communication from the Company that seeks instructions from its shareholders on how they wish to exercise their rights or make an election as the shareholders of the Company, including but not limited to, election forms in connection with a dividend payment, excess application forms in connection with a rights issue or open offer, application forms for assured entitlement under an open offer, acceptance forms in connection with takeovers, mergers and share buy-backs, and provisional allotment letters in connection with a rights issue.

PERSONAL INFORMATION COLLECTION STATEMENT

“Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Chapter 486 of the Laws of Hong Kong (“PDPO”). Your supply of your Personal Data above is on a voluntary basis for the purposes of verifying and recording your choice of means of receipt and language of Corporate Communications of the Company and/or delivering those Corporate Communications (the “Purposes”). The Company may transfer your Personal Data to its agent, contractor, or third party service provider who provides administrative, computer or other services to the Company for use in connection with the Purposes and to such parties who are authorised by law to request the information or are otherwise relevant for the Purposes and need to receive the information. Your Personal Data will be retained for such period as may be necessary to fulfil the Purposes. Request for access to and/or correction of your Personal Data can be made in accordance with the provisions of the PDPO and any such request should be in writing by mail to the privacy compliance officer of Tricor Standard Limited at the above address.

(Please cut along the dotted line 請沿虛線剪下)

✂-----

Please cut the mailing label and stick this on an envelope to return the Reply Form to us.

No postage stamp is required if posted in Hong Kong

當閣下寄回此回條時，請將此郵寄標籤剪貼於信封上。
如在本港投寄，閣下無需支付郵費或貼上郵票

Mailing Label 郵寄標籤

Tricor Standard Limited
卓佳標準有限公司
Freepost No. 簡便回郵號碼：10 GPO
Hong Kong 香港