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Xinjiang Xinxin Mining Industry Co., Ltd.*

新疆新鑫礦業股份有限公司

(a joint stock limited company incorporated in the People's Republic of China with limited liability)

(Stock code: 3833)

ANNOUNCEMENT APPOINTMENT OF JOINT COMPANY SECRETARY

APPOINTMENT OF JOINT COMPANY SECRETARY

The Board is pleased to announce that Mr. Wu Ning (“**Mr. Wu**”) has been appointed as a joint company secretary of the Company (the “**Joint Company Secretary**”) with effect from 11 December 2023.

Mr. Lam Siu Wing (“**Mr. Lam**”), the current company secretary of the Company, will act as the other Joint Company Secretary with effect from 11 December 2023. Please refer to Appendix I to this announcement for the biographical details of Mr. Wu and Mr. Lam.

Waiver from strict compliance with Rules 3.28 and 8.17 of the Listing Rules

Pursuant to Rules 3.28 and 8.17 of the Rules Governing the Listing of Securities (the “**Listing Rules**”) on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”), the Company must appoint a company secretary who, by virtue of his/her academic or professional qualifications or relevant experience, is, in the opinion of the Stock Exchange, capable of discharging the functions of company secretary.

Given that Mr. Wu does not possess the requisite qualifications as required under the Listing Rules, his appointment as a Joint Company Secretary does not strictly comply with the requirements under Rules 3.28 and 8.17 of the Listing Rules. However, considering that (i) Mr. Wu has worked for securities companies and the Xinjiang SASAC (as defined hereinafter), and possesses relatively rich experience in the operation of capital markets, as well as knowledge and practical experience in relation to supervisory policies of state-owned assets in the People's Republic of China, he has

also participated in the restructuring projects of a number of mining companies, during which he has acquired considerable knowledge of the mining sector; and (ii) Mr. Wu resides in Urumqi, Xinjiang with close geographical proximity to the Company, Mr. Wu would therefore be able to act as nexus to the Board and an additional communication channel between Mr. Lam and the Company regarding any company secretarial matters, the Board is therefore of the view that the joint company secretaries arrangement is beneficial to the Company and through working alongside Mr. Lam, Mr. Wu is able to acquire the relevant experience (as required under Rule 3.28 of the Listing Rules) to discharge the duties and responsibilities as company secretary of the Company.

In light of the above, the Company has applied for, and the Stock Exchange has granted a waiver from strict compliance with the requirements under Rules 3.28 and 8.17 of the Listing Rules. The waiver is valid for a period of three years from the date of appointment of Mr. Wu as a Joint Company Secretary (i.e. 11 December 2023) (the “**Waiver Period**”), on the following conditions:

- (i) Mr. Wu must be assisted by Mr. Lam throughout the Waiver Period; and
- (ii) the waiver can be revoked if there are material breaches of the Listing Rules by the Company.

The Company should announce the reason, details and conditions of the waiver, and the qualifications of both Mr. Wu and Mr. Lam.

Before the end of the Waiver Period, the Company must seek the Stock Exchange’s confirmation that Mr. Wu, having had the benefit of Mr. Lam’s assistance during the Waiver Period, has attained the relevant experience and is capable of discharging the functions of company secretary under Rule 3.28 of the Listing Rules such that a further waiver will not be necessary. The Stock Exchange may withdraw and change the waiver if the Company’s situation changes.

The Board would like to take this opportunity to welcome Mr. Wu to his appointment.

The Board would also like to take this opportunity to update the shareholders of the Company that given Mr. Lam's concurrent roles as an independent non-executive director of several listed companies in Hong Kong as detailed in his biography in Appendix I to this announcement, and that it is not feasible for Mr. Lam to devote all of his time and take up a full-time position as the company secretary of the Company, Mr. Lam's employment contract with the Company has been revised such that Mr. Lam's role as a Joint Company Secretary of the Company would only be of a part-time nature.

By order of the Board
Xinjiang Xinxin Mining Industry Co., Ltd.*
Lam Siu Wing, Wu Ning
Joint Company Secretaries

Xinjiang, the PRC, 11 December 2023

As at the date of this announcement, the executive director of the Company is Mr. Qi Xinhui; the non-executive directors of the Company are Mr. Guo Quan, Mr. Zhou Chuanyou, Mr. Wang Lijian, Ms. Chen Yang and Mr. Hu Chengye; and the independent non-executive directors of the Company are Mr. Hu Benyuan, Mr. Wang Qingming and Mr. Lee Tao Wai.

* *For identification purpose only*

Appendix I

Mr. Wu Ning (武寧), aged 37, studied at Henan University of Technology from September 2005 to July 2009, and graduated with a bachelor degree, majoring in environmental engineering. From September 2014 to July 2017, he studied at Xinjiang University of Finance & Economics and graduated with a master degree, majoring in economics. Mr. Wu served compulsory military service from October 2009 to December 2011. From August 2017 to September 2023, he worked as a project manager for Shenwan Hongyuan Securities* and its subsidiary, Shenwan Hongyuan Securities (Western) Co., Ltd*. From October 2021 to October 2023, Mr. Wu held office as a deputy director under the Property Rights Management Office of the State-owned Assets Supervision and Administration Commission of the People’s Government of Xinjiang Uyghur Autonomous Region (the “**Xinjiang SASAC**”).

Mr. Lam Siu Wing (林兆榮), aged 63, has been appointed as the company secretary of the Company with effect from 14 October 2023. Mr. Lam has extensive experience in accounting, and business consulting. From 2004 to 2020, Mr. Lam was a partner of both PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers in Hong Kong (collectively “**PricewaterhouseCoopers**”). He has served as (i) an independent non-executive director of Greatpower Nickel And Cobalt Materials Co., Ltd. (上海格派鎳鈷材料股份有限公司) since June 2022, (ii) an independent non-executive director of Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co., Ltd. (上海復旦張江生物醫藥股份有限公司), whose shares are listed on the Stock Exchange (stock code: 1349), since May 2023; and (iii) an independent non-executive director of Suzhou Basecare Medical Corporation Limited (蘇州貝康醫療股份有限公司), whose shares are listed on the Stock Exchange (stock code: 2170), since July 2023. Mr. Lam graduated from Macquarie University in Australia with a bachelor degree of economics major in accounting in March 1985. In October 1989, he graduated from The University of New South Wales in Australia with a master degree of Commerce major in Finance. He is a fellow member of both Hong Kong Institute of Certified Public Accountants (HKICPA) and Chartered Accountants Australia and New Zealand (CAANZ, formerly known as the Institute of Chartered Accountants of Australia (ICAA)).

Mr. Lam has over 30 years of working experience in PricewaterhouseCoopers and has been a partner for 16 years. He has extensive experience in financial and audit practice. He has served many private and state-owned companies in their initial public offering in Hong Kong and annual report audits.

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