

INTERIM FINANCIAL STATEMENTS

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

GLOBAL X CHINA CLEAN ENERGY ETF

GLOBAL X CHINA CONSUMER BRAND ETF

GLOBAL X CHINA ELECTRIC VEHICLE AND BATTERY ETF

GLOBAL X CHINA ROBOTICS AND AI ETF

GLOBAL X CHINA SEMICONDUCTOR ETF

GLOBAL X CHINA GLOBAL LEADERS ETF

GLOBAL X CHINA INNOVATOR ACTIVE ETF

GLOBAL X ASIA SEMICONDUCTOR ETF

GLOBAL X CHINA E-COMMERCE AND LOGISTICS ETF

GLOBAL X CHINA GAMES AND ENTERTAINMENT ETF

GLOBAL X FINTECH ETF

GLOBAL X AUTONOMOUS AND ELECTRIC VEHICLES ETF

GLOBAL X ASIA INNOVATOR ACTIVE ETF

FOR THE SIX MONTHS ENDED 30 SEPTEMBER 2023

(SUB-FUNDS OF GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC)

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

Unaudited Condensed Interim Financial Statements

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IMPORTANT:

Any opinion expressed herein reflects the Manager's view only and is subject to change. For more information about the Global X Exchange Traded Funds Series OFC, please refer to the prospectus of Global X Exchange Traded Funds Series OFC which is available at our website: www.globalxetfshk.com.

Investors should not rely on the information contained in this report for their investment decisions.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF NET ASSETS

As at 30 September 2023 (unaudited)

	Notes	Global X Exchange Traded Funds Series OFC		Global X China Clean Energy ETF		Global X China Consumer Brand ETF		Global X China Electric Vehicle and Battery ETF		Global X China Robotics and AI ETF	
		As at 30 September 2023 (unaudited) HKD	As at 31 March 2023 (audited) HKD	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
ASSETS											
Financial assets at fair value through profit or loss	6(e), 11	–	–	789,669,594	1,282,578,540	533,530,035	670,299,479	1,754,595,568	2,766,584,772	127,574,072	172,443,864
Dividends receivable		–	–	45,000	–	270,152	–	966,849	–	–	–
Amounts due from brokers	7	–	–	–	–	–	–	–	–	–	–
Interest receivable from swap contracts		–	–	26,373	–	–	–	145,914	–	–	–
Bank balances	6(e)	–	–	2,902,904	2,538,628	1,992,959	2,576,416	33,197,020	8,206,886	457,985	974,457
TOTAL ASSETS		–	–	792,643,871	1,285,117,168	535,793,146	672,875,895	1,788,905,351	2,774,791,658	128,032,057	173,418,321
LIABILITIES											
Management fee payable	6(b)	–	–	450,062	756,856	315,306	383,869	1,046,802	1,643,989	75,088	92,508
Custodian fee payable	6(c)	–	–	107,080	107,080	41,093	41,093	199,853	199,853	16,349	16,349
Establishment costs payable	3(a)	–	–	108,527	108,527	36,984	36,984	244,068	244,068	16,320	16,320
Fees payable	6(d)(i)	–	–	204,995	204,995	69,861	69,861	461,018	461,018	26,115	26,115
Interest payable from swap contracts		–	–	–	–	5,128	–	–	–	–	–
Redemption payable	6(g)	–	–	–	–	–	–	30,405,088	–	–	–
Amounts due to brokers	7	–	–	–	–	–	–	–	–	–	–
Registration fee payable	6(f)	–	–	870,000	744,000	716,000	560,000	1,084,000	928,000	296,000	272,000
TOTAL LIABILITIES		–	–	1,740,664	1,921,458	1,184,372	1,091,807	33,440,829	3,476,928	429,872	423,292
EQUITY											
Net assets attributable to shareholders	3(a)	–	–	790,903,207	1,283,195,710	534,608,774	671,784,088	1,755,464,522	2,771,314,730	127,602,185	172,995,029
TOTAL LIABILITIES AND EQUITY		–	–	792,643,871	1,285,117,168	535,793,146	672,875,895	1,788,905,351	2,774,791,658	128,032,057	173,418,321

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2023 (unaudited)

	Notes	Global X China Semiconductor ETF		Global X China Global Leaders ETF		Global X China Innovator Active ETF		Global X Asia Semiconductor ETF		Global X China E-Commerce and Logistics ETF	
		As at 30 September 2023	As at 31 March 2023	As at 30 September 2023	As at 31 March 2023	As at 30 September 2023	As at 31 March 2023	As at 30 September 2023	As at 31 March 2023	As at 30 September 2023	As at 31 March 2023
		(unaudited) RMB	(audited) RMB	(unaudited) RMB	(audited) RMB	(unaudited) RMB	(audited) RMB	(unaudited) RMB	(audited) RMB	(unaudited) RMB	(audited) RMB
ASSETS											
Financial assets at fair value through profit or loss	6(e), 11	440,782,185	577,925,366	39,841,050	52,352,278	21,477,690	155,427,213	66,192,231	65,609,354	16,455,228	29,738,089
Dividends receivable		64,476	–	–	–	2,355	–	86,737	107,378	32,082	–
Amounts due from brokers	7	–	7,015	–	–	2,612	–	428,704	–	–	13,652
Interest receivable from swap contracts		29,822	–	–	–	–	–	–	–	–	–
Bank balances	6(e)	1,079,671	1,412,388	311,753	257,901	1,309,706	13,278,148	(226,236)	241,720	63,384	59,035
TOTAL ASSETS		441,956,154	579,344,769	40,152,803	52,610,179	22,792,363	168,705,361	66,481,436	65,958,452	16,550,694	29,810,776
LIABILITIES											
Management fee payable	6(b)	252,870	319,267	22,261	29,665	14,594	103,755	42,285	36,863	9,383	17,031
Custodian fee payable	6(c)	47,364	47,364	11,676	11,676	–	–	–	–	–	–
Establishment costs payable	3(a)	47,364	47,364	5,145	5,145	–	–	–	–	–	–
Fees payable	6(d)(i)	75,783	75,783	9,718	9,718	–	–	–	–	–	–
Interest payable from swap contracts		–	–	–	–	–	–	–	–	–	–
Redemption payable	6(g)	–	–	–	–	–	–	–	–	–	–
Amounts due to brokers	7	–	–	–	–	–	–	–	42	–	12
Registration fee payable	6(f)	678,000	642,000	182,000	158,000	468,000	378,000	108,000	83,999	48,000	36,000
TOTAL LIABILITIES		1,101,381	1,131,778	230,800	214,204	482,594	481,755	150,285	120,904	57,383	53,043
EQUITY											
Net assets attributable to shareholders	3(a)	440,854,773	578,212,991	39,922,003	52,395,975	22,309,769	168,223,606	66,331,151	65,837,548	16,493,311	29,757,733
TOTAL LIABILITIES AND EQUITY		441,956,154	579,344,769	40,152,803	52,610,179	22,792,363	168,705,361	66,481,436	65,958,452	16,550,694	29,810,776

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2023 (unaudited)

	Notes	Global X China Games and Entertainment ETF		Global X FinTech ETF		Global X Autonomous and Electric Vehicles ETF		Global X Asia Innovator Active ETF	
		As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
ASSETS									
Financial assets at fair value through profit or loss	6(e), 11	10,576,076	17,701,266	1,307,336	1,541,276	2,777,387	2,798,740	11,513,647	12,533,581
Dividends receivable		–	–	30	39	1,584	2,549	7,030	5,832
Amounts due from brokers	7	–	9	–	–	–	1	–	46,507
Interest receivable from swap contracts		–	–	–	–	–	–	–	–
Bank balances	6(e)	162,690	202,155	11,855	12,981	16,659	17,285	986,111	834,308
TOTAL ASSETS		10,738,766	17,903,430	1,319,221	1,554,296	2,795,630	2,818,575	12,506,788	13,420,228
LIABILITIES									
Management fee payable	6(b)	6,791	9,512	763	869	1,600	1,561	7,931	8,345
Custodian fee payable	6(c)	–	–	–	–	–	–	–	–
Establishment costs payable	3(a)	–	–	–	–	–	–	–	–
Fees payable	6(d)(i)	–	–	–	–	–	–	–	–
Interest payable from swap contracts		–	–	–	–	–	–	–	–
Redemption payable	6(g)	–	–	–	–	–	–	–	–
Amounts due to brokers	7	–	70,879	–	–	–	–	–	–
Registration fee payable	6(f)	102,000	90,000	9,000	8,000	12,000	12,000	24,000	24,000
TOTAL LIABILITIES		108,791	170,391	9,763	8,869	13,600	13,561	31,931	32,345
EQUITY									
Net assets attributable to shareholders	3(a)	10,629,975	17,733,039	1,309,458	1,545,427	2,782,030	2,805,014	12,474,857	13,387,883
TOTAL LIABILITIES AND EQUITY		10,738,766	17,903,430	1,319,221	1,554,296	2,795,630	2,818,575	12,506,788	13,420,228

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME

For the period ended 30 September 2023 (unaudited)

	Notes	Global X Exchange Traded Funds Series OFC		Global X China Clean Energy ETF		Global X China Consumer Brand ETF		Global X China Electric Vehicle and Battery ETF		Global X China Robotics and AI ETF	
		For the six months ended 30 September 2023	For the six months ended 30 September 2022	For the six months ended 30 September 2023	For the six months ended 30 September 2022	For the six months ended 30 September 2023	For the six months ended 30 September 2022	For the six months ended 30 September 2023	For the six months ended 30 September 2022	For the six months ended 30 September 2023	For the six months ended 30 September 2022
		(unaudited) HKD	(unaudited) HKD	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB
INCOME											
Dividend income		–	–	12,616,849	30,160,053	9,887,691	8,348,529	27,060,278	19,036,688	1,050,475	1,427,228
Interest income		–	–	11,344	22,974	4,471	4,131	28,214	43,407	2,280	2,206
Interest income from swap contracts		–	–	60,632	–	1,209	–	206,374	–	–	–
Net (loss)/gain on financial assets at fair value through profit or loss	5	–	–	(258,857,709)	(118,541,030)	(81,667,398)	(13,511,827)	(520,481,165)	(494,653,516)	(23,198,535)	(13,687,272)
Net exchange (loss)/gain		–	–	(3,058)	192,478	104,201	98,403	(352)	81,382	8,860	20,598
		<u>–</u>	<u>–</u>	<u>(246,171,942)</u>	<u>(88,165,525)</u>	<u>(71,669,826)</u>	<u>(5,060,764)</u>	<u>(493,186,651)</u>	<u>(475,492,039)</u>	<u>(22,136,920)</u>	<u>(12,237,240)</u>
EXPENSES											
Management fee	6(b)	–	–	(3,581,994)	(8,368,228)	(2,062,864)	(1,783,151)	(7,976,527)	(17,141,327)	(506,203)	(569,086)
Transaction costs on financial assets at fair value through profit or loss	6(a)	–	–	(1,378,248)	(2,640,997)	(658,025)	(456,474)	(3,240,253)	(4,153,466)	(106,510)	(168,609)
		<u>–</u>	<u>–</u>	<u>(4,960,242)</u>	<u>(11,009,225)</u>	<u>(2,720,889)</u>	<u>(2,239,625)</u>	<u>(11,216,780)</u>	<u>(21,294,793)</u>	<u>(612,713)</u>	<u>(737,695)</u>
Operating (loss)/profit		–	–	(251,132,184)	(99,174,750)	(74,390,715)	(7,300,389)	(504,403,431)	(496,786,832)	(22,749,633)	(12,974,935)
FINANCE COSTS											
Interest expense		–	–	(39)	(1,777)	(4,294)	(3,118)	–	(2,907)	–	(582)
(Loss)/profit before tax		–	–	(251,132,223)	(99,176,527)	(74,395,009)	(7,303,507)	(504,403,431)	(496,789,739)	(22,749,633)	(12,975,517)
Withholding tax on dividend income	9	–	–	(1,202,946)	(2,330,112)	(695,708)	(695,579)	(2,706,029)	(1,903,669)	(105,046)	(138,466)
(Loss)/profit after tax and total comprehensive income for the period		–	–	(252,335,169)	(101,506,639)	(75,090,717)	(7,999,086)	(507,109,460)	(498,693,408)	(22,854,679)	(13,113,983)

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Notes	Global X China Semiconductor ETF		Global X China Global Leaders ETF		Global X China Innovator Active ETF		Global X Asia Semiconductor ETF		Global X China E-Commerce and Logistics ETF	
		For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
INCOME											
Dividend income		1,744,969	5,845,531	663,095	1,071,728	448,150	1,364,248	1,298,762	1,424,951	937,883	858,977
Interest income		2,855	4,071	375	802	12,330	17,310	288	240	334	107
Interest income from swap contracts		36,813	–	–	–	–	–	–	–	–	–
Net (loss)/gain on financial assets at fair value through profit or loss	5	(91,176,125)	(144,186,825)	(3,773,475)	(9,418,800)	(9,748,833)	(30,523,021)	1,729,297	(27,292,295)	(2,090,915)	(697,533)
Net exchange (loss)/gain		(13,050)	(1,251)	4,333	20,366	19,942	(191,827)	(32,793)	1,315	2,969	11,719
		(89,404,538)	(138,338,474)	(3,105,672)	(8,325,904)	(9,268,411)	(29,333,290)	2,995,554	(25,865,789)	(1,149,729)	173,270
EXPENSES											
Management fee	6(b)	(1,754,139)	(2,381,177)	(158,306)	(210,758)	(239,990)	(760,438)	(250,578)	(296,624)	(83,248)	(79,491)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(390,483)	(351,342)	(47,168)	(92,791)	(276,547)	(611,501)	(26,753)	(18,686)	(19,623)	(13,467)
		(2,144,622)	(2,732,519)	(205,474)	(303,549)	(516,537)	(1,371,939)	(277,331)	(315,310)	(102,871)	(92,958)
Operating (loss)/profit		(91,549,160)	(141,070,993)	(3,311,146)	(8,629,453)	(9,784,948)	(30,705,229)	2,718,223	(26,181,099)	(1,252,600)	80,312
FINANCE COSTS											
Interest expense		–	(61,921)	–	(11)	–	–	(466)	(616)	–	(57)
(Loss)/profit before tax		(91,549,160)	(141,132,914)	(3,311,146)	(8,629,464)	(9,784,948)	(30,705,229)	2,717,757	(26,181,715)	(1,252,600)	80,255
Withholding tax on dividend income	9	(174,497)	(584,554)	(32,215)	(58,707)	(34,788)	(95,568)	(258,280)	(256,314)	(49,075)	(24,821)
(Loss)/profit after tax and total comprehensive income for the period		(91,723,657)	(141,717,468)	(3,343,361)	(8,688,171)	(9,819,736)	(30,800,797)	2,459,477	(26,438,029)	(1,301,675)	55,434

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Notes	Global X China Games and Entertainment ETF		Global X FinTech ETF	Global X Autonomous and Electric Vehicles ETF		Global X Asia Innovator Active ETF		
		For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) USD	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) RMB	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) RMB
INCOME									
Dividend income		103,205	147,247	4,943	9,534	24,013	37,202	104,672	107,979
Interest income		235	198	–	3	1	3	797	1,218
Interest income from swap contracts		–	–	–	–	–	–	–	–
Net (loss)/gain on financial assets at fair value through profit or loss	5	(2,183,166)	(2,841,833)	(29,218)	(1,592,874)	(33,337)	(1,471,254)	(945,966)	(6,618,906)
Net exchange (loss)/gain		2,182	(10,528)	(127)	(1,272)	(108)	(3,938)	(4,596)	(23,052)
		(2,077,544)	(2,704,916)	(24,402)	(1,584,609)	(9,431)	(1,437,987)	(845,093)	(6,532,761)
EXPENSES									
Management fee	6(b)	(52,454)	(52,872)	(5,132)	(10,952)	(9,875)	(18,443)	(49,313)	(81,325)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(10,670)	(16,660)	(95)	(1,885)	(202)	(3,208)	(5,942)	(27,970)
		(63,124)	(69,532)	(5,227)	(12,837)	(10,077)	(21,651)	(55,255)	(109,295)
Operating (loss)/profit		(2,140,668)	(2,774,448)	(29,629)	(1,597,446)	(19,508)	(1,459,638)	(900,348)	(6,642,056)
FINANCE COSTS									
Interest expense		–	(397)	–	(9)	–	(28)	(87)	–
(Loss)/profit before tax		(2,140,668)	(2,774,845)	(29,629)	(1,597,455)	(19,508)	(1,459,666)	(900,435)	(6,642,056)
Withholding tax on dividend income	9	(5,738)	(11,565)	(1,247)	(1,711)	(3,476)	(7,704)	(12,591)	(16,741)
(Loss)/profit after tax and total comprehensive income for the period		(2,146,406)	(2,786,410)	(30,876)	(1,599,166)	(22,984)	(1,467,370)	(913,026)	(6,658,797)

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS

For the period ended 30 September 2023 (unaudited)

	Notes	Global X Exchange Traded Funds Series OFC		Global X China Clean Energy ETF		Global X China Consumer Brand ETF		Global X China Electric Vehicle and Battery ETF		Global X China Robotics and AI ETF	
		For the six months ended 30 September 2023 (unaudited) HKD	For the six months ended 30 September 2022 (unaudited) HKD	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Balance at the beginning of the period		–	–	1,283,195,710	2,465,824,183	671,784,088	489,360,114	2,771,314,730	5,322,805,114	172,995,029	181,489,538
Issue of shares during the period											
- cash creation and cash component	4	–	–	–	203,989,009	38,259,707	63,824,612	16,934,998	128,802,855	–	1,942,517
Redemption of shares during the period											
- cash redemption and cash component	4	–	–	(239,957,334)	(351,306,789)	(100,344,304)	(30,630,169)	(525,675,746)	(838,491,479)	(22,538,165)	(27,423,935)
Net (redemption)/issue of units		–	–	(239,957,334)	(147,317,780)	(62,084,597)	33,194,443	(508,740,748)	(709,688,624)	(22,538,165)	(25,481,418)
(Loss)/profit after tax and total comprehensive income for the period		–	–	(252,335,169)	(101,506,639)	(75,090,717)	(7,999,086)	(507,109,460)	(498,693,408)	(22,854,679)	(13,113,983)
Balance at the end of the period		–	–	790,903,207	2,216,999,764	534,608,774	514,555,471	1,755,464,522	4,114,423,082	127,602,185	142,894,137
Number of shares in issue at beginning of period	3(b)	–	–	12,850,000	22,050,000	14,150,000	10,850,000	29,000,000	44,850,000	3,450,000	4,300,000
Issue of shares during the period (shares)	3(b)	–	–	–	1,700,000	850,000	1,300,000	200,000	1,100,000	–	50,000
Redemption of shares during the period (shares)	3(b)	–	–	(2,700,000)	(3,150,000)	(2,300,000)	(650,000)	(6,100,000)	(6,500,000)	(500,000)	(650,000)
Number of shares in issue at end of period	3(b)	–	–	10,150,000	20,600,000	12,700,000	11,500,000	23,100,000	39,450,000	2,950,000	3,700,000
Net asset value per share	3(b)	–	–	77.9215	107.6213	42.0952	44.7440	75.9941	104.2946	43.2550	38.6200

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Notes	Global X China Semiconductor ETF		Global X China Global Leaders ETF		Global X China Innovator Active ETF		Global X Asia Semiconductor ETF		Global X China E-Commerce and Logistics ETF	
		For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Balance at the beginning of the period		578,212,991	760,130,828	52,395,975	75,918,337	168,223,606	97,610,654	65,837,548	97,545,947	29,757,733	20,549,927
Issue of shares during the period											
- cash creation and cash component	4	–	45,912,849	–	6,173,422	16,217,828	256,571,220	6,663,094	4,407,203	–	4,073,689
Redemption of shares during the period											
- cash redemption and cash component	4	(45,634,561)	(85,410,932)	(9,130,611)	(27,186,872)	(152,311,929)	(129,072,808)	(8,628,968)	(3,922,440)	(11,962,747)	–
Net (redemption)/issue of units		(45,634,561)	(39,498,083)	(9,130,611)	(21,013,450)	(136,094,101)	127,498,412	(1,965,874)	484,763	(11,962,747)	4,073,689
(Loss)/profit after tax and total comprehensive income for the period		(91,723,657)	(141,717,468)	(3,343,361)	(8,688,171)	(9,819,736)	(30,800,797)	2,459,477	(26,438,029)	(1,301,675)	55,434
Balance at the end of the period		440,854,773	578,915,277	39,922,003	46,216,716	22,309,769	194,308,269	66,331,151	71,592,681	16,493,311	24,679,050
Number of shares in issue at beginning of period	3(b)	14,450,000	17,650,000	1,350,000	1,800,000	5,150,000	2,850,000	1,600,000	2,150,000	750,000	550,000
Issue of shares during the period (shares)	3(b)	–	1,100,000	–	150,000	500,000	7,600,000	150,000	100,000	–	100,000
Redemption of shares during the period (shares)	3(b)	(1,200,000)	(2,200,000)	(250,000)	(650,000)	(4,900,000)	(3,900,000)	(200,000)	(100,000)	(300,000)	–
Number of shares in issue at end of period	3(b)	13,250,000	16,550,000	1,100,000	1,300,000	750,000	6,550,000	1,550,000	2,150,000	450,000	650,000
Net asset value per share	3(b)	33.2721	34.9798	36.2927	35.5513	29.7464	29.6654	42.7943	33.2989	36.6518	37.9678

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Notes	Global X China Games and Entertainment ETF		Global X FinTech ETF		Global X Autonomous and Electric Vehicles ETF		Global X Asia Innovator Active ETF	
		For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) USD	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) RMB	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) RMB
Balance at the beginning of the period		17,733,039	16,616,517	1,545,427	–	2,805,014	–	13,387,883	–
Issue of shares during the period - cash creation and cash component	4	–	5,663,607	–	3,315,112	–	5,026,438	–	17,416,954
Redemption of shares during the period - cash redemption and cash component	4	(4,956,658)	(5,640,179)	(205,093)	(389,681)	–	(656,491)	–	–
Net (redemption)/issue of units		(4,956,658)	23,428	(205,093)	2,925,431	–	4,369,947	–	17,416,954
(Loss)/profit after tax and total comprehensive income for the period		(2,146,406)	(2,786,410)	(30,876)	(1,599,166)	(22,984)	(1,467,370)	(913,026)	(6,658,797)
Balance at the end of the period		10,629,975	13,853,535	1,309,458	1,326,265	2,782,030	2,902,577	12,474,857	10,758,157
Number of shares in issue at beginning of period	3(b)	500,000	550,000	400,000	–	450,000	–	400,000	–
Issue of shares during the period (shares)	3(b)	–	200,000	–	450,000	–	650,000	–	350,000
Redemption of shares during the period (shares)	3(b)	(150,000)	(200,000)	(50,000)	(100,000)	–	(100,000)	–	–
Number of shares in issue at end of period	3(b)	350,000	550,000	350,000	350,000	450,000	550,000	400,000	350,000
Net asset value per share	3(b)	30.3714	25.1882	3.7413	3.7893	6.1823	5.2774	31.1871	30.7376

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS

For the period ended 30 September 2023 (unaudited)

	Global X China Clean Energy ETF	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
	Note	
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(251,132,223)	(99,176,527)
Adjustments for:		
Dividend income	(12,616,849)	(30,160,053)
Interest income	(11,344)	(22,974)
Interest income from swap contracts	(60,632)	–
Interest expense	39	1,777
	<u>(263,821,009)</u>	<u>(129,357,777)</u>
Decrease in financial assets at fair value through profit or loss	492,908,946	250,119,728
Increase in amounts due from brokers	–	(473,633)
(Decrease)/increase in management fee payable	(306,794)	1,511,435
Increase in fee payable	–	1
Increase in amounts due to brokers	–	488,429
Increase in registration fee payable	126,000	210,000
Cash generated from operations	<u>228,907,143</u>	<u>122,498,183</u>
Dividends received, net of withholding tax	11,368,903	26,319,112
Interest received	11,344	22,974
Interest received from swap contracts	34,259	–
Interest paid	(39)	(1,777)
Net cash flows generated from operating activities	<u>240,321,610</u>	<u>148,838,492</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	–	203,989,009
Cash paid on redemption of shares	(239,957,334)	(313,201,794)
Net cash flows used in financing activities	<u>(239,957,334)</u>	<u>(109,212,785)</u>
NET INCREASE IN CASH AND CASH EQUIVALENTS	364,276	39,625,707
Cash and cash equivalents at the beginning of the period	<u>2,538,628</u>	<u>5,120,401</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD	<u>2,902,904</u>	<u>44,746,108</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>2,902,904</u>	<u>44,746,108</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Global X China Consumer Brand ETF	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
	Note	
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(74,395,009)	(7,303,507)
Adjustments for:		
Dividend income	(9,887,691)	(8,348,529)
Interest income	(4,471)	(4,131)
Interest income from swap contracts	(1,209)	–
Interest expense	4,294	3,118
	<u>(84,284,086)</u>	<u>(15,653,049)</u>
Decrease/(increase) in financial assets at fair value through profit or loss	136,769,444	(25,504,260)
(Decrease)/increase in management fee payable	(68,563)	306,837
Increase in registration fee payable	156,000	138,000
Cash generated from/(used in) operations	52,572,795	(40,712,472)
Dividends received, net of withholding tax	8,921,831	7,652,950
Interest received	4,471	4,131
Interest received from swap contracts	6,337	–
Interest paid	(4,294)	(3,118)
Net cash flows generated from/(used in) operating activities	<u>61,501,140</u>	<u>(33,058,509)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	38,259,707	63,824,612
Cash paid on redemption of shares	(100,344,304)	(30,630,169)
Net cash flows (used in)/generated from financing activities	<u>(62,084,597)</u>	<u>33,194,443</u>
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
	(583,457)	135,934
Cash and cash equivalents at the beginning of the period	<u>2,576,416</u>	<u>1,882,716</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>1,992,959</u>	<u>2,018,650</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>1,992,959</u>	<u>2,018,650</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X China Electric Vehicle and Battery ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Note		
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(504,403,431)	(496,789,739)
Adjustments for:		
Dividend income	(27,060,278)	(19,036,688)
Interest income	(28,214)	(43,407)
Interest income from swap contracts	(206,374)	–
Interest expense	–	2,907
	<u>(531,698,297)</u>	<u>(515,866,927)</u>
Decrease in financial assets at fair value through profit or loss	1,011,989,204	1,202,923,705
(Decrease)/increase in management fee payable	(597,187)	2,514,004
Increase in registration fee payable	156,000	190,000
Cash generated from operations	479,849,720	689,760,782
Dividends received, net of withholding tax	23,387,400	17,133,019
Interest received	28,214	43,407
Interest received from swap contracts	60,460	–
Interest paid	–	(2,907)
Net cash flows generated from operating activities	<u>503,325,794</u>	<u>706,934,301</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	16,934,998	128,802,855
Cash paid on redemption of shares	<u>(495,270,658)</u>	<u>(838,491,479)</u>
Net cash flows used in financing activities	<u>(478,335,660)</u>	<u>(709,688,624)</u>
NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS		
	24,990,134	(2,754,323)
Cash and cash equivalents at the beginning of the period	<u>8,206,886</u>	<u>13,380,662</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>33,197,020</u>	<u>10,626,339</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>33,197,020</u>	<u>10,626,339</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Global X China Robotics and AI ETF	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
	Note	
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(22,749,633)	(12,975,517)
Adjustments for:		
Dividend income	(1,050,475)	(1,427,228)
Interest income	(2,280)	(2,206)
Interest expense	–	582
	<u>(23,802,388)</u>	<u>(14,404,369)</u>
Decrease in financial assets at fair value through profit or loss	44,869,792	38,581,911
Decrease in amounts due from brokers	–	1,205,931
(Decrease)/increase in management fee payable	(17,420)	35,678
Increase in registration fee payable	24,000	48,000
Cash generated from operations	21,073,984	25,467,151
Dividends received, net of withholding tax	945,429	1,288,762
Interest received	2,280	2,206
Interest paid	–	(582)
Net cash flows generated from operating activities	<u>22,021,693</u>	<u>26,757,537</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	–	1,942,517
Cash paid on redemption of shares	(22,538,165)	(40,083,695)
Net cash flows used in financing activities	<u>(22,538,165)</u>	<u>(38,141,178)</u>
NET DECREASE IN CASH AND CASH EQUIVALENTS		
Cash and cash equivalents at the beginning of the period	974,457	12,178,016
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>457,985</u>	<u>794,375</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>457,985</u>	<u>794,375</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X China Semiconductor ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Note		
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(91,549,160)	(141,132,914)
Adjustments for:		
Dividend income	(1,744,969)	(5,845,531)
Interest income	(2,855)	(4,071)
Interest income from swap contracts	(36,813)	–
Interest expense	–	61,921
	<u>(93,333,797)</u>	<u>(146,920,595)</u>
Decrease in financial assets at fair value through profit or loss	137,143,181	180,626,513
Decrease in amounts due from brokers	7,015	27,735
(Decrease)/increase in management fee payable	(66,397)	287,293
Increase in registration fee payable	<u>36,000</u>	<u>174,000</u>
Cash generated from operations	43,786,002	34,194,946
Dividends received, net of withholding tax	1,505,996	5,260,977
Interest received	2,855	4,071
Interest received from swap contracts	6,991	–
Interest paid	–	(61,921)
Net cash flows generated from operating activities	<u>45,301,844</u>	<u>39,398,073</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	–	45,912,849
Cash paid on redemption of shares	<u>(45,634,561)</u>	<u>(74,711,612)</u>
Net cash flows used in financing activities	<u>(45,634,561)</u>	<u>(28,798,763)</u>
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
	<u>(332,717)</u>	<u>10,599,310</u>
Cash and cash equivalents at the beginning of the period	<u>1,412,388</u>	<u>2,575,190</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>1,079,671</u>	<u>13,174,500</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>1,079,671</u>	<u>13,174,500</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Global X China Global Leaders ETF	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
	Note	
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(3,311,146)	(8,629,464)
Adjustments for:		
Dividend income	(663,095)	(1,071,728)
Interest income	(375)	(802)
Interest expense	–	11
	<u>(3,974,616)</u>	<u>(9,701,983)</u>
Decrease in financial assets at fair value through profit or loss	12,511,228	29,631,234
(Decrease)/increase in management fee payable	(7,404)	7,319
Increase in custodian fee payable	–	1
Increase in registration fee payable	24,000	68,000
Cash generated from operations	8,553,208	20,004,571
Dividends received, net of withholding tax	630,880	916,991
Interest received	375	802
Interest paid	–	(11)
Net cash flows generated from operating activities	<u>9,184,463</u>	<u>20,922,353</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	–	6,173,422
Cash paid on redemption of shares	(9,130,611)	(27,186,872)
Net cash flows used in financing activities	<u>(9,130,611)</u>	<u>(21,013,450)</u>
NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS		
	53,852	(91,097)
Cash and cash equivalents at the beginning of the period	<u>257,901</u>	<u>331,297</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD	<u>311,753</u>	<u>240,200</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>311,753</u>	<u>240,200</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X China Innovator Active ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
	Note	
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(9,784,948)	(30,705,229)
Adjustments for:		
Dividend income	(448,150)	(1,364,248)
Interest income	(12,330)	(17,310)
	<u>(10,245,428)</u>	<u>(32,086,787)</u>
Decrease/(increase) in financial assets at fair value through profit or loss	133,949,523	(93,720,565)
Increase in amounts due from brokers	(2,612)	–
(Decrease)/increase in management fee payable	(89,161)	312,605
Increase in amounts due to brokers	–	1,461,541
Increase in registration fee payable	90,000	156,000
Cash generated from/(used in) operations	123,702,322	(123,877,206)
Dividends received, net of withholding tax	411,007	1,268,680
Interest received	12,330	17,310
Net cash flows generated from/(used in) operating activities	<u>124,125,659</u>	<u>(122,591,216)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	16,217,828	256,571,220
Cash paid on redemption of shares	(152,311,929)	(124,532,923)
Net cash flows (used in)/generated from financing activities	<u>(136,094,101)</u>	<u>132,038,297</u>
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
	(11,968,442)	9,447,081
Cash and cash equivalents at the beginning of the period	<u>13,278,148</u>	<u>8,270,428</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD	<u>1,309,706</u>	<u>17,717,509</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>1,309,706</u>	<u>17,717,509</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	Global X Asia Semiconductor ETF	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
	Note	
CASH FLOWS FROM OPERATING ACTIVITIES		
Profit/(loss) before tax		
Adjustments for:		
Dividend income		
Interest income		
Interest expense		
(Increase)/decrease in financial assets at fair value through profit or loss		
Increase in amounts due from brokers		
Increase in management fee payable		
Decrease in amounts due to brokers		
Increase in registration fee payable		
Cash generated from/(used in) operations		
Dividends received, net of withholding tax		
Interest received		
Interest paid		
Net cash flows generated from/(used in) operating activities		
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares		
Cash paid on redemption of units		
Net cash flows (used in)/generated from financing activities		
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
Cash and cash equivalents at the beginning of the period		
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e)	

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X China</u>	
	<u>E-Commerce and Logistics ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Note		
CASH FLOWS FROM OPERATING ACTIVITIES		
(Loss)/profit before tax	(1,252,600)	80,255
Adjustments for:		
Dividend income	(937,883)	(858,977)
Interest income	(334)	(107)
Interest expense	–	57
	<u>(2,190,817)</u>	<u>(778,772)</u>
Decrease/(increase) in financial assets at fair value through profit or loss	13,282,861	(4,042,728)
Decrease in amounts due from brokers	13,652	–
(Decrease)/increase in management fee payable	(7,648)	18,989
(Decrease)/increase in amounts due to brokers	(12)	166,161
Increase in registration fee payable	12,000	6,000
Cash generated from/(used in) operations	11,110,036	(4,630,350)
Dividends received, net of withholding tax	856,726	662,543
Interest received	334	107
Interest paid	–	(57)
Net cash flows generated from/(used in) operating activities	<u>11,967,096</u>	<u>(3,967,757)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	–	4,073,689
Cash paid on redemption of shares	(11,962,747)	–
Net cash flows (used in)/generated from financing activities	<u>(11,962,747)</u>	<u>4,073,689</u>
NET INCREASE IN CASH AND CASH EQUIVALENTS		
	4,349	105,932
Cash and cash equivalents at the beginning of the period	59,035	47,081
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>63,384</u>	<u>153,013</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>63,384</u>	<u>153,013</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X China</u> <u>Games and Entertainment ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Note		
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(2,140,668)	(2,774,845)
Adjustments for:		
Dividend income	(103,205)	(147,247)
Interest income	(235)	(198)
Interest expense	—	397
	<u>(2,244,108)</u>	<u>(2,921,893)</u>
Decrease in financial assets at fair value through profit or loss	7,125,190	2,774,032
Decrease in amounts due from brokers	9	—
(Decrease)/increase in management fee payable	(2,721)	7,180
(Decrease)/increase in amounts due to brokers	(70,879)	1,218,760
Increase in registration fee payable	12,000	30,000
Cash generated from operations	4,819,491	1,108,079
Dividends received, net of withholding tax	97,467	139,028
Interest received	235	198
Interest paid	—	(397)
Net cash flows generated from operating activities	<u>4,917,193</u>	<u>1,246,908</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	—	5,663,607
Cash paid on redemption of units	(4,956,658)	(5,640,179)
Net cash flows (used in)/generated from financing activities	<u>(4,956,658)</u>	<u>23,428</u>
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
	<u>(39,465)</u>	<u>1,270,336</u>
Cash and cash equivalents at the beginning of the period	202,155	102,634
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u><u>162,690</u></u>	<u><u>1,372,970</u></u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>162,690</u>	<u>1,372,970</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X FinTech ETF</u>	
	For the six months ended 30 September 2023 (unaudited) USD	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD
Note		
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(29,629)	(1,597,455)
Adjustments for:		
Dividend income	(4,943)	(9,534)
Interest income	–	(3)
Interest expense	–	9
	<u>(34,572)</u>	<u>(1,606,983)</u>
Decrease/(increase) in financial assets at fair value through profit or loss	233,940	(1,326,865)
(Decrease)/increase in management fee payable	(106)	2,953
Increase in registration fee payable	1,000	7,000
Cash generated from/(used in) operations	200,262	(2,923,895)
Dividends received, net of withholding tax	3,705	7,802
Interest received	–	3
Interest paid	–	(9)
Net cash flows generated from/(used in) operating activities	<u>203,967</u>	<u>(2,916,099)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	–	3,315,112
Cash paid on redemption of units	(205,093)	(389,681)
Net cash flows (used in)/generated from financing activities	<u>(205,093)</u>	<u>2,925,431</u>
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
	(1,126)	9,332
Cash and cash equivalents at the beginning of the period	<u>12,981</u>	<u>–</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD	<u>11,855</u>	<u>9,332</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>11,855</u>	<u>9,332</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X Autonomous and Electric Vehicles ETF</u>	
	For the six months ended 30 September 2023 (unaudited) USD	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD
Note		
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(19,508)	(1,459,666)
Adjustments for:		
Dividend income	(24,013)	(37,202)
Interest income	(1)	(3)
Interest expense	–	28
	<u>(43,522)</u>	<u>(1,496,843)</u>
Decrease/(increase) in financial assets at fair value through profit or loss	21,353	(2,895,318)
Decrease/(increase) in amounts due from brokers	1	(1)
Increase in management fee payable	39	5,517
Increase in registration fee payable	–	10,000
Cash used in operations	<u>(22,129)</u>	<u>(4,376,645)</u>
Dividends received, net of withholding tax	21,502	26,650
Interest received	1	3
Interest paid	–	(28)
Net cash flows used in operating activities	<u>(626)</u>	<u>(4,350,020)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of shares	–	5,026,438
Cash paid on redemption of units	–	(656,491)
Net cash flows generated from financing activities	<u>–</u>	<u>4,369,947</u>
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
	(626)	19,927
Cash and cash equivalents at the beginning of the period	<u>17,285</u>	<u>–</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>16,659</u>	<u>19,927</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>16,659</u>	<u>19,927</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

	<u>Global X Asia</u> <u>Innovator Active ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) RMB
Note		
CASH FLOWS FROM OPERATING ACTIVITIES		
Loss before tax	(900,435)	(6,642,056)
Adjustments for:		
Dividend income	(104,672)	(107,979)
Interest income	(797)	(1,218)
Interest expense	87	–
	<u>(1,005,817)</u>	<u>(6,751,253)</u>
Decrease/(increase) in financial assets at fair value through profit or loss	1,019,934	(9,872,705)
Decrease in amounts due from brokers	46,507	–
(Decrease)/increase in management fee payable	(414)	23,510
Increase in amounts due to brokers	–	105,608
Increase in registration fee payable	–	18,000
Cash generated from/(used in) operations	60,210	(16,476,840)
Dividends received, net of withholding tax	90,883	86,460
Interest received	797	1,218
Interest received	(87)	–
Net cash flows generated from/(used in) operating activities	<u>151,803</u>	<u>(16,389,162)</u>
CASH FLOWS FROM FINANCING ACTIVITY		
Cash received from issue of shares	–	17,416,954
Net cash flows generated from financing activity	<u>–</u>	<u>17,416,954</u>
NET INCREASE IN CASH AND CASH EQUIVALENTS	151,803	1,027,792
Cash and cash equivalents at the beginning of the period	<u>834,308</u>	<u>–</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD	<u>986,111</u>	<u>1,027,792</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(e) <u>986,111</u>	<u>1,027,792</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

1. GENERAL INFORMATION

Global X Exchange Traded Funds Series OFC (the “Company”) is a public umbrella open-ended fund company with variable capital and segregated limited liability between Sub-Funds, which was incorporated in Hong Kong under the Securities and Futures Ordinance (Cap. 571) of Hong Kong (the “SFO”) on 13 December 2019. The Company is constituted by way of the Instrument of Incorporation filed to the Companies Registry of Hong Kong on, and effective as of, 13 December 2019.

As at 30 September 2023, the Company has thirteen sub-funds, each a separate sub-fund of the Company, which are authorised by the Securities and Futures Commission of Hong Kong (the “SFC”) pursuant to section 104(1) of the SFO. The thirteen sub-funds, including Global X China Clean Energy ETF, Global X China Consumer Brand ETF, Global X China Electric Vehicle and Battery ETF, Global X China Robotics and AI ETF, Global X China Semiconductor ETF, Global X China Global Leaders ETF, Global X China Innovator Active ETF, Global X Asia Semiconductor ETF, Global X China E-Commerce and Logistics ETF, Global X China Games and Entertainment ETF, Global X FinTech ETF, Global X Autonomous and E Vehicle ETF and Global X Asia Innovator Active ETF are referred to individually or collectively as the “Sub-Fund(s)”. The Sub-Funds are listed on the Stock Exchange of Hong Kong Limited (the “SEHK”) (a subsidiary of The Hong Kong Exchanges and Clearing Limited) with details below:

<u>Name of Sub-Funds</u>	<u>Listing date on the SEHK</u>
Global X China Clean Energy ETF (“China Clean Energy ETF”)	17 January 2020
Global X China Consumer Brand ETF (“China Consumer Brand ETF”)	17 January 2020
Global X China Electric Vehicle and Battery ETF (“China Electric Vehicle and Battery ETF”)	17 January 2020
Global X China Robotics and AI ETF (“China Robotics and AI ETF”)	7 August 2020
Global X China Semiconductor ETF (“China Semiconductor ETF”)	7 August 2020
Global X China Global Leaders ETF (“China Global Leaders ETF”)	11 March 2021
Global X China Innovator Active ETF (“China Innovator Active ETF”)	11 March 2021
Global X Asia Semiconductor ETF (“Asia Semiconductor ETF”)	23 July 2021
Global X China E-Commerce and Logistics ETF (“China E-Commerce and Logistics ETF”)	23 July 2021
Global X China Games and Entertainment ETF (“China Games and Entertainment ETF”)	23 July 2021
Global X FinTech ETF (“FinTech ETF”)	10 December 2021
Global X Autonomous and Electric Vehicles ETF (“Autonomous and Electric Vehicles ETF”)	10 December 2021
Global X Asia Innovator Active ETF (“Asia Innovator Active ETF”)	10 December 2021

The Manager is responsible for the preparation of the financial statements.

A cash flow statement has not been presented as the Company does not operate a bank or cash account or hold any cash equivalents and has had no cash transactions during the accounting year. Accordingly, in the opinion of the directors, the presentation of a cash flow statement would provide no additional useful information to the users of the financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

1. GENERAL INFORMATION (CONTINUED)

China Clean Energy ETF

The objective of China Clean Energy ETF (United States dollar counter stock code: 9809, Hong Kong dollar counter stock code: 2809) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Clean Energy Index Net Total Return by investing all, or substantially all, of the assets of China Clean Energy ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Consumer Brand ETF

The objective of China Consumer Brand ETF (United States dollar counter stock code: 9806, Hong Kong dollar counter stock code: 2806) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Consumer Brand Index Net Total Return by investing all, or substantially all, of the assets of China Consumer Brand ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Electric Vehicle and Battery ETF

The objective of China Electric Vehicle and Battery ETF (United States dollar counter stock code: 9845, Hong Kong dollar counter stock code: 2845) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Electric Vehicle and Battery Index Net Total Return by investing all, or substantially all, of the assets of China Electric Vehicle and Battery ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Robotics and AI ETF

The objective of China Robotics and AI ETF (United States dollar counter stock code: 9807, Hong Kong dollar counter stock code: 2807) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Robotics and Artificial Intelligence Index by investing all, or substantially all, of the assets of China Robotics and AI ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Semiconductor ETF

The objective of China Semiconductor ETF (United States dollar counter stock code: 9191, Hong Kong dollar counter stock code: 3191) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Semiconductor Index by investing all, or substantially all, of the assets of China Semiconductor ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Global Leaders ETF

The objective of China Global Leaders ETF (Hong Kong dollar counter stock code: 3050) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Global Leaders Index by investing all, or substantially all, of the assets of China Global Leaders ETF in index securities in substantially the same weightings as constituted in the underlying index.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

1. GENERAL INFORMATION (CONTINUED)

China Innovator Active ETF

The objective of China Innovator Active ETF (Hong Kong dollar counter stock code: 3058) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are headquartered or incorporated in Mainland China, Hong Kong or Macau and are directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”).

Asia Semiconductor ETF

The objective of Asia Semiconductor ETF (Hong Kong dollar counter stock code: 3119) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet Asia Semiconductor Index by investing all, or substantially all, of the assets of Asia Semiconductor ETF in index securities in substantially the same weightings as constituted in the underlying index.

China E-Commerce and Logistics ETF

The objective of China E-Commerce and Logistics ETF (Hong Kong dollar counter stock code: 3124) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China E-commerce and Logistics Index by investing all, or substantially all, of the assets of China E-Commerce and Logistics ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Games and Entertainment ETF

The objective of China Games and Entertainment ETF (Hong Kong dollar counter stock code: 3117) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Games and Entertainment Index by investing all, or substantially all, of the assets of China Games and Entertainment ETF in index securities in substantially the same weightings as constituted in the underlying index.

FinTech ETF

The objective of FinTech ETF (Hong Kong dollar counter stock code: 3185) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Global FinTech Thematic Index by investing all, or substantially all, of the assets of FinTech ETF in index securities in substantially the same weighting as constituted in the underlying index.

Autonomous and Electric Vehicles ETF

The objective of Autonomous and Electric Vehicles ETF (Hong Kong dollar counter stock code: 2849) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive Autonomous and Electric Vehicles Index by investing all, or substantially all, of the assets of Autonomous and electric Vehicles ETF in index securities in substantially the same weightings as constituted in the underlying index.

Asia Innovator Active ETF

The objective of Asia Innovator Active ETF (Hong Kong dollar counter stock code: 3051) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are headquartered or incorporated in Mainland China, Hong Kong, Indonesia, India, Malaysia, Philippines, Singapore, Japan, South Korea, Taiwan and Thailand and are directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”).

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The condensed interim financial statements are unaudited and are prepared in accordance with International Accounting Standard (“IAS”) 34 Interim Financial Reporting, the relevant disclosure provisions in the Instrument of Incorporation dated on 13 December 2019 (the “Instrument of Incorporation”), the Code on Open-Ended Fund Companies of the SFC (the “OFC Code”) and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the SFC (the “UT Code”).

The unaudited condensed interim financial statements do not include all the information and disclosures required in the annual financial statements.

The principal accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the periods presented, unless otherwise stated.

(a)(i) Basis of preparation

The financial statements of the Sub-Funds have been prepared in accordance with International Financial Reporting Standards (“IFRSs”) as issued by the International Accounting Standards Board (“IASB”), and interpretations issued by the International Financial Reporting Interpretations Committee of the IASB, the relevant disclosure provisions in the Instrument of Incorporation, the Code on Open-Ended Fund Companies and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds (“UT Code”).

The financial statements have been prepared under the historical cost convention, except for financial assets classified as at fair value through profit or loss (“FVPL”) that have been measured at fair value. The financial statements are presented in Renminbi (“RMB”) for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF and Asia Innovator Active ETF and United States dollar (“USD”) for Autonomous and Electric Vehicles ETF and FinTech ETF. All values are rounded to the nearest RMB and USD respectively except where otherwise indicated.

All references to net assets or net asset value throughout the financial statements refer to net assets attributable to shareholders unless otherwise stated.

(a)(ii) Significant accounting judgements, estimates and assumptions

The preparation of financial statements, in conformity with IFRSs, requires the Manager to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts recognised in the financial statements and disclosure of contingent liabilities. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstances, the results of which form the basis of making the judgements about carrying values of assets and liabilities that are not readily apparent from other sources. Actual results may differ from these estimates.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(a)(iii) Changes in accounting policies and disclosures

There are no standards, amendments to standards or interpretations that are effective for annual periods beginning on 1 April 2023 that have a material effect on the financial statements of the Sub-Funds.

(a)(iv) Issued but not yet effective IFRSs

A number of new standards, amendments to standards and interpretations are effective for annual periods beginning after 1 April 2023 and have not been early adopted in preparing these financial statements. None of these are expected to have a material effect on the financial statements of the Sub-Funds.

(b) Financial instruments

(i) *Classification*

In accordance with IFRS 9, the Sub-Funds classify their financial assets and financial liabilities at initial recognition into the categories of financial assets and financial liabilities as set out below.

Financial assets

The Sub-Funds classify their financial assets as subsequently measured at amortised cost or measured at FVPL on the basis of both:

- The entity's business model for managing the financial assets; and
- The contractual cash flow characteristics of the financial asset

Financial assets measured at amortised cost

A debt instrument is measured at amortised cost if it is held within a business model whose objective is to hold financial assets in order to collect contractual cash flows and its contractual terms give rise on specified dates to cash flows that are solely payments of principal and interest ("SPPI") on the principal amount outstanding. The Sub-Funds include in this category short-term non-financing receivables including dividends receivables, interest receivable from swap contracts, amounts due from brokers and bank balances.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(i) *Classification* (continued)

Financial assets measured at FVPL

A financial asset is measured at FVPL if:

- (a) Its contractual terms do not give rise to cash flows on specified dates that are solely SPPI on the principal amount outstanding; or
- (b) It is not held within a business model whose objective is either to collect contractual cash flows, or to both collect contractual cash flows and sell; or
- (c) At initial recognition, it is irrevocably designated as measured at FVPL when doing so eliminates or significantly reduces a measurement or recognition inconsistency that would otherwise arise from measuring assets or liabilities or recognising the gains and losses on them on different bases.

The Sub-Funds include in this category:

- Instruments held for trading. This category includes equity instruments which are acquired principally for the purpose of generating a profit from short-term fluctuations in price.

Financial liabilities

Financial liabilities measured at amortised cost

This category includes all financial liabilities. The Sub-Funds include in this category management fee payable, custodian fee payable, establishment costs payable, fees payable, interest payable from swap contracts, redemption payable, amounts due to brokers and registration fee payable.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(ii) **Recognition**

The Sub-Funds recognise a financial asset or a financial liability when, and only when, they become a party to the contractual provisions of the instrument. Purchases and sales of financial assets at fair value through profit or loss are accounted for on the trade date basis.

(iii) **Initial measurement**

Financial assets at fair value through profit or loss are recorded in the statement of net assets at fair value. All transaction costs for such instruments are recognised directly in profit or loss.

Financial assets and liabilities (other than those classified as at fair value through profit or loss) are measured initially at their fair value plus or minus any directly attributable incremental costs of acquisition or issue.

(iv) **Subsequent measurement**

After initial measurement, the Sub-Funds measure financial instruments which are classified as at FVPL at fair value. Subsequent changes in the fair values of those financial instruments are recorded in “net change in unrealised gain/loss on financial assets at FVPL” in “net gain/(loss) on financial assets at FVPL. Interest and dividends earned on these instruments are recorded separately in “interest income”, “interest income from swap contracts” and “dividend income” in the statement of profit or loss and other comprehensive income.

Debt instruments, other than those classified as at FVPL, are measured at amortised cost using the effective interest method less any allowance for impairment. Gains and losses are recognised in profit or loss when the debt instruments are derecognised or impaired, as well as through the amortisation process.

Financial liabilities, other than those classified as at FVPL, are measured at amortised cost using the effective interest method. Gains and losses are recognised in profit or loss when the liabilities are derecognised, as well as through the amortisation process.

The effective interest method is a method of calculating the amortised cost of a financial asset or a financial liability and of allocating and recognising the interest income or interest expense in profit or loss over the relevant period. The effective interest rate is the rate that exactly discounts estimated future cash payments or receipts through the expected life of the financial asset or financial liability to the gross carrying amount of the financial asset or to the amortised cost of the financial liability. When calculating the effective interest rate, the Sub-Funds estimate cash flows considering all contractual terms of the financial instruments, but does not consider expected credit losses. The calculation includes all fees paid or received between parties to the contract that are an integral part of the effective interest rate, transaction costs and all other premiums or discounts.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(v) *Derecognition*

A financial asset is derecognised when the rights to receive cash flows from the financial asset have expired, or where the Sub-Funds have transferred their rights to receive cash flows from the financial asset, or have assumed an obligation to pay the received cash flows in full without material delay to a third party under a pass-through arrangement and either the Sub-Funds have transferred substantially all the risks and rewards of the asset or the Sub-Funds have neither transferred nor retained substantially all the risks and rewards of the financial asset, but has transferred control of the asset.

When the Sub-Funds have transferred its rights to receive cash flows from an asset (or has entered into a pass-through arrangement), and has neither transferred nor retained substantially all the risks and rewards of the asset nor transferred control of the asset, the asset is recognised to the extent of the Sub-Funds' continuing involvement in the asset. In that case, the Sub-Funds also recognise an associated liability. The transferred asset and the associated liability are measured on a basis that reflects the rights and obligations that the Sub-Funds have retained.

The Sub-Funds derecognise a financial liability when the obligation under the liability is discharged or cancelled, or expires.

(c) Fair value measurement

The Sub-Funds measure their investments in financial instruments at fair value at the end of each reporting period.

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The fair value measurement is based on the presumption that the transaction to sell the asset or transfer the liability takes place either:

- in the principal market for the asset or liability; or
- in the absence of a principal market, in the most advantageous market for the asset or liability.

The principal or the most advantageous market must be accessible by the Sub-Funds.

The fair value of an asset or a liability is measured using the assumptions that market participants would use when pricing the asset or liability, assuming that market participants act in their economic best interest.

The fair value for financial instruments that are listed or traded on an exchange is based on quoted last traded market prices that are within the bid-ask spread.

For all other financial instruments not traded in an active market, the fair value is determined using valuation techniques deemed to be appropriate in the circumstances. Valuation techniques include the market approach (i.e., using recent arm's length market transactions, adjusted as necessary, and reference to the current market value of another instrument that is substantially the same) and the income approach (i.e., discounted cash flow analysis and option pricing models making as much use of available and supportable market data as possible).

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(c) Fair value measurement (continued)

All assets and liabilities for which fair value is measured or disclosed in the financial statements are categorised within the fair value hierarchy, described as follows, based on the lowest level input that is significant to the fair value measurement as a whole:

- Level 1 — Quoted (unadjusted) market prices in active markets for identical assets or liabilities
- Level 2 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is directly or indirectly observable
- Level 3 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is unobservable

For assets and liabilities that are recognised in the financial statements on a recurring basis, the Sub-Funds determine whether transfers have occurred between levels in the hierarchy by re-assessing categorisation (based on the lowest level input that is significant to the fair value measurement as a whole) at the end of each reporting period.

(d) Derivative financial instruments

Derivative financial instruments are recorded on a mark-to-market basis. Fair values are determined by using quoted market prices that are within the bid-ask spread for futures contracts. All derivatives are carried as assets when amounts are receivable by the Sub-Funds and as liabilities when amounts are payable by the Sub-Funds. Unrealised gains and losses arising from changes in fair value and realised gains and losses are recognised in profit or loss.

(e) Income

Interest income is recognised in profit or loss on a time-proportionate basis using the effective interest method.

Dividend income on equity securities is recognised on the date on which the investments are quoted ex-dividend. Dividend income on equity securities where no ex-dividend date is quoted is accounted for when the Sub-Funds' right to receive payment is established. Dividend income is presented gross of any non-recoverable withholding taxes, which are disclosed separately in profit or loss.

(f) Expenses

Expenses are recognised on an accrual basis.

(g) Amount due from/to brokers

Amounts due from brokers represent receivables for securities sold (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial assets measured at amortised cost for recognition and measurement.

Amounts due to brokers are payables for securities purchased (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial liabilities measured at amortised cost for recognition and measurement.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(h) Cash and cash equivalents

Cash and cash equivalents in the statement of net assets comprise short-term deposits in banks which are readily convertible to known amounts of cash and are subject to an insignificant risk of changes in value.

For the purpose of the statement of cash flows, cash and cash equivalents consist of cash and cash equivalents as defined above, net of outstanding bank overdrafts when applicable.

(i) Translation of foreign currencies

Functional and presentation currency

Items included in the financial statements are measured using the currency of the primary economic environment in which the Sub-Funds operate (the “functional currency”). The performance of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF and Asia Innovator Active ETF are measured and reported to the shareholders in RMB. The performance of Autonomous and Electric Vehicles ETF and FinTech ETF are measured and reported to the shareholders in USD. The Manager considers the RMB and USD as the currencies that most faithfully represent the economic effects of the underlying transactions, events and conditions. The financial statements of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF and Asia Innovator Active ETF are presented in RMB and the financial statements of Autonomous and Electric Vehicles ETF and FinTech ETF are presented in USD respectively, which are the functional and presentation currencies.

Transactions and balances

Transactions during the period, including purchases and sales of securities, income and expenses, are translated at the rates of exchange prevailing on the dates of the transactions.

Monetary assets and liabilities expressed in foreign currencies are translated at the functional currency rates of exchange ruling at the end of the reporting period. Differences arising on settlement or transaction of monetary items are recognised in the statement of profit or loss and other comprehensive income.

Non-monetary items that are measured in terms of historical cost in a foreign currency are translated using the exchange rates at the dates of the initial transactions. Non-monetary items measured at fair value in a foreign currency are translated using the exchange rates at the date when the fair value is determined.

Foreign currency transaction gains and losses on financial instruments classified as at FVPL are included in profit or loss in the statement of profit or loss and other comprehensive income.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(j) Redeemable shares

Redeemable shares are classified as an equity instrument when:

- (i) The redeemable shares entitle the holder to a pro-rata share of the Sub-Funds' net assets in the event of the Sub-Fund's liquidation;
- (ii) The redeemable shares are in the class of instruments that is subordinate to all other classes of instruments;
- (iii) All redeemable shares in the class of instruments that is subordinate to all other classes of instruments have identical features;
- (iv) The redeemable shares do not include any contractual obligation to deliver cash or another financial asset other than the holder's rights to a pro-rata share of the Sub-Fund's net assets; or
- (v) The total expected cash flows attributable to the redeemable shares over the life of the instrument are based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds over the life of the instrument.

In addition to the redeemable shares having all the above features, the Sub-Funds must have no other financial instrument or contract that has:

- (i) Total cash flows based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds; and
- (ii) The effect of substantially restricting or fixing the residual return to the redeemable shareholders.

The Sub-Funds' redeemable shares meet the definition of puttable instruments classified as equity instruments under the revised IAS 32 and are classified as equity.

The Sub-Funds continuously assess the classification of the redeemable shares. If the redeemable shares cease to have all the features or meet all the conditions set out to be classified as equity, the Sub-Funds will reclassify them as financial liabilities and measure them at fair value at the date of reclassification, with any differences from the previous carrying amount recognised in equity. If the redeemable shares subsequently have all the features and meet the conditions to be classified as equity, the Sub-Funds will reclassify them as equity instruments and measure them at the carrying amount of the liabilities at the date of the reclassification.

Redeemable shares can be redeemed in-kind and/or in cash equal to a proportionate share of the Sub-Funds' net asset value ("NAV"). The Sub-Funds' net asset value per share is calculated by dividing the net assets attributable to shareholders with the total number of outstanding shares of the respective Sub-Funds. Shares are created or redeemed in multiples of 50,000 shares for the Sub-Funds.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(k) Impairment of financial assets

The Sub-Funds recognise an allowance for expected credit losses (“ECLs”) for all debt instruments not held at fair value through profit or loss. ECLs are based on the difference between the contractual cash flows due in accordance with the contract and all the cash flows that the Sub-Funds expect to receive, discounted at an approximation of the original effective interest rate. The expected cash flows will include cash flows from the sale of collateral held or other credit enhancements that are integral to the contractual terms.

ECLs are recognised in two stages. For credit exposures for which there has not been a significant increase in credit risk since initial recognition, ECLs are provided for credit losses that result from default events that are possible within the next 12 months (a 12-month ECL). For those credit exposures for which there has been a significant increase in credit risk since initial recognition, a loss allowance is required for credit losses expected over the remaining life of the exposure, irrespective of the timing of the default (a lifetime ECL).

The Sub-Funds may also consider a financial asset to be in default when internal or external information indicates that the Sub-Funds are unlikely to receive the outstanding contractual amounts in full before taking into account any credit enhancements held by the Sub-Funds. A financial asset is written off when there is no reasonable expectation of recovering the contractual cash flows.

(l) Net change in unrealised gains or losses on financial assets at fair value through profit or loss

This item includes changes in the fair value of financial assets as at fair value through profit or loss and excludes dividend income and interest expense.

Unrealised gains and losses comprise changes in the fair value of financial instruments for the period and from reversal of prior year unrealised gains and losses for financial instruments which were realised in the reporting period.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(m) Net realised gains or losses on disposal of financial assets

Net realised gains or losses on disposal of financial assets classified as at fair value through profit or loss are calculated using the weighted average method. They represent the difference between an instrument's average cost and disposal amount.

(n) Offsetting financial instruments

Financial assets and financial liabilities are offset and the net amount is reported in the statement of net assets if there is a currently enforceable legal right to offset the recognised amounts and there is an intention to settle on a net basis, or to realise the assets and settle the liabilities simultaneously.

(o) Taxes

In some jurisdictions, investment income and capital gains are subject to withholding tax deducted from the source of the income. The Sub-Funds present the withholding tax separately from the gross investment income in profit or loss. For the purpose of the statement of cash flows, cash inflows from investments are presented net of withholding taxes, when applicable.

(p) Distributions to shareholders

Distributions are at the discretion of the Manager. A distribution to the Sub-Funds' shareholders is accounted for as a deduction from net assets attributable to shareholders. A proposed distribution is recognised as a liability in the period in which it is approved by the Manager. The Manager of the Sub-Funds may at its discretion, pay dividend out of gross income while all or part of the fees and expenses of the relevant Sub-Funds are charged to/paid out of the capital of such Sub-Funds, resulting in an increase in distributable income for the payment of dividends by the relevant Sub-Funds. Therefore, the Sub-Funds may effectively pay dividend out of capital.

(q) Transaction costs

Transaction costs are costs incurred to acquire financial assets or liabilities at fair value through profit or loss. They include fees and commissions paid to agents, brokers and dealers. Transaction costs, when incurred, are immediately recognised in profit or loss as an expense.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(r) Related parties

A party is considered to be related to the Sub-Funds if:

- (a) the party is a person or a close member of that person's family and that person
 - (i) has control or joint control over the Sub-Funds;
 - (ii) has significant influence over the Sub-Funds; or
 - (iii) is a member of the key management personnel of the Sub-Funds or of a parent of the Sub-Funds;

or

- (b) the party is an entity where any of the following conditions applies:
 - (i) the entity and the Sub-Funds are members of the same group;
 - (ii) one entity is an associate or joint venture of the other entity (or of a parent, subsidiary or fellow subsidiary of the other entity);
 - (iii) the entity and the group are joint ventures of the same third party;
 - (iv) one entity is a joint venture of a third entity and the other entity is an associate of the third entity;
 - (v) the entity is a post-employment benefit plan for the benefit of employees of either the Sub-Fund or an entity related to the Sub-Funds;
 - (vi) the entity is controlled or jointly controlled by a person identified in (a);
 - (vii) a person identified in (a)(i) has significant influence over the entity or is a member of the key management personnel of the entity (or of a parent of the entity); and
 - (viii) the entity, or any member of a group of which it is a part, provides key management personnel services to the Sub-Funds or to the parent of the Sub-Funds.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES

(a) Net assets attributable to shareholders

The creation and redemption of shares of the Sub-Funds can only be facilitated by or through participating dealers. Investors other than the participating dealers make a request to create or redeem shares through a participating dealer, and if the investor is a retail investor, such request must be made through a stockbroker which has opened an account with a participating dealer.

The Company shall receive subscription proceeds from the participating dealers for the creation of shares and pay redemption proceeds for the redemption of shares to the relevant participating dealers in such form and manner as prescribed by the Instrument of Incorporation. Shares are created or redeemed in multiples of 50,000 shares for the Sub-Funds. Subscriptions and redemptions of shares during the period are shown on the statement of changes in net assets attributable to shareholders.

As stated in note 2(i), shares of the Sub-Funds, which are represented by assets less liabilities, are classified as equity and accounted for in accordance with IFRSs (the “Accounting NAV”). For the purpose of determining the NAV per share for subscriptions and redemptions and for various fee calculations (the “Dealing NAV”), the Administrator calculates the Dealing NAV in accordance with the provisions of the Instrument of Incorporation, which may be different from the accounting policies under IFRSs.

The establishment costs payable for the Sub-Funds which were payable to the Manager as at 30 September 2023 and 31 March 2023 are shown below. The amounts were interest-free, unsecured.

	Establishment costs payable	
	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
China Clean Energy ETF (in RMB)	108,527	108,527
China Consumer Brand ETF (in RMB)	36,984	36,984
China Electric Vehicle and Battery ETF (in RMB)	244,068	244,068
China Robotics and AI ETF (in RMB)	16,320	16,320
China Semiconductor ETF (in RMB)	47,364	47,364
China Global Leaders ETF (in RMB)	5,145	5,145
China Innovator Active ETF (in RMB)	–	–
Asia Semiconductor ETF (in RMB)	–	–
China E-Commerce and Logistics ETF (in RMB)	–	–
China Games and Entertainment ETF (in RMB)	–	–
FinTech ETF (in USD)	–	–
Autonomous and Electric Vehicles ETF (in USD)	–	–
Asia Innovator Active ETF (in RMB)	–	–

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(a) Net assets attributable to shareholders (continued)

The Accounting NAV and Dealing NAV for the Sub-Funds are different as at 30 September 2023 and 31 March 2023 as shown below:

	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Clean Energy ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>790,903,207</u>	<u>1,283,195,710</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Consumer Brand ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>534,608,774</u>	<u>671,784,088</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Electric Vehicle and Battery ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>1,755,464,522</u>	<u>2,771,314,730</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Robotics and AI ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>127,602,185</u>	<u>172,995,029</u>

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(a) Net assets attributable to shareholders (continued)

	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Semiconductor ETF</u>		
Accounting NAV as reporting in the statement of net assets	440,854,773	578,212,991
Adjustment for timing difference	–	685
Dealing NAV	<u>440,854,773</u>	<u>578,213,676</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Global Leaders ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>39,922,003</u>	<u>52,395,975</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Innovator Active ETF</u>		
Accounting NAV as reporting in the statement of net assets	22,309,769	168,223,606
Adjustment for timing difference	(2,891)	–
Dealing NAV	<u>22,306,878</u>	<u>168,223,606</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>Asia Semiconductor ETF</u>		
Accounting NAV as reporting in the statement of net assets	66,331,151	65,837,548
Adjustment for timing difference	–	42
Dealing NAV	<u>66,331,151</u>	<u>65,837,590</u>

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(a) Net assets attributable to shareholders (continued)

	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China E-Commerce and Logistics ETF</u>		
Accounting NAV as reporting in the statement of net assets	16,493,311	29,757,733
Adjustment for timing difference	–	75
Dealing NAV	<u>16,493,311</u>	<u>29,757,808</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Games and Entertainment ETF</u>		
Accounting NAV as reporting in the statement of net assets	10,629,975	17,733,039
Adjustment for timing difference	–	40
Dealing NAV	<u>10,629,975</u>	<u>17,733,079</u>
	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD
<u>FinTech ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>1,309,458</u>	<u>1,545,427</u>
	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD
<u>Autonomous and Electric Vehicles ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>2,782,030</u>	<u>2,805,014</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>Asia Innovator Active ETF</u>		
Accounting NAV as reporting in the statement of net assets	12,474,857	13,387,883
Adjustment for timing difference	–	1,145
Dealing NAV	<u>12,474,857</u>	<u>13,389,028</u>

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(b) Movement of shares

The table below summarises the movement of shares for the period/year ended 30 September 2023 and 31 March 2023, and the Accounting NAV per share and the Dealing NAV per share for each of the Sub-Funds as at 30 September 2023 and 31 March 2023.

For the period ended 30 September 2023 (unaudited)

	Number of shares				Net assets attributable to shareholders	
	Shares in issue at beginning of period	Creation during the period	Redemption during the period	Shares in issue at end of period	Accounting NAV	Dealing NAV
					Per share at period end	Per share at period end
China Clean Energy ETF	12,850,000	–	(2,700,000)	10,150,000	RMB77.9215	RMB77.9215
China Consumer Brand ETF	14,150,000	850,000	(2,300,000)	12,700,000	RMB42.0952	RMB42.0952
China Electric Vehicle and Battery ETF	29,000,000	200,000	(6,100,000)	23,100,000	RMB75.9941	RMB75.9941
China Robotics and AI ETF	3,450,000	–	(500,000)	2,950,000	RMB43.2550	RMB43.2550
China Semiconductor ETF	14,450,000	–	(1,200,000)	13,250,000	RMB33.2721	RMB33.2721
China Global Leaders ETF	1,350,000	–	(250,000)	1,100,000	RMB36.2927	RMB36.2927
China Innovator Active ETF	5,150,000	500,000	(4,900,000)	750,000	RMB29.7464	RMB29.7425
Asia Semiconductor ETF	1,600,000	150,000	(200,000)	1,550,000	RMB42.7943	RMB42.7943
China E-Commerce and Logistics ETF	750,000	–	(300,000)	450,000	RMB36.6518	RMB36.6518
China Games and Entertainment ETF	500,000	–	(150,000)	350,000	RMB30.3714	RMB30.3714
FinTech ETF	400,000	–	(50,000)	350,000	USD3.7413	USD3.7413
Autonomous and Electric Vehicles ETF	450,000	–	–	450,000	USD6.1823	USD6.1823
Asia Innovator Active ETF	400,000	–	–	400,000	RMB31.1871	RMB31.1871

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(b) Movement of shares (continued)

For the period ended 31 March 2023 (audited)

	Number of shares				Net assets attributable to shareholders	
	Shares in issue at beginning of year/period	Creation during the year/period	Redemption during the year/period	Shares in issue at end of year/period	Accounting NAV Per share at period year/end	Dealing NAV Per share at period year/end
China Clean Energy ETF	22,050,000	1,750,000	(10,950,000)	12,850,000	RMB99.8596	RMB99.8596
China Consumer Brand ETF	10,850,000	4,600,000	(1,300,000)	14,150,000	RMB47.4759	RMB47.4759
China Electric Vehicle and Battery ETF	44,850,000	1,150,000	(17,000,000)	29,000,000	RMB95.5626	RMB95.5626
China Robotics and AI ETF	4,300,000	50,000	(900,000)	3,450,000	RMB50.1435	RMB50.1435
China Semiconductor ETF	17,650,000	1,100,000	(4,300,000)	14,450,000	RMB40.0147	RMB40.0148
China Global Leaders ETF	1,800,000	350,000	(800,000)	1,350,000	RMB38.8118	RMB38.8118
China Innovator Active ETF	2,850,000	8,500,000	(6,200,000)	5,150,000	RMB32.6648	RMB32.6648
Asia Semiconductor ETF	2,150,000	100,000	(650,000)	1,600,000	RMB41.1485	RMB41.1485
China E-Commerce and Logistics ETF	550,000	200,000	–	750,000	RMB39.6770	RMB39.6771
China Games and Entertainment ETF	550,000	300,000	(350,000)	500,000	RMB35.4661	RMB35.4662
FinTech ETF ¹	–	500,000	(100,000)	400,000	USD3.8636	USD3.8636
Autonomous and Electric Vehicles ETF ¹	–	650,000	(200,000)	450,000	USD6.2334	USD6.2334
Asia Innovator Active ETF ¹	–	400,000	–	400,000	RMB33.4697	RMB33.4726

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

4. CREATION AND REDEMPTION

China Clean Energy ETF

During the period ended 30 September 2023, China Clean Energy ETF:

- issued nil shares (2022: 1,700,000 shares) in exchange for a cash balance of RMBnil (2022: RMB203,989,009); and
- redeemed 2,700,000 shares (2022: 3,150,000 shares) in exchange for a cash balance of RMB239,957,334 (2022: RMB351,306,789).

China Consumer Brand ETF

During the period ended 30 September 2023, China Consumer Brand ETF:

- issued 850,000 shares (2022: 1,300,000 shares) in exchange for a cash balance of RMB38,259,707 (2022: RMB63,824,612); and
- redeemed 2,300,000 shares (2022: 650,000 shares) in exchange for a cash balance of RMB100,344,304 (2022: RMB30,630,169).

China Electric Vehicle and Battery ETF

During the period ended 30 September 2023, China Electric Vehicle and Battery ETF:

- issued 200,000 shares (2022: 1,100,000 shares) in exchange for a cash balance of RMB16,934,998 (2022: RMB128,802,855); and
- redeemed 6,100,000 shares (2022: 6,500,000 shares) in exchange for a cash balance of RMB525,675,746 (2022: RMB838,491,479).

China Robotics and AI ETF

During the period ended 30 September 2023, China Robotics and AI ETF:

- issued nil shares (2022: 50,000 shares) in exchange for a cash balance of RMBnil (2022: RMB1,942,517); and
- redeemed 500,000 shares (2022: 650,000 shares) in exchange for a cash balance of RMB22,538,165 (2022: RMB27,423,935).

China Semiconductor ETF

During the period ended 30 September 2023, China Semiconductor ETF:

- issued nil shares (2022: 1,100,000 shares) in exchange for a cash balance of RMBnil (2022: RMB45,912,849); and
- redeemed 1,200,000 shares (2022: 2,200,000 shares) in exchange for a cash balance of RMB45,634,561 (2022: RMB85,410,932).

China Global Leaders ETF

During the period ended 30 September 2023, China Global Leaders ETF:

- issued nil shares (2022: 150,000 shares) in exchange for a cash balance of RMBnil (2022: RMB6,173,422); and
- redeemed 250,000 shares (2022: 650,000 shares) in exchange for a cash balance of RMB9,130,611 (2022: RMB27,186,872).

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

4. CREATION AND REDEMPTION (CONTINUED)

China Innovator Active ETF

During the period ended 30 September 2023, China Innovator Active ETF:

- Issued 500,000 shares (2022: 7,600,000 shares) in exchange for a cash balance of RMB16,217,828 (2022: RMB256,571,220); and
- redeemed 4,900,000 shares (2022: 3,900,000 shares) in exchange for a cash balance of RMB152,311,929 (2022: RMB129,072,808).

Asia Semiconductor ETF

During the period ended 30 September 2023, Asia Semiconductor ETF:

- issued 150,000 shares (2022: 100,000 shares) in exchange for a cash balance of RMB6,663,094 (2022: RMB4,407,203); and
- redeemed 200,000 shares (2022: 100,000 shares) in exchange for a cash balance of RMB8,628,968 (2022: RMB3,922,440).

China E-Commerce and Logistics ETF

During the period ended 30 September 2023, China E-Commerce and Logistics ETF:

- issued nil shares (2022: 100,000 shares) in exchange for a cash balance of RMBnil (2022: RMB4,073,689); and
- redeemed 300,000 shares (2022: nil shares) in exchange for a cash balance of RMB11,962,747 (2022: RMBnil).

China Games and Entertainment ETF

During the period ended 30 September 2023, China Games and Entertainment ETF:

- issued nil shares (2022: 200,000 shares) in exchange for a cash balance of RMBnil (2022: RMB5,663,607); and
- redeemed 150,000 shares (2022: 200,000 shares) in exchange for a cash balance of RMB4,956,658 (2022: RMB5,640,179).

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

4. CREATION AND REDEMPTION (CONTINUED)

FinTech ETF

During the period ended 30 September 2023, Asia Semiconductor ETF:

- issued nil shares (2022¹: 450,000 shares) shares in exchange for a cash balance of USDnil (2022¹: USD3,315,112); and
- redeemed 50,000 shares (2022¹: 100,000 shares) shares in exchange for a cash balance of USD205,093 (2022¹: USD389,681).

Autonomous and Electric Vehicles ETF

During the period ended 30 September 2023, China Innovator Active ETF:

- issued nil shares (2022¹: 650,000 shares) shares in exchange for a cash balance of USDnil (2022¹: USD5,026,438); and
- redeemed nil shares (2022¹: 100,000 shares) shares in exchange for a cash balance of USDnil (2022¹: USD656,491).

Asia Innovator Active ETF

During the period ended 30 September 2023, China E-Commerce and Logistics ETF:

- issued nil shares (2022¹: 350,000 shares) shares in exchange for a cash balance of RMBnil (2022¹: RMB17,416,954); and
- redeemed nil shares (2022¹: nil shares) shares in exchange for a cash balance of RMBnil (2022¹: RMBnil).

¹The financial period of these Sub-Funds in 2022 refers to the period from 9 December 2021 (date of inception) to 30 September 2022.

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5. NET GAIN/LOSS ON FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

The following is a breakdown of net gain/loss on financial assets at fair value through profit or loss:

	<u>China Clean Energy ETF</u>		<u>China Consumer Brand ETF</u>		<u>China Electric Vehicle and Battery ETF</u>		<u>China Robotics and AI ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Net realised (loss)/gain on sale of financial assets at fair value through profit or loss	(145,410,544)	91,566,137	(26,859,952)	(63,192,476)	(692,947,495)	122,960,906	(6,695,920)	(18,248,957)
Net change in unrealised (loss)/gain on financial assets at fair value through profit or loss	<u>(113,447,165)</u>	<u>(210,107,167)</u>	<u>(54,807,446)</u>	<u>49,680,649</u>	<u>172,466,330</u>	<u>(617,614,423)</u>	<u>(16,502,615)</u>	<u>4,561,685</u>
	<u>(258,857,709)</u>	<u>(118,541,030)</u>	<u>(81,667,398)</u>	<u>(13,511,827)</u>	<u>(520,481,165)</u>	<u>(494,653,517)</u>	<u>(23,198,535)</u>	<u>(13,687,272)</u>
	<u>China Semiconductor ETF</u>		<u>China Global Leaders ETF</u>		<u>China Innovator Active ETF</u>		<u>Asia Semiconductor ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Net realised (loss)/gain on sale of financial assets at fair value through profit or loss	(69,157,546)	(31,681,495)	(3,637,014)	(7,713,527)	(18,885,101)	(21,208,583)	(2,273,087)	(5,051,913)
Net change in unrealised (loss)/gain on financial assets at fair value through profit or loss	<u>(22,018,579)</u>	<u>(112,505,330)</u>	<u>(136,461)</u>	<u>(1,705,273)</u>	<u>9,136,268</u>	<u>(9,314,438)</u>	<u>4,002,384</u>	<u>(22,240,382)</u>
	<u>(91,176,125)</u>	<u>(144,186,825)</u>	<u>(3,773,475)</u>	<u>(9,418,800)</u>	<u>(9,748,833)</u>	<u>(30,523,021)</u>	<u>1,729,297</u>	<u>(27,292,295)</u>

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS

Related parties are those as defined in note 2(q). Related parties of the Sub-Funds also include the Custodian and the Manager of the Sub-Funds and its connected persons. Connected persons of the Custodian and the Manager are those as defined in the UT Code. All transactions entered into during the period between the Sub-Funds and their related parties, including the Custodian, the Manager and their connected persons were carried out in the ordinary course of business and on normal commercial terms. To the best of the Manager's knowledge, the Sub-Funds do not have any other transactions with their related parties, including the Custodian, the Manager and their connected persons except for those disclosed below.

(a) Brokerage commission

The Sub-Funds utilise the trading services of brokers who are related to the Custodian and the Manager in their purchases and sales of investments. The details of such transactions and the brokerage commission are shown below:

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>China Clean Energy ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	455,053,107	31.86%	249,191	0.05%
Mirae Asset Securities (HK) Limited*	633,593,890	44.35%	215,082	0.03%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	624,560,077	23.54%	366,093	0.06%
Mirae Asset Securities (HK) Limited*	397,566,867	14.98%	226,626	0.06%
<u>China Consumer Brand ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	458,294,116	36.82%	159,560	0.03%
Mirae Asset Securities (HK) Limited*	87,141,632	7.00%	46,615	0.05%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	26,532,950	2.66%	12,763	0.05%
Mirae Asset Securities (HK) Limited*	41,356,587	4.15%	21,501	0.05%
<u>China Electric Vehicle and Battery ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	29,391,618	0.92%	17,635	0.06%
Mirae Asset Securities (HK) Limited*	990,832,681	31.09%	594,500	0.06%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	977,115,908	29.93%	586,086	0.06%
Mirae Asset Securities (HK) Limited*	899,480,453	27.55%	539,688	0.06%
<u>China Robotics and AI ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	75,132,206	63.97%	43,463	0.06%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	20,843,751	12.65%	11,927	0.06%
Mirae Asset Securities (HK) Limited*	28,943,463	17.56%	17,366	0.06%

[#]Affiliate of the Custodian

*Affiliate of the Manager

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(a) Brokerage commission (continued)

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>China Semiconductor ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A.#	134,724,542	28.60%	72,122	0.05%
Mirae Asset Securities (HK) Limited*	148,584,767	31.54%	89,035	0.06%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A.#	17,525,040	5.78%	10,431	0.06%
Mirae Asset Securities (HK) Limited*	81,658,138	26.94%	48,772	0.06%
<u>Global X China Global Leaders ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A.#	26,625,727	43.97%	12,054	0.05%
Mirae Asset Securities (HK) Limited*	5,989,426	9.89%	3,035	0.05%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A.#	5,809,015	5.22%	1,788	0.03%
Mirae Asset Securities (HK) Limited*	41,661,512	37.42%	22,888	0.05%
<u>Global X China Innovator Active ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A.#	37,879,700	10.68%	16,972	0.04%
Mirae Asset Securities (HK) Limited*	37,607,599	10.60%	17,625	0.05%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A.#	93,423,404	10.29%	42,765	0.05%
Mirae Asset Securities (HK) Limited*	219,699,985	24.19%	105,805	0.05%
<u>Global X Asia Semiconductor ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A.#	7,071,681	10.83%	1,648	0.02%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A.#	8,195,841	13.36%	1,175	0.01%
<u>Global X China E-Commerce and Logistics ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A.#	1,024,083	2.40%	249	0.02%
Mirae Asset Securities (HK) Limited*	7,333,046	17.18%	3,492	0.05%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A.#	1,296,050	3.76%	526	0.04%
Mirae Asset Securities (HK) Limited*	2,669,263	7.74%	1,194	0.04%

#Affiliate of the Custodian

*Affiliate of the Manager

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(a) Brokerage commission (continued)

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>Global X China Games and Entertainment ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	95,100	0.33%	20	0.02%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	1,343,913	4.11%	553	0.04%
Mirae Asset Securities (HK) Limited [*]	1,943,537	5.94%	921	0.05%
<u>Global X FinTech ETF (in USD)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	114,119	21.76%	17	0.01%
<i>For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	3,280,599	41.27%	997	0.03%
<u>Global X Autonomous and Electric Vehicles ETF (in USD)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	90,428	8.05%	10	0.01%
<i>For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	6,996,891	55.33%	1,951	0.03%
<u>Global X Asia Innovator Active ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. [#]	223,921	1.83%	83	0.04%
<i>For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited):</i>				
Citibank, N.A. [#]	21,593,002	32.55%	8,543	0.04%

[#]Affiliate of the Custodian

^{*}Affiliate of the Manager

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(b) Management fee

The Manager is entitled to receive a management fee, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears.

	<u>Maximum rate of the net asset value in %</u>	<u>Annual rate of the net asset value in %</u>	
		For the period ended 30 September 2023 (unaudited)	For the period ended 30 September 2022 (unaudited)
China Clean Energy ETF	2%	0.68%	0.68%
China Consumer Brand ETF	2%	0.68%	0.68%
China Electric Vehicle and Battery ETF	2%	0.68%	0.68%
China Robotics and AI ETF	2%	0.68%	0.68%
China Semiconductor ETF	2%	0.68%	0.68%
China Global Leaders ETF	2%	0.68%	0.68%
China Innovator Active ETF	2%	0.75%	0.75%
Asia Semiconductor ETF	2%	0.68%	0.68%
China E-Commerce and Logistics ETF	2%	0.68%	0.68%
China Games and Entertainment ETF	2%	0.68%	0.68%
FinTech ETF ¹	2%	0.68%	0.68%
Autonomous and Electric Vehicles ETF ¹	2%	0.68%	0.68%
Asia Innovator Active ETF ¹	2%	0.75%	0.75%

The management fee entitled to the Manager for the period ended 30 September 2023 and 30 September 2022 are as follows:

	<u>Management fee</u>	
	For the period ended 30 September 2023 (unaudited)	For the period ended 30 September 2022 (unaudited)
China Clean Energy ETF (in RMB)	3,581,994	8,368,228
China Consumer Brand ETF (in RMB)	2,062,864	1,783,151
China Electric Vehicle and Battery ETF (in RMB)	7,976,527	17,141,327
China Robotics and AI ETF (in RMB)	506,203	569,086
China Semiconductor ETF (in RMB)	1,754,139	2,381,177
China Global Leaders ETF (in RMB)	158,306	210,758
China Innovator Active ETF (in RMB)	239,990	760,438
Asia Semiconductor ETF (in RMB)	250,578	296,624
China E-Commerce and Logistics ETF (in RMB)	83,248	79,491
China Games and Entertainment ETF (in RMB)	52,454	52,872
FinTech ETF (in USD) ¹	5,132	10,952
Autonomous and Electric Vehicles ETF (in USD) ¹	9,875	18,443
Asia Innovator Active ETF (in RMB) ¹	49,313	81,325

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 30 September 2022, commence from 9 December 2021 (date of inception) to 30 September 2022.

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(b) Management fee (continued)

The management fee payable as at 30 September 2023 and 31 March 2023 are as follows:

	<u>Management fee payable</u>	
	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
China Clean Energy ETF (in RMB)	450,062	756,856
China Consumer Brand ETF (in RMB)	315,306	383,869
China Electric Vehicle and Battery ETF (in RMB)	1,046,802	1,643,989
China Robotics and AI ETF (in RMB)	75,088	92,508
China Semiconductor ETF (in RMB)	252,870	319,267
China Global Leaders ETF (in RMB)	22,261	29,665
China Innovator Active ETF (in RMB)	14,594	103,755
Asia Semiconductor ETF (in RMB)	42,285	36,863
China E-Commerce and Logistics ETF (in RMB)	9,383	17,031
China Games and Entertainment ETF (in RMB)	6,791	9,512
FinTech ETF (in USD) ¹	763	869
Autonomous and Electric Vehicles ETF (in USD) ¹	1,600	1,561
Asia Innovator Active ETF (in RMB) ¹	7,931	8,345

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

(c) Custodian fee

Prior to the adoption of the single management fee structure with effect from 1 October 2021, the Custodian is entitled to receive a custodian fee, calculated at an annual rate of 0.05% for the first US\$200 million of the net asset value, 0.04% for the next US\$300 million (i.e. between US\$200 million and US\$500 million), and 0.03% for the remainder (i.e. US\$500 million onwards) for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF and China Global Leaders ETF at each dealing day, accrued daily and payable monthly in arrears. The Sub-Funds are also subject to a minimum fee of US\$2,500 per month. However, the applied minimum monthly fee can be revised downwards upon concession from the Custodian.

The custodian fee paid by the Manager on behalf of the Sub-Funds and such amounts payable to the Manager as at 30 September 2023 and 31 March 2023 are as follows:

	<u>Custodian fee payable</u>	
	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
China Clean Energy ETF (in RMB)	107,080	107,080
China Consumer Brand ETF (in RMB)	41,093	41,093
China Electric Vehicle and Battery ETF (in RMB)	199,853	199,853
China Robotics and AI ETF (in RMB)	16,349	16,349
China Semiconductor ETF (in RMB)	47,364	47,364
China Global Leaders ETF (in RMB)	11,676	11,676

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(d) Other expenses

(i) *Fees payable*

Prior to the adoption of the single management fee structure with effective from 1 October 2021, the Manager is responsible for the payment of certain fees (including but not limited to audit remuneration and index licensing fee) on behalf of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF and China Global Leaders ETF. The Manager recharges all or a portion of these fees to China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF and China Global Leaders ETF.

The fees paid by the Manager on behalf of the Sub-Funds prior to the adoption of single management fee structure and such amounts payable to the Manager as at 30 September 2023 and 31 March 2023 are as follows:

	<u>Fees payable</u>	
	As at	As at
	30 September 2023	31 March 2023
	(unaudited)	(audited)
China Clean Energy ETF (in RMB)	204,995	204,995
China Consumer Brand ETF (in RMB)	69,861	69,861
China Electric Vehicle and Battery ETF (in RMB)	461,018	461,018
China Robotics and AI ETF (in RMB)	26,115	26,115
China Semiconductor ETF (in RMB)	75,783	75,783
China Global Leaders ETF (in RMB)	9,718	9,718

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)
- (e) Bank balances and financial assets at fair value through profit or loss

Bank balances

The bank balances included in the statement of net assets of all the Sub-Funds were placed with interest-bearing accounts in Citibank, N.A., the Sub-Custodian of the Sub-Funds. The carrying amounts of the bank balances approximate to their fair values. Interest was earned on these bank balances during the period ended 30 September 2023 and 30 September 2022.

Financial assets at fair value through profit or loss

Financial assets at fair value through profit or loss included in the statement of net assets of the Sub-Funds are held with Citibank, N.A., the Sub-Custodian of the Sub-Funds and Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds as at 30 September 2023 and 31 March 2023 are as follows:

	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
<u>Citibank, N.A.</u>		
China Clean Energy ETF (in RMB)	598,785,771	1,282,578,540
China Consumer Brand ETF (in RMB)	510,066,433	670,299,479
China Electric Vehicle and Battery ETF (in RMB)	1,188,705,383	2,766,584,772
China Robotics and AI ETF (in RMB)	127,574,072	172,443,864
China Semiconductor ETF (in RMB)	331,478,241	577,925,366
China Global Leaders ETF (in RMB)	39,841,050	52,352,278
China Innovator Active ETF (in RMB)	21,477,690	155,427,213
Asia Semiconductor ETF (in RMB)	66,192,231	65,609,354
China E-Commerce and Logistics ETF (in RMB)	16,455,228	29,738,089
China Games and Entertainment ETF (in RMB)	10,576,076	17,701,266
FinTech ETF (in USD)	1,307,336	1,541,276
Autonomous and Electric Vehicles ETF (in USD)	2,777,387	2,798,740
Asia Innovator Active ETF (in RMB)	11,513,647	12,533,581
<u>Mirae Asset Securities (HK) Limited</u>		
China Clean Energy ETF (in RMB)	190,883,823	–
China Consumer Brand ETF (in RMB)	23,463,602	–
China Electric Vehicle and Battery ETF (in RMB)	565,890,185	–
China Semiconductor ETF (in RMB)	109,303,944	–

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30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(f) Registration fee payable

For each application of share creation and share redemption, the Custodian is entitled to a registration fee of RMB6,000 for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, and Asia Innovator Active ETF and USD1,000 for FinTech ETF and Autonomous and Electric Vehicles ETF. These fees are borne by the participating dealer. The registration fee would be paid to the Sub-Funds or may be set off and deducted against any cash components. The Sub-Funds would pay the registration fee to the Custodian on behalf of the participating dealer.

The registration fee payable to the Manager for such amounts paid by the Manager on behalf of the Sub-Funds up to 31 July 2023 and the Custodian as at 30 September 2023 and 31 March 2023 are as follows:

	<u>Registration fee payable to the Custodian/the Manager</u>	
	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
China Clean Energy ETF (in RMB)	870,000	744,000
China Consumer Brand ETF (in RMB)	716,000	560,000
China Electric Vehicle and Battery ETF (in RMB)	1,084,000	928,000
China Robotics and AI ETF (in RMB)	296,000	272,000
China Semiconductor ETF (in RMB)	678,000	642,000
China Global Leaders ETF (in RMB)	182,000	158,000
China Innovator Active ETF (in RMB)	468,000	378,000
Asia Semiconductor ETF (in RMB)	108,000	83,999
China E-Commerce and Logistics ETF (in RMB)	48,000	36,000
China Games and Entertainment ETF (in RMB)	102,000	90,000
FinTech ETF (in USD) ¹	9,000	8,000
Autonomous and Electric Vehicles ETF (in USD) ¹	12,000	12,000
Asia Innovator Active ETF (in RMB) ¹	24,000	24,000

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

(g) Redemption payable

As at 30 September 2023, the redemption payable of China Electric Vehicle and Battery ETF of RMB30,405,088 was to Mirae Asset Securities (HK) Limited, a participating dealer of the Sub-Fund. As at 31 March 2023, there were no redemption payable by China Electric Vehicle and Battery ETF. The amounts were interest-free, unsecured and repayable less than 1 month.

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30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(h) Directors' remuneration

Per Instrument of Incorporation, the directors of the Company shall be entitled to remuneration for their services as directors of the Company up to an amount per financial year equivalent to US\$100,000 per director and, where payable, such remuneration shall be borne by the Manager. Currently, Mr. Wanyoun Cho and Mr. Se Han Song receive no remuneration for their services as directors of the Company. Mr. Stewart Robert Kenneth Aldcroft receives US\$23,000 per annum for his services as director of the Company.

(i) Amounts due from/to brokers

Certain amount of due from/to brokers of the Sub-Funds as at 30 September 2023 and 31 March 2023 are due from/to Citibank, N.A., the Sub-Custodian of the Sub-Funds, and Mirae Asset Securities (HK) Limited, a related company of the Manager. Refer to note 7 for the details.

7. AMOUNTS DUE FROM/TO BROKERS

China Semiconductor ETF

As at 30 September 2023, there were no amounts due from brokers of China Semiconductor ETF. As at 31 March 2023, the amounts due from brokers of China Semiconductor ETF, of RMB7,011 from Citibank N.A., which is the Sub-Custodian, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date, and of RMB4 from the Hongkong and Shanghai Banking Corporation Limited that represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

China Innovator Active ETF

As at 30 September 2023, the amounts due from brokers of China Innovator Active ETF of RMB2,612 to the Hongkong and Shanghai Banking Corporation Limited, represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. As at 31 March 2023, there were no amounts due from brokers of China Innovator Active ETF. The balances are receivable in less than 1 month.

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30 September 2023

7. AMOUNTS DUE FROM/TO BROKERS (CONTINUED)

Asia Semiconductor ETF

As at 30 September 2023, the amount of RMB8 due from brokers of Asia Semiconductor ETF, Citibank, N.A., which is the Sub-Custodian, represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date, and the amount of RMB428,696 due from brokers of Asia Semiconductor ETF, UBS Securities, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. As at 31 March 2023, there were no amounts due from brokers of Asia Semiconductor ETF. The balances are receivable in less than 1 month.

As at 30 September 2023, there were no amounts due to brokers of Asia Semiconductor ETF. As at 31 March 2023, the amount of RMB42 due to brokers of Asia Semiconductor ETF, Citibank, N.A., which is the Sub-Custodian, represented payables for purchase of foreign exchange spot that have been contracted for but not yet settled on the reporting date. The balances are repayable in less than 1 month.

China E-Commerce and Logistics ETF

As at 30 September 2023, there were no amounts due from brokers of China E-Commerce and Logistics ETF. As at 31 March 2023, the amounts due from brokers of China E-Commerce and Logistics ETF, of RMB13,652 from Citibank N.A., which is the Sub-Custodian, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

As at 30 September 2023, there were no amounts due to brokers of China E-Commerce and Logistics ETF. As at 31 March 2023, the amount of RMB12 due to brokers of China E-Commerce and Logistics ETF, the Hongkong and Shanghai Banking Corporation Limited, represented payables for purchase of foreign exchange spot that have been contracted for but not yet settled on the reporting date. The balances are repayable in less than 1 month.

China Games and Entertainment ETF

As at 30 September 2023, there were no amounts due from brokers of China Games and Entertainment ETF. As at 31 March 2023, the amounts due from brokers of China Games and Entertainment ETF, of RMB9 from the Hongkong and Shanghai Banking Corporation Limited, that represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

As at 30 September 2023, there were no amounts due to brokers of China Games and Entertainment ETF. As at 31 March 2023, the amount of RMB19,160 due to brokers of China Games and Entertainment ETF, Citibank N.A., which is the Sub-Custodian, of RMB31,839 to Citigroup Global Markets Ltd and of RMB19,880 to UBS Securities Co. Ltd, that represented payables for purchase of securities that have been contracted for but not yet settled on the reporting date. The balances are repayable in less than 1 month.

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7. AMOUNTS DUE FROM/TO BROKERS (CONTINUED)

Autonomous and Electric Vehicle ETF

As at 30 September 2023, there were no amounts due from brokers of Autonomous and Electric Vehicle ETF. As at 31 March 2023, the amounts due from brokers of Autonomous and Electric Vehicles ETF, of USD1 from Citibank N.A., which is the Sub-Custodian, that represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

Asia Innovator Active ETF

As at 30 September 2023, there were no amounts due from brokers of Asia Innovator Active ETF. As at 31 March 2023, the amounts due from brokers of Asia Innovator Active ETF, of RMB46,507 from Hongkong and Shanghai Banking Corporation Limited, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

8. SOFT COMMISSION ARRANGEMENTS

The Manager may effect transactions, provided that any such transaction is consistent with standards of “best execution”, by or through the agency of another person for the accounts of the Sub-Funds with whom the Manager or any of its connected persons has an arrangement under which that party will from time to time provide to or procure for the Manager or any of its connected persons goods, services or other benefits (such as advisory services, computer hardware associated with specialised software or research services and performance measures), the nature of which is such that their provision can reasonably be expected to benefit the Sub-Funds as a whole and may contribute to an improvement in the performance of the Sub-Funds. For the avoidance of doubt, such goods and services may not include travel, accommodation, entertainment, general administrative goods or services, general office equipment or premises, membership fees, employees’ salaries and direct money payments. Since the inception of the Sub-Funds, the Manager has not participated in any soft commission arrangements in respect of any transactions for the accounts of the Sub-Funds.

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9. TAXATION

No provision for Hong Kong profits tax has been made for the Sub-Funds as they are authorised as collective investment schemes under section 104 of the Hong Kong Securities and Futures Ordinance and are therefore exempt from Hong Kong profits tax under section 26A(1A) of the Hong Kong Inland Revenue Ordinance.

The Sub-Funds invest in shares of companies in the People's Republic of China ("PRC") listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange ("A-shares") and the SEHK ("H-shares"). Under the PRC Corporate Income Tax Law, the Sub-Funds may be liable to pay PRC tax on the capital gains realised from the trading of A-shares and H-shares.

PRC tax provision

Under the general tax provision of PRC Corporate Income Tax Law ("PRC CIT Law"), the non-PRC residents with no place of effective management, establishment or place of business in the PRC may be subject to 10% PRC withholding income tax ("WIT") on the capital gain derived from disposal of securities, unless exempt or reduced under current PRC tax laws and regulations or relevant tax treaties.

No provision was made for taxation from such gains in the financial statements as the Manager believes that the taxation on capital gains derived from H-Shares is not probable under the current enforcement environment.

Withholding income tax of 10% was charged on dividend income received from A-shares and H-shares during the year.

10. DISTRIBUTIONS TO SHAREHOLDERS

The Manager may in its absolute discretion distribute income to shareholders at such time or times as it may determine in each financial period or determine that no distribution shall be made in any financial period. The amount to be distributed to shareholders, if any, will be derived from the net income of the Sub-Funds.

The Sub-Funds did not make any distribution for the period ended 30 September 2023 and 31 March 2023.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

11. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

	<u>China Clean Energy ETF</u>		<u>China Consumer Brand ETF</u>		<u>China Electric Vehicle and Battery ETF</u>		<u>China Robotics and AI ETF</u>	
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
Listed equities	598,785,771	1,282,578,540	510,066,433	670,299,479	1,188,705,383	2,766,584,772	127,574,072	172,443,864
Unlisted funded total return swaps (i)	190,883,823	–	23,463,602	–	565,890,185	–	–	–
	<u>789,669,594</u>	<u>1,282,578,540</u>	<u>533,530,035</u>	<u>670,299,479</u>	<u>1,754,595,568</u>	<u>2,766,584,772</u>	<u>127,574,072</u>	<u>172,443,864</u>
	<u>China Semiconductor ETF</u>		<u>China Global Leaders ETF</u>		<u>China Innovator Active ETF</u>		<u>Asia Semiconductor ETF</u>	
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
Listed equities	331,478,241	577,925,366	39,841,050	52,352,278	21,477,690	155,427,213	66,192,231	65,609,354
Unlisted funded total return swaps (i)	109,303,944	–	–	–	–	–	–	–
	<u>440,782,185</u>	<u>577,925,366</u>	<u>39,841,050</u>	<u>52,352,278</u>	<u>21,477,690</u>	<u>155,427,213</u>	<u>66,192,231</u>	<u>65,609,354</u>

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

11. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS (CONTINUED)

	<u>China E-Commerce and Logistics ETF</u>		<u>China Games and Entertainment ETF</u>		<u>FinTech ETF</u>		<u>Autonomous and Electric Vehicles ETF</u>	
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD
Listed equities	16,455,228	29,738,089	10,576,076	17,701,266	1,307,336	1,541,276	2,777,387	2,798,740
Unlisted funded total return swaps (i)	—	—	—	—	—	—	—	—
	<u>16,455,228</u>	<u>29,738,089</u>	<u>10,576,076</u>	<u>17,701,266</u>	<u>1,307,336</u>	<u>1,541,276</u>	<u>2,777,387</u>	<u>2,798,740</u>

	<u>Asia Innovator Active ETF</u>	
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
Listed equities	11,513,647	12,533,581
Unlisted funded total return swaps (i)	—	—
	<u>11,513,647</u>	<u>12,533,581</u>

(i) The total return swaps were fully funded and held with Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

12. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE

The UT Code allows the Sub-Funds to invest in constituent securities issued by a single issuer for more than 10% of the Sub-Funds' net asset value provided that:

- (a) the investment is limited to any constituent securities that each accounts for more than 10% of the weighting of the tracked index; and
- (b) the Sub-Funds' holding of any such constituent securities may not exceed their respective weightings in the tracked index, except where weightings are exceeded as a result of changes in the composition of the tracked index and the excess is only transitional and temporary in nature.

Constituent securities that account for more than 10% of the net asset value of the Sub-Funds as at period end were as follows:

<u>As at 30 September 2023</u> (unaudited)	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>China Clean Energy ETF</i>		
China Yangtze Power Co Ltd-A	10.63%	10.62%
<i>China Electric Vehicle and Battery ETF</i>		
BYD Co Ltd-A	10.65%	10.65%
Contemporary Amperex Techn-A	10.58%	10.56%
<i>Asia Semiconductor ETF</i>		
SK Hynix Inc	10.20%	10.17%

China Consumer Brand ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, and Asia Innovator Active did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 30 September 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

12. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE (CONTINUED)

<u>As at 31 March 2023</u> (audited)	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>China Electric Vehicle and Battery ETF</i>		
Shenzhen Inovance Technolo-A	10.01%	10.00%
BYD Co Ltd-A	10.15%	10.14%
Contemporary Amperex Techn-A	10.21%	10.19%
<i>Asia Semiconductor ETF</i>		
Samsung Electronics Co Ltd	10.38%	10.34%

China Clean Energy ETF, China Consumer Brand ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF and Asia Innovator Active ETF did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 31 March 2023.

13. SEGMENT INFORMATION

The Manager makes the strategic resource allocations on behalf of the Sub-Funds and has determined the operating segments based on the reports reviewed which are used to make strategic decisions.

The Manager considers that each Sub-Fund has a single operating segment which is investing in securities. The objectives of the Sub-Funds are to track the performance of their respective indices and invest in substantially all the index constituents with security weight and industry weight that are closely aligned with the characteristics of the tracked indices.

The internal financial information used by the Manager for the Sub-Funds' assets, liabilities and performance is the same as that disclosed in the statement of net assets and statement of profit or loss and other comprehensive income.

The Sub-Funds are domiciled in Hong Kong. Majority of the Sub-Funds' income is derived from investments in securities which constitute the relevant tracked indices. The Sub-Funds' investments have been categorised by the relevant industry but no industrial information for performance is provided as the Manager considers that the cost to develop it would be excessive and the information is generally not used by the Manager in managing the Sub-Funds.

The Sub-Funds have portfolios that closely correspond to the security weight and industry weight of the relevant tracked indices.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

14. EVENTS AFTER THE REPORTING PERIOD

China Clean Energy ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements, there is net redemption of shares of RMB103,037,140 which there is of shares redemption of 1,400,000 shares of RMB103,037,140 and no issuance of shares.

China Consumer Brand ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB32,784,130 which there is of shares redemption of 800,000 shares of RMB32,784,130 and no issuance of shares.

China Electric Vehicle and Battery ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB89,406,260 which there is of shares redemption of 1,650,000 shares of RMB122,015,550 and issue of 450,000 shares of RMB32,609,290.

China Robotics and AI ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB2,174,090 which there is of shares redemption of 50,000 shares of RMB2,174,090 and no issuance of shares.

China Semiconductor ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB8,880,585 which there is of shares redemption of 300,000 shares of RMB10,670,670 and issue of 50,000 shares of RMB1,790,085.

China Global Leaders ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB7,433,260 which there is of shares redemption of 200,000 shares of RMB7,433,260 and no issuance of shares.

China Innovator Active ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB1,505,100 which there is of shares redemption of 50,000 shares of RMB1,505,100 and no issuance of shares.

Asia Semiconductor ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net subscription of shares of RMB4,669,605 which there is of shares redemption of 150,000 shares of RMB6,740,745 and issue of 250,000 shares of RMB11,410,350.

China E-Commerce and Logistics ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB9,121,015 which there is of shares redemption of 250,000 shares of RMB9,121,015 and no issuance of shares.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

14. EVENTS AFTER THE REPORTING PERIOD (CONTINUED)

China Games and Entertainment ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB3,160,850 which there is of shares redemption of 100,000 shares of RMB3,160,850 and no issuance of shares.

FinTech ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of USD368,480 which there is of shares redemption of 100,000 shares of USD368,480 and no issuance of shares.

Autonomous and Electric Vehicle ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of USD1,499,070 which there is of shares redemption of 250,000 shares of USD1,499,070 and no issuance of shares.

Asia Innovator Active ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB3,119,960 which there is of shares redemption of 100,000 shares of RMB3,119,960 and no issuance of shares.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

ESG DISCLOSURE FOR GLOBAL X CHINA CLEAN ENERGY ETF (UNAUDITED)

As at 30 September 2023

	Global X Exchange Traded Funds Series OFC Global X China Clean Energy ETF (1 April 2023 – 30 September 2023)
Actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus	From 1 April 2023 to 30 September 2023, 99.8% of the Sub-Fund's average net asset value was invested in securities that are commensurate with the Sub-Fund's ESG focus.
Actual proportion of the investment universe that was eliminated or selected as a result of the Sub-Fund's ESG-related screening	<p>Global X China Clean Energy ETF is an index-tracking fund, which invests in constituents of the Solactive China Clean Energy Index (the "Underlying Index"). The investment decisions are based on criteria set forth in the index methodology established by index provider. The Manager places reliance on the index methodology of the Underlying Index</p> <p>The Underlying Index is a free float market capitalisation weighted index which is designed to represent PRC companies that are active in the field of clean energy, i.e. companies which offer products, services or solutions that contribute to the shift away from fossil fuels, create renewable sources of energy generation or improve energy efficiency and access to sustainable energy consumption (i.e. the Sub-Fund's ESG focus). Constituents of the Underlying Index have significant exposure to "Affordable and Clean Energy" and its supply chain. "Affordable and Clean Energy" is one of the 17 United Nations Sustainable Development Goals, which is to ensure access to affordable, reliable, sustainable and modern energy.</p>
Comparison of the performance of the Sub-Fund's ESG factors against the designated reference benchmark (if any)	Not applicable
Actions taken by the Sub-Fund in attaining the Sub-Fund's ESG focus	<p>The Manager engages directly with the index provider of Solactive China Clean Energy Index on an ongoing basis to ensure that the Sub-Fund's ESG focus has been duly considered and the suitable ESG criteria have been used in the index methodology.</p> <p>The Manager has retained an independent third-party proxy adviser to provide research and voting recommendations for the Sub-Fund. During the period from 1 April 2023 to 30 September 2023, 99.9% of the proxy votes were casted in accordance with the proxy voting guidelines adopted by the Manager and the voting recommendations provided by the third-party proxy adviser</p>
Description of the basis of the assessment performed	The underlying index of the Sub-Fund only includes securities issued by companies that are commensurate with the Sub-Fund's ESG focus. The actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus is determined based on the proportion of net asset value of the Sub-Fund invested in constituents of underlying index of the Sub-Fund.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2023

China Clean Energy ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
CECEP WIND POWER CORP-A	509,600	1,666,392	0.21%
CHINA THREE GORGES RENEWAB-A	3,376,400	16,139,192	2.04%
CHINA YANGTZE POWER CO LTD-A	3,777,300	84,007,152	10.62%
FLAT GLASS GROUP CO LTD-A	29,300	829,776	0.11%
GINLONG TECHNOLOGIES CO LT-A	32,200	2,528,022	0.32%
GOODWE TECHNOLOGIES CO LTD-A	45,256	5,982,390	0.76%
HAINAN DRINDA NEW ENERGY T-A	19,200	1,838,784	0.23%
HENGDIAN GROUP DMEGC -A	459,600	7,509,864	0.95%
HOYUAN GREEN ENERGY CO LTD-A	13,901	582,174	0.07%
HUANENG LANCANG RIVER HYDR-A	999,800	7,418,516	0.94%
JA SOLAR TECHNOLOGY CO LTD-A	436,835	11,174,239	1.41%
JIANGSU LINYANG ELECTRONIC-A	299,100	2,141,556	0.27%
JINKO SOLAR CO LTD-A	1,622,408	16,386,321	2.07%
LONGI GREEN ENERGY TECHNOL-A	2,825,636	77,083,350	9.75%
MING YANG SMART ENERGY GRO-A	999,200	15,767,376	1.99%
NARI TECHNOLOGY DEVELOPMEN-A	2,343,100	51,993,389	6.57%
NAURA TECHNOLOGY GROUP CO-A	176,100	42,492,930	5.37%
NINGBO DEYE TECHNOLOGY CO -A	207,100	16,037,824	2.03%
NINGBO ORIENT WIRES & CABL-A	31,500	1,254,015	0.16%
RISEN ENERGY CO LTD-A	353,400	6,728,736	0.85%
SHANGHAI AIKO SOLAR ENERGY-A	681,500	15,204,265	1.92%
SHENZHEN SC NEW ENERGY TEC-A	151,700	11,521,615	1.46%
SICHUAN CHUANTOU ENERGY CO-A	718,400	10,632,320	1.35%
SUNGROW POWER SUPPLY CO LT-A	590,000	52,810,900	6.68%
TCL ZHONGHUAN RENEWABLE EN-A	713,700	16,686,306	2.11%
TITAN WIND ENERGY SUZHOU-A	133,100	1,718,321	0.22%
TRINA SOLAR CO LTD-A	435,461	13,312,043	1.68%
WUHAN DR LASER TECHNOLOGY -A	32,000	2,290,880	0.29%
XINJIANG GOLDWIND SCI&TECH-A	1,501,500	13,858,845	1.75%
ZHEJIANG CHINT ELECTRICS-A	610,500	14,218,545	1.80%
ZHEJIANG JINGSHENG MECHANI-A	393,100	18,754,801	2.37%
		<u>540,570,839</u>	
Hong Kong			
CHINA LONGYUAN POWER GROUP-H	2,061,141	13,076,862	1.65%
GCL POLY ENERGY HOLDINGS LTD	13,561,400	18,419,119	2.33%
XINYI SOLAR HLDGS	2,980,742	16,249,255	2.06%
		<u>47,745,236</u>	
United States			
DAQO NEW ENERGY CORP-ADR	47,473	10,469,696	1.33%
		<u>10,469,696</u>	
Total listed equities		<u>598,785,771</u>	<u>75.71%</u>

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2023

China Clean Energy ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
Unlisted funded total return swaps						
China						
CECEP WIND POWER CORP-(Fully funded Swap)	16-Feb-24	CECEP WIND POWER CORP-A	Mirae Asset Securities (HK) Limited	1,622,000	5,303,940	0.67%
CHINA THREE GORGES RENEWAB-(Fully funded Swap)	15-Dec-23	CHINA THREE GORGES RENEWAB-A	Mirae Asset Securities (HK) Limited	2,700,000	12,906,000	1.63%
CHINA THREE GORGES RENEWAB-(Fully funded Swap)	19-Dec-23	CHINA THREE GORGES RENEWAB-A	Mirae Asset Securities (HK) Limited	5,000,000	23,900,000	3.02%
FLAT GLASS GROUP CO LTD-(Fully funded Swap)	15-Nov-23	FLAT GLASS GROUP CO LTD-A	Mirae Asset Securities (HK) Limited	293,000	8,297,760	1.05%
GINLONG TECHNOLOGIES CO LT-(Fully funded Swap)	16-Feb-24	GINLONG TECHNOLOGIE S CO LT-A	Mirae Asset Securities (HK) Limited	102,000	8,008,020	1.01%
HAINAN DRINDA NEW ENERGY T-(Fully funded Swap)	15-Dec-23	HAINAN DRINDA NEW ENERGY T-A	Mirae Asset Securities (HK) Limited	64,500	6,177,165	0.78%
HOYUAN GREEN ENERGY CO LTD-(Fully funded Swap)	15-Dec-23	HOYUAN GREEN ENERGY CO LTD-A	Mirae Asset Securities (HK) Limited	141,199	5,913,416	0.75%
JA SOLAR TECHNOLOGY CO LTD-(Fully funded Swap)	27-Oct-23	JA SOLAR TECHNOLOGY CO LTD -A	Mirae Asset Securities (HK) Limited	603,500	15,437,530	1.95%
JIANGSU LINYANG ENERGY CO-(Fully funded Swap)	16-Feb-24	JIANGSU LINYANG ELECTRONIC-A	Mirae Asset Securities (HK) Limited	951,000	6,809,160	0.86%
JINKO SOLAR CO LTD-(Fully funded Swap)	15-Nov-23	JINKO SOLAR CO LTD-A	Mirae Asset Securities (HK) Limited	2,840,000	28,684,000	3.63%
JINKO SOLAR CO LTD-(Fully funded Swap)	16-Feb-24	JINKO SOLAR CO LTD-A	Mirae Asset Securities (HK) Limited	2,327,000	23,502,700	2.97%
NINGBO ORIENT WIRES & CABL-(Fully funded Swap)	15-Dec-23	NINGBO ORIENT WIRES & CABL-A	Mirae Asset Securities (HK) Limited	207,000	8,240,670	1.04%
RISEN ENERGY CO LTD-(Fully funded Swap)	15-Dec-23	RISEN ENERGY CO LTD-A	Mirae Asset Securities (HK) Limited	236,800	4,508,672	0.57%
TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap)	14-Dec-23	TCL ZHONGHUAN RENEWABLE EN-A	Mirae Asset Securities (HK) Limited	625,000	14,612,500	1.85%
TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap)	15-Dec-23	TCL ZHONGHUAN RENEWABLE EN-A	Mirae Asset Securities (HK) Limited	250,000	5,845,000	0.74%

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2023

China Clean Energy ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
Unlisted funded total return swaps (continued)						
China (continued)						
TITAN WIND ENERGY SUZHOU- (Fully funded Swap)	14-Mar-24	TITAN WIND ENERGY SUZHOU-A WUHAN DR	Mirae Asset Securities (HK) Limited	421,000	5,435,110	0.69%
WUHAN DR LASER TECHNOLOGY- (Fully funded Swap)	16-Feb-24	LASER TECHNOLOGY -A	Mirae Asset Securities (HK) Limited	102,000	7,302,180	0.92%
					<u>190,883,823</u>	
Total unlisted funded total return swaps					<u>190,883,823</u>	<u>24.13%</u>
Total investments					<u>789,669,594</u>	<u>99.84%</u>
Total investments, at cost					<u>1,013,263,536</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Consumer Brand ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
EASTROC BEVERAGE GROUP CO -A	4,500	822,915	0.15%
ECOVACS ROBOTICS CO LTD-A	51,100	2,478,350	0.46%
FOSHAN HAITIAN FLAVOURING -A	368,300	13,991,717	2.62%
FU JIAN ANJOY FOODS CO LTD-A	53,900	6,683,600	1.25%
FUYAO GROUP GLASS INDUSTR-A	381,200	14,073,904	2.63%
GEMDALE CORP-A	281,600	1,920,512	0.36%
GREAT WALL MOTOR CO LTD-A	53,200	1,365,644	0.26%
HAIER SMART HOME CO LTD-A	1,015,700	23,970,520	4.48%
HENAN SHUANGHUI INVESTMENT-A	73,600	1,942,304	0.36%
JASON FURNITURE HANGZHOU C-A	99,300	4,020,657	0.75%
KWEICHOW MOUTAI CO LTD-A	28,900	51,978,095	9.72%
LUZHOU LAOJIAO CO LTD-A	190,000	41,163,500	7.70%
MIDEA GROUP CO LTD-A	875,400	48,567,192	9.09%
OPPEIN HOME GROUP INC-A	48,578	4,658,144	0.87%
SAIC MOTOR CORPORATION LTD-A	748,700	11,080,760	2.07%
SHANGHAI JINJIANG INTERNET-A	112,900	4,245,040	0.79%
WULIANGYE YIBIN CO LTD-A	292,130	45,601,493	8.53%
YIHAI KERRY ARAWANA HOLDIN-A	24,400	838,872	0.16%
YUNNAN BOTANEE BIO-TECHNOL-A	87,400	8,155,294	1.53%
		287,558,513	
Hong Kong			
ANTA SPORTS PRODUCTS LTD	371,329	30,450,376	5.70%
CHINA MENGNIU DAIRY CO	889,633	21,724,594	4.06%
HENGAN INTL GROUP CO LTD	192,781	4,474,518	0.84%
LI NING CO LTD	684,978	20,996,336	3.93%
NONGFU SPRING CO LTD-H	510,060	21,352,329	3.99%
SHENZHOU INTERNATIONAL GROUP	213,519	14,897,358	2.79%
TECHTRONIC INDUSTRIES CO	376,582	26,624,694	4.98%
TINGYI (CAYMAN ISLN) HLDG CO	560,997	5,709,385	1.07%
WANT WANT CHINA HOLDINGS LTD	713,360	3,391,104	0.64%
		149,620,694	
United States			
HUAZHU GROUP LTD-ADR	81,579	23,435,819	4.38%
LI AUTO INC - ADR LI	190,390	49,451,407	9.25%
		72,887,226	
Total listed equities		510,066,433	95.41%

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Consumer Brand ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
Unlisted funded total return swaps						
China						
EASTROC BEVERAGE GROUP CO-(Fully funded Swap)	15-Nov-23	EASTROC BEVERAGE GROUP CO - A	Mirae Asset Securities (HK) Limited	12,000	2,194,442	0.41%
GEMDALE CORP-(Fully funded Swap)	15-Dec-23	GEMDALE CORP-A GREAT	Mirae Asset Securities (HK) Limited	1,073,000	7,317,863	1.37%
GREAT WALL MOTOR CO LTD-(Fully funded Swap)	16-Feb-24	WALL MOTOR CO LTD-A HENAN	Mirae Asset Securities (HK) Limited	183,700	4,715,580	0.88%
HENAN SHUANGHUI INVESTMENT-(Fully funded Swap)	16-Feb-24	SHUANGHUI INVESTMEN T-A	Mirae Asset Securities (HK) Limited	218,000	5,753,021	1.08%
YIHAI KERRY ARAWANA HOLDIN-(Fully funded Swap)	15-Dec-23	YIHAI KERRY ARAWANA HOLDIN-A	Mirae Asset Securities (HK) Limited	101,300	3,482,696	0.65%
					<u>23,463,602</u>	
Total unlisted funded total return swaps					<u>23,463,602</u>	<u>4.39%</u>
Total investments					<u>533,530,035</u>	<u>99.80%</u>
Total investments, at cost					<u>615,351,538</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
BEIJING EASPRING MATERIAL-A	158,900	6,689,690	0.38%
BYD CO LTD -A	789,800	186,945,660	10.65%
CANMAX TECHNOLOGIES CO LTD-A	142,180	3,654,026	0.21%
CNGR ADVANCED MATERIAL CO -A	164,100	8,311,665	0.47%
CONTEMPORARY AMPEREX TECHN-A	912,800	185,325,784	10.56%
DO-FLUORIDE NEW MATERIALS -A	213,460	3,426,033	0.19%
EVE ENERGY CO LTD-A	1,773,700	80,029,344	4.56%
FUYAO GROUP GLASS INDUSTR-A	1,868,700	68,992,404	3.93%
GANFENG LITHIUM GROUP CO L-A	1,974,800	88,076,080	5.02%
GEM CO LTD-A	2,678,264	16,310,628	0.93%
GOTION HIGH-TECH CO LTD-A	362,300	8,405,360	0.48%
GUANGZHOU TINCI MATERIALS -A	522,400	14,120,472	0.80%
HONGFA TECHNOLOGY CO LTD-A	941,678	31,593,297	1.80%
HUIZHOU DESAY SV AUTOMOTIV-A	128,500	18,457,740	1.05%
NINGBO RONBAY NEW ENERGY T-A	127,928	5,369,138	0.31%
NINGBO SHANSHAN CO LTD-A	232,800	3,235,920	0.18%
NINGBO TUOPU GROUP CO LTD-A	549,200	40,712,196	2.32%
PYLON TECHNOLOGIES CO LTD-A	25,588	3,344,351	0.19%
SHANGHAI PUTAILAI NEW ENER-A	1,092,700	32,005,183	1.82%
SHENZHEN CAPCHEM TECHNOLOG-A	153,500	6,726,370	0.38%
SHENZHEN DYNANONIC CO LTD-A	28,153	2,155,394	0.12%
SHENZHEN INOVANCE TECHNOLO-A	1,885,400	125,360,246	7.14%
SHENZHEN KEDALI INDUSTRY C-A	59,519	5,724,537	0.33%
SHENZHEN SENIOR TECHNOLOGY-A	348,604	4,556,254	0.26%
SINOMINE RESOURCE GROUP CO-A	128,000	4,660,480	0.27%
SUNWODA ELECTRONIC CO LTD-A	1,812,100	30,624,490	1.74%
TIANNENG BATTERY GROUP CO -A	1,196,981	41,714,788	2.38%
TIANQI LITHIUM CORP-A	925,958	50,862,873	2.90%
WUXI AUTOWELL TECHNOLOGY C-A	29,900	4,271,514	0.24%
WUXI LEAD INTELLIGENT EQUI-A	896,806	24,411,059	1.39%
XIAMEN FARATRONIC CO LTD-A	39,900	3,867,507	0.22%
YUNNAN ENERGY NEW MATERIAL-A	180,663	10,821,714	0.62%
ZHEJIANG HUAYOU COBALT CO - A	322,900	12,111,979	0.69%
ZHEJIANG SANHUA INTELLIGEN-A	603,352	17,919,554	1.02%
ZHUZHOU CRRC TIMES ELECTRI-A	953,033	37,911,653	2.16%
		<u>1,188,705,383</u>	
Total listed equities		<u>1,188,705,383</u>	<u>67.71%</u>

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
Unlisted funded total return swaps						
China						
BEIJING EASPRING MATERIAL-(Fully funded Swap)	15-Nov-23	BEIJING EASPRING MATERIAL-A	Mirae Asset Securities (HK) Limited	173,000	7,283,300	0.41%
BEIJING EASPRING MATERIAL-(Fully funded Swap)	16-Feb-24	BEIJING EASPRING MATERIAL-A	Mirae Asset Securities (HK) Limited	174,000	7,325,400	0.42%
CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap)	15-Nov-23	CANMAX TECHNOLOGI ES CO LTD-A	Mirae Asset Securities (HK) Limited	550,000	14,135,000	0.81%
CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap)	15-Dec-23	CANMAX TECHNOLOGI ES CO LTD-A	Mirae Asset Securities (HK) Limited	200,000	5,140,000	0.29%
CNGR ADVANCED MATERIAL CO-(Fully funded Swap)	15-Nov-23	CNGR ADVANCED MATERIAL CO-A	Mirae Asset Securities (HK) Limited	140,000	7,091,000	0.40%
CNGR ADVANCED MATERIAL CO-(Fully funded Swap)	15-Dec-23	CNGR ADVANCED MATERIAL CO-A	Mirae Asset Securities (HK) Limited	123,700	6,265,405	0.36%
CNGR ADVANCED MATERIAL CO-(Fully funded Swap)	16-Feb-24	CNGR ADVANCED MATERIAL CO-A	Mirae Asset Securities (HK) Limited	262,000	13,270,300	0.76%
DO-FLUORIDE NEW MATERIALS-(Fully funded Swap)	10-Nov-23	DO-FLUORIDE NEW MATERIALS - A	Mirae Asset Securities (HK) Limited	415,300	6,665,565	0.38%
DO-FLUORIDE NEW MATERIALS-(Fully funded Swap)	15-Nov-23	DO-FLUORIDE NEW MATERIALS - A	Mirae Asset Securities (HK) Limited	750,000	12,037,500	0.68%
GEM CO LTD-(Fully funded Swap)	15-Nov-23	GEM CO LTD-A	Mirae Asset Securities (HK) Limited	1,168,000	7,113,120	0.41%
GEM CO LTD-(Fully funded Swap)	16-Feb-24	GEM CO LTD-A	Mirae Asset Securities (HK) Limited	2,378,000	14,482,020	0.82%
GOTION HIGH-TECH CO LTD-(Fully funded Swap)	15-Nov-23	GOTION HIGH-TECH CO LTD-A	Mirae Asset Securities (HK) Limited	632,000	14,662,400	0.83%
GOTION HIGH-TECH CO LTD-(Fully funded Swap)	15-Dec-23	GOTION HIGH-TECH CO LTD-A	Mirae Asset Securities (HK) Limited	250,000	5,800,000	0.33%
GOTION HIGH-TECH CO LTD-(Fully funded Swap)	16-Feb-24	GOTION HIGH-TECH CO LTD-A	Mirae Asset Securities (HK) Limited	265,000	6,148,000	0.35%
GUANGZHOU TINCI MATERIALS-(Fully funded Swap)	16-Feb-24	GUANGZHOU TINCI MATERIALS-A	Mirae Asset Securities (HK) Limited	1,842,000	49,789,260	2.84%

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
Unlisted funded total return swaps (continued)						
China (continued)						
HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap)	15-Nov-23	HUIZHOU DESAY SV AUTOMOTIV-A	Mirae Asset Securities (HK) Limited	55,000	7,900,200	0.45%
HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap)	16-Feb-24	HUIZHOU DESAY SV AUTOMOTIV-A	Mirae Asset Securities (HK) Limited	52,000	7,469,280	0.43%
NINGBO RONBAY NEW ENERGY T-(Fully funded Swap)	27-Mar-24	NINGBO RONBAY NEW ENERGY T-A	Mirae Asset Securities (HK) Limited	451,000	18,928,470	1.08%
NINGBO SHANSHAN CO LTD-(Fully funded Swap)	06-Nov-23	NINGBO SHANSHAN CO LTD-A	Mirae Asset Securities (HK) Limited	1,631,800	22,682,020	1.29%
PYLON TECHNOLOGIES CO LTD-(Fully funded Swap)	15-Nov-23	PYLON TECHNOLOGIES CO LTD-A	Mirae Asset Securities (HK) Limited	137,000	17,905,900	1.02%
SHENZHEN CAPCHEM TECHNOLOG-(Fully funded Swap)	16-Feb-24	SHENZHEN CAPCHEM TECHNOLOG-A	Mirae Asset Securities (HK) Limited	492,000	21,559,440	1.23%
SHENZHEN DYNANONIC CO LTD-(Fully funded Swap)	06-Nov-23	SHENZHEN DYNANONIC CO LTD-A	Mirae Asset Securities (HK) Limited	201,347	15,415,127	0.88%
SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap)	15-Nov-23	SHENZHEN KEDALI INDUSTRY C-A	Mirae Asset Securities (HK) Limited	100,000	9,618,000	0.55%
SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap)	16-Feb-24	SHENZHEN KEDALI INDUSTRY C-A	Mirae Asset Securities (HK) Limited	110,000	10,579,800	0.60%
SHENZHEN SENIOR TECHNOLOGY-(Fully funded Swap)	16-Feb-24	SHENZHEN SENIOR TECHNOLOG Y-A	Mirae Asset Securities (HK) Limited	1,120,000	14,638,400	0.83%
SINOMINE RESOURCE GROUP CO-(Fully funded Swap)	15-Nov-23	SINOMINE RESOURCE GROUP CO-A	Mirae Asset Securities (HK) Limited	670,000	24,394,700	1.39%

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
Unlisted funded total return swaps (continued)						
China (continued)						
TIANQI LITHIUM CORP-(Fully funded Swap)	27-Mar-24	TIANQI LITHIUM INDUSTR IND-A	Mirae Asset Securities (HK) Limited	451,000	24,773,430	1.41%
WUXI AUTOWELL TECHNOLOGY C-(Fully funded Swap)	15-Nov-23	WUXI AUTOWELL TECHNOLOG Y C-A	Mirae Asset Securities (HK) Limited	148,000	21,143,280	1.20%
WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap)	15-Nov-23	WUXI LEAD INTELLIGENT EQUI-A	Mirae Asset Securities (HK) Limited	270,000	7,349,400	0.42%
WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap)	16-Feb-24	WUXI LEAD INTELLIGENT EQUI-A	Mirae Asset Securities (HK) Limited	270,000	7,349,400	0.42%
XIAMEN FARATRONIC CO LTD-(Fully funded Swap)	14-Mar-24	XIAMEN FARATRONIC CO LTD-A	Mirae Asset Securities (HK) Limited	138,000	13,376,340	0.76%
YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap)	10-Nov-23	YUNNAN ENERGY NEW MATERIAL-A	Mirae Asset Securities (HK) Limited	263,800	15,801,620	0.90%
YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap)	29-Dec-23	YUNNAN ENERGY NEW MATERIAL-A	Mirae Asset Securities (HK) Limited	40,000	2,396,000	0.14%
YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap)	16-Feb-24	YUNNAN ENERGY NEW MATERIAL-A	Mirae Asset Securities (HK) Limited	325,000	19,467,500	1.11%
ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap)	06-Nov-23	ZHEJIANG HUAYOU COBALT CO-A	Mirae Asset Securities (HK) Limited	546,800	20,510,468	1.17%
ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap)	16-Feb-24	ZHEJIANG HUAYOU COBALT CO-A	Mirae Asset Securities (HK) Limited	594,000	22,280,940	1.27%
ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap)	21-Dec-23	ZHEJIANG SANHUA INTELLIGEN-A	Mirae Asset Securities (HK) Limited	928,000	27,561,600	1.57%
ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap)	14-Mar-24	ZHEJIANG SANHUA INTELLIGEN-A	Mirae Asset Securities (HK) Limited	1,198,000	35,580,600	2.03%
					<u>565,890,185</u>	
Total unlisted funded total return swaps					<u>565,890,185</u>	<u>32.24%</u>
Total investments					<u>1,754,595,568</u>	<u>99.95%</u>
Total investments, at cost					<u>2,226,148,970</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Robotics and AI ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
BEIJING KINGSOFT OFFICE SO-A	21,900	8,120,520	6.36%
ESTUN AUTOMATION CO LTD-A	90,900	2,005,254	1.57%
HANGZHOU HIKVISION DIGITAL-A	260,100	8,791,380	6.89%
HAN'S LASER TECHNOLOGY IN-A	143,400	3,309,672	2.59%
HUAGONG TECH CO LTD-A	124,300	4,185,181	3.28%
IFLYTEK CO LTD	178,600	9,047,876	7.09%
INSPUR ELECTRONIC INFORMAT-A	166,800	6,273,348	4.92%
LEADER HARMONIOUS DRIVE SY-A	19,900	2,349,792	1.84%
MONTAGE TECHNOLOGY CO LTD-A	110,000	5,467,000	4.29%
NARI TECHNOLOGY DEVELOPMEN-A	384,200	8,525,398	6.68%
OPT MACHINE VISION TECH CO-A	19,100	2,169,378	1.70%
SHANGHAI FRIENDESS ELECTRO-A	7,800	1,945,242	1.53%
SHENZHEN INOVANCE TECHNOLO-A	126,000	8,377,740	6.57%
SIASUN ROBOT & AUTOMATION-A	178,700	2,223,028	1.74%
SUZHOU TFC OPTICAL COMMUNI-A	38,100	3,619,500	2.84%
THUNDER SOFTWARE TECHNOLOG-A	68,200	5,222,074	4.09%
WUHAN DR LASER TECHNOLOGY -A	36,900	2,641,671	2.07%
WUHAN JINGCE ELECTRONIC GR-A	36,600	3,317,058	2.60%
WUXI AUTOWELL TECHNOLOGY C-A	22,000	3,142,920	2.46%
XI'AN BRIGHT LASER TECHNOL-A	16,500	1,947,000	1.53%
ZHEJIANG DAHUA TECHNOLOGY-A	425,900	9,484,793	7.43%
ZHEJIANG SHUANGHUAN DRIVEL-A	102,900	2,930,592	2.30%
ZHEJIANG SUPCON TECHNOLOGY-A	85,800	4,101,240	3.21%
ZHONGJI INNOLIGHT CO LTD-A	83,900	9,715,620	7.61%
		118,913,277	
United States			
BAIDU INC - SPON ADR	8,848	8,660,795	6.79%
		8,660,795	
Total investments		127,574,072	99.98%
Total investments, at cost		129,203,998	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Semiconductor ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
3PEAK INC-A	26,300	4,786,600	1.09%
ACM RESEARCH SHANGHAI I-A	141,100	16,618,758	3.77%
ADVANCED MICRO-FABRICATION-A	70,700	10,643,885	2.41%
BOE TECHNOLOGY GROUP CO LT-A	8,081,900	31,196,134	7.08%
GALAXYCORE INC-A	603,800	9,274,368	2.10%
GIGADEVICE SEMICONDUCTOR B-A	81,127	7,999,122	1.82%
HANGZHOU CHANG CHUAN TECHN-A	255,176	8,556,051	1.94%
HANGZHOU LION ELECTRONICS -A	61,701	2,034,899	0.46%
HANGZHOU SILAN MICROELECTR-A	444,589	10,830,188	2.46%
JCET GROUP CO LTD	734,939	22,415,640	5.09%
MAXSCEND MICROELECTRONICS -A	203,668	23,768,056	5.39%
MONTAGE TECHNOLOGY CO LTD-A	127,100	6,316,870	1.43%
NAURA TECHNOLOGY GROUP CO-A	120,400	29,052,520	6.59%
NAVTECH INC-A	326,500	6,905,475	1.57%
SEMICONDUCTOR MANUFACTURING INTL CORP -A	611,100	31,257,765	7.09%
SG MICRO CORP-A	78,877	6,134,264	1.39%
SHENZHEN SC NEW ENERGY TEC-A	162,484	12,340,660	2.80%
STARPOWER SEMICONDUCTOR LT-A	10,000	1,796,400	0.41%
TIANSHUI HUATIAN TECHNOLOG-A	302,889	2,719,943	0.62%
TONGFU MICROELECTRONIC CO-A	121,800	2,334,906	0.53%
UNIGROUP GUOXIN MICROELECT-A	84,442	7,363,342	1.67%
WILL SEMICONDUCTOR CO LTD-A	347,443	32,333,046	7.33%
WINGTECH TECHNOLOGY CO LTD-A	375,846	16,405,678	3.72%
ZHEJIANG JINGSHENG MECHANI-A	351,796	16,784,187	3.81%
		<u>319,868,757</u>	
Hong Kong			
ASMPT LTD	178,026	11,609,484	2.63%
		<u>11,609,484</u>	
Total listed equities		<u>331,478,241</u>	<u>75.20%</u>

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Semiconductor ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
Unlisted funded total return swaps						
China						
3PEAK INC-(Fully funded Swap)	14-Mar-24	3PEAK INC-A	Mirae Asset Securities (HK) Limited	22,500	4,095,000	0.93%
ADVANCED MICRO-FABRICATION-(Fully funded Swap)	27-Oct-23	ADVANCED MICRO-FABRICATION-A	Mirae Asset Securities (HK) Limited	106,000	15,958,300	3.62%
GIGADEVICE SEMICONDUCTOR-CL-(Fully funded Swap)	15-Nov-23	GIGADEVICE SEMICONDUCTOR B-A	Mirae Asset Securities (HK) Limited	241,000	23,762,600	5.39%
HANGZHOU LION ELECTRONICS-(Fully funded Swap)	15-Dec-23	HANGZHOU LION ELECTRONICS -A	Mirae Asset Securities (HK) Limited	161,800	5,336,164	1.21%
MONTAGE TECHNOLOGY CO LTD-(Fully funded Swap)	27-Oct-23	MONTAGE TECHNOLOGY CO LTD-A	Mirae Asset Securities (HK) Limited	238,800	11,868,360	2.69%
SG MICRO CORP-(Fully funded Swap)	15-Nov-23	SG MICRO CORP-A	Mirae Asset Securities (HK) Limited	92,000	7,154,840	1.62%
STARPOWER SEMICONDUCTOR LT-(Fully funded Swap)	16-Feb-24	STARPOWER SEMICONDUCTOR LT-A	Mirae Asset Securities (HK) Limited	28,000	5,029,920	1.14%
TIANSHUI HUATIAN TECHNOLOG-(Fully funded Swap)	16-Feb-24	TIANSHUI HUATIAN TECHNOLOG-A	Mirae Asset Securities (HK) Limited	908,000	8,153,840	1.85%
TONGFU MICROELECTRONIC CO-(Fully funded Swap)	15-Dec-23	TONGFU MICROELECTRONIC CO-A	Mirae Asset Securities (HK) Limited	316,000	6,057,720	1.37%
UNIGROUP GUOXIN MICROELECT-(Fully funded Swap)	15-Nov-23	UNIGROUP GUOXIN MICROELECT-A	Mirae Asset Securities (HK) Limited	166,000	14,475,200	3.28%
UNIGROUP GUOXIN MICROELECT-(Fully funded Swap)	16-Feb-24	UNIGROUP GUOXIN MICROELECT-A	Mirae Asset Securities (HK) Limited	85,000	7,412,000	1.68%
					<u>109,303,944</u>	
Total unlisted funded total return swaps					<u>109,303,944</u>	<u>24.78%</u>
Total investments					<u>440,782,185</u>	<u>99.98%</u>
Total investments, at cost					<u>546,427,244</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Global Leaders ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
AVARY HOLDING SHENZHEN CO -A	8,400	171,024	0.43%
BOE TECHNOLOGY GROUP CO LT-A	564,700	2,179,742	5.46%
GIGADEVICE SEMICONDUCTOR B-A	8,300	818,380	2.05%
GOERTEK INC -A	39,300	620,154	1.55%
HANGZHOU HIKVISION DIGITAL-A	84,600	2,859,480	7.16%
JCET GROUP CO LTD	19,100	582,550	1.46%
LONGI GREEN ENERGY TECHNOL-A	98,484	2,686,644	6.73%
LUXSHARE PRECISION INDUSTR-A	67,100	2,000,922	5.01%
MIDEA GROUP CO LTD-A	51,700	2,868,316	7.18%
MONTAGE TECHNOLOGY CO LTD-A	10,038	498,889	1.25%
SANY HEAVY INDUSTRY CO LTD-A	95,800	1,522,262	3.81%
SHENZHEN MINDRAY BIO-MEDIC-A	7,600	2,050,556	5.14%
SHENZHEN TRANSSION HOLDING-A	6,072	884,933	2.22%
TCL TECHNOLOGY GROUP CORP	231,100	942,888	2.36%
WILL SEMICONDUCTOR CO LTD-A	9,600	893,376	2.24%
WINGTECH TECHNOLOGY CO LTD-A	10,200	445,230	1.12%
XCMG CONSTRUCTION MACHIN-A	185,500	1,181,635	2.96%
ZHEJIANG DAHUA TECHNOLOGY-A	43,900	977,653	2.45%
ZHEJIANG HUAYOU COBALT CO - A	19,540	732,945	1.84%
ZHONGJI INNOLIGHT CO LTD-A	8,300	961,140	2.41%
		<u>25,878,719</u>	
Hong Kong			
BUDWEISER BREWING CO APAC LT	29,639	426,270	1.07%
CK HUTCHISON HOLDINGS LTD	46,909	1,828,444	4.58%
CK INFRASTRUCTURE HOLDINGS LTD	10,730	369,828	0.93%
HAIER SMART HOME CO LTD-H	40,572	930,368	2.33%
LENOVO GROUP LTD	114,028	856,045	2.14%
SEMICONDUCTOR MANUFACTURING INTL CORP	65,376	1,219,394	3.05%
SHENZHOU INTERNATIONAL GROUP	14,156	987,673	2.47%
TECHTRONIC INDUSTRIES CO	23,497	1,661,259	4.16%
WUXI BIOLOGICS CAYMAN INC	64,389	2,734,412	6.85%
XIAOMI CORP-CLASS B	256,859	2,948,638	7.39%
		<u>13,962,331</u>	
Total investments		<u>39,841,050</u>	<u>99.80%</u>
Total investments, at cost		<u>50,990,583</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Innovator Active ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
BEIJING KINGSOFT OFFICE SO-A	796	295,157	1.32%
CONTEMPORARY AMPEREX TECHN-A	5,225	1,060,832	4.76%
EAST MONEY INFORMATION CO-A	38,336	582,707	2.61%
ESTUN AUTOMATION CO LTD-A	3,700	81,622	0.37%
GUANGZHOU KDT MACHINERY CO-A	25,860	506,856	2.27%
GUANGZHOU SIE CONSULTING C-A	11,200	257,824	1.16%
HANGZHOU TIGERMED CONSULTI-A	3,000	199,800	0.90%
HITHINK ROYALFLUSH INFORM-A	3,200	478,272	2.14%
IFLYTEK CO LTD	6,595	334,103	1.50%
JIANGSU HENGLI HIGHPRESSUR-A	6,100	389,790	1.75%
JIANGSU HENGRUI MEDICINE C-A	15,800	710,052	3.18%
LONGI GREEN ENERGY TECHNOL-A	13,444	366,752	1.64%
LONGSHINE TECHNOLOGY GROUP-A	14,200	273,350	1.23%
LUXSHARE PRECISION INDUSTR-A	8,300	247,506	1.11%
MIDEA GROUP CO LTD-A	10,400	576,992	2.59%
NARI TECHNOLOGY DEVELOPMEN-A	21,188	470,162	2.11%
NINGBO ORIENT WIRES & CABL-A	3,600	143,316	0.64%
RIYUE HEAVY INDUSTRY CO LT-A	11,600	184,208	0.83%
SANGFOR TECHNOLOGIES INC-A	2,200	205,282	0.92%
SHANGHAI AWINIC TECHNOLOGY-A	5,709	377,079	1.69%
SHENZHEN ENVICOOL TECHNOLO-A	13,100	344,268	1.54%
SHENZHEN INOVANCE TECHNOLO-A	6,750	448,807	2.01%
SHENZHEN MINDRAY BIO-MEDIC-A	2,200	593,582	2.66%
SUNGROW POWER SUPPLY CO LT-A	3,300	295,383	1.32%
TONGWEI CO LTD-A	2,200	70,972	0.32%
WUXI LEAD INTELLIGENT EQUI-A	27,060	736,573	3.30%
YONYOU NETWORK TECHNOLOGY CO LTD	6,600	109,692	0.49%
ZHEJIANG HUAYOU COBALT CO - A	5,900	221,309	0.99%
ZHEJIANG SHUANGHUAN DRIVEL-A	8,001	227,868	1.02%
		10,790,116	
Hong Kong			
ALIBABA GROUP HOLDING LTD	14,381	1,145,181	5.13%
BAIDU INC-CLASS A	6,663	826,870	3.71%
BYD CO LTD-H	5,438	1,224,239	5.49%
BYD ELECTRONIC INTL CO LTD	22,952	762,255	3.42%
FUYAO GLASS INDUSTRY GROUP-H	15,437	515,548	2.31%
HUA HONG SEMICONDUCTOR LTD	7,231	133,460	0.60%
KE HOLDINGS INC-CL A	12,412	481,492	2.16%
L.K. TECH HLDG LTD COMMON SHARES	53,767	389,141	1.74%
LENOVO GROUP LTD	45,202	339,346	1.52%
MEITUAN-CLASS B	4,759	507,355	2.27%
NETEASE INC	2,252	334,149	1.50%
PING AN HEALTHCARE AND TECHNOLOGY CO LTD	15,615	265,540	1.19%
SINO BIOPHARMACEUTICAL	53,750	141,506	0.63%
TENCENT HOLDINGS LTD	4,270	1,216,311	5.45%
WUXI APPTec CO LTD-H	3,057	266,611	1.20%
WUXI BIOLOGICS CAYMAN INC	14,406	611,781	2.74%
ZIJIN MINING GROUP CO LTD-H	44,068	491,125	2.20%
		9,651,910	
United States			
PDD HOLDINGS INC	1,134	810,260	3.63%
ZTO EXPRESS CAYMAN INC-ADR	1,280	225,404	1.01%
		1,035,664	
Total investments		21,477,690	96.27%
Total investments, at cost		23,905,043	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Asia Semiconductor ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
ACM RESEARCH SHANGHAI I-A	4,100	482,898	0.73%
ADVANCED MICRO-FABRICATION-A	4,608	693,734	1.05%
BOE TECHNOLOGY GROUP CO LT-A	533,090	2,057,727	3.10%
GIGADEVICE SEMICONDUCTOR B-A	8,272	815,619	1.23%
JCET GROUP CO LTD	18,925	577,213	0.87%
LONGI GREEN ENERGY TECHNOL-A	82,550	2,251,964	3.40%
MAXSCEND MICROELECTRONICS -A	5,182	604,739	0.91%
MONTAGE TECHNOLOGY CO LTD-A	9,480	471,156	0.71%
NAURA TECHNOLOGY GROUP CO-A	3,927	947,585	1.43%
TCL TECHNOLOGY GROUP CORP	225,015	918,061	1.38%
UNIGROUP GUOXIN MICROELECT-A	8,597	749,659	1.13%
WILL SEMICONDUCTOR CO LTD-A	8,944	832,329	1.25%
WINGTECH TECHNOLOGY CO LTD-A	9,662	421,746	0.64%
ZHEJIANG JINGSHENG MECHANI-A	9,036	431,108	0.65%
		12,255,538	
Hong Kong			
SEMICONDUCTOR MANUFACTURING INTL CORP	61,790	1,152,508	1.74%
		1,152,508	
Japan			
ADVANTEST CORP NPV	4,552	929,214	1.40%
CANON INC NPV	5,613	987,947	1.49%
DISCO CORP	549	739,265	1.11%
FUJITSU LIMITED	1,102	946,951	1.43%
HITACHI LTD NPV	5,512	2,496,069	3.76%
HOYA CORP NPV	2,172	1,625,151	2.45%
LASERTEC CORP NPV	464	527,167	0.79%
MINEBEA MITSUMI INC	2,101	250,447	0.38%
RENESAS ELECTRONICS CORP NPV	7,162	799,188	1.20%
ROHM CO LTD NPV	2,052	282,226	0.43%
SONY GROUP CORP	11,090	6,627,449	9.99%
TDK CORP NPV	2,307	624,234	0.94%
TOKYO ELECTRON LTD NPV	2,771	2,765,355	4.17%
		19,600,663	
South Korea			
SAMSUNG ELECTRONICS CO LTD	17,624	6,508,708	9.81%
SK HYNIX INC	10,898	6,749,078	10.17%
		13,257,786	
Taiwan			
ASE TECHNOLOGY HOLDINGS CO LTD	55,185	1,363,840	2.06%
GLOBALWAFERS CO LTD	3,680	376,664	0.57%
MEDIA TEK INC	25,743	4,270,462	6.44%
NANYA TECHNOLOGY CORPORATION	19,541	289,320	0.44%
NOVATEK MICROELECTRONICS COR	9,824	937,901	1.41%
PEGATRON CORP	34,843	602,383	0.91%
QUANTA COMPUTER INC	48,694	2,632,142	3.97%
TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	55,239	6,520,424	9.83%
UNIMICRON TECHNOLOGY CORP	21,048	824,212	1.24%
UNITED MICROELECTRONICS CORP	206,673	2,108,388	3.18%
		19,925,736	
Total investments		66,192,231	99.79%
Total investments, at cost		73,834,567	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China E-Commerce and Logistics ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
CHINA COSCO HOLDINGS-A	93,400	916,254	5.56%
CHINA MERCHANTS ENERGY -A	49,100	315,713	1.91%
CHINA MERCHANTS EXPRESSWAY-A	96,400	930,260	5.64%
CHINA RAILWAY MATERIALS CO-A	33,400	89,846	0.54%
CHINA RAILWAY SPECIAL CARG-A	39,400	172,178	1.04%
CHINA TRANSINFO TECHNOLOGY-A	18,400	219,696	1.33%
DAQIN RAILWAY CO LTD -A	105,100	766,179	4.65%
DEPPON LOGISTICS CO LTD -A	5,400	83,268	0.51%
GUANGSHEN RAILWAY CO LTD-A	57,500	166,175	1.01%
JIANGSU EXPRESSWAY CO LTD-A	9,800	101,822	0.62%
S F HOLDING CO LTD-A	23,600	962,880	5.84%
SHANDONG HI-SPEED CO LTD -A	23,900	171,124	1.04%
SHANGHAI INTERNATIONAL AIR-A	23,000	871,470	5.28%
SHANGHAI ZHONGGU LOGISTICS-A	38,800	381,016	2.31%
SINOTRANS LIMITED-A	23,600	117,292	0.71%
XIAMEN C & D INC-A	31,900	316,448	1.92%
YTO EXPRESS GROUP CO LTD-A	28,100	422,343	2.56%
YUNDA HOLDING CO LTD-A	25,000	246,500	1.50%
ZHEJIANG CHINA COMMODITIES-A	47,600	430,304	2.61%
		<u>7,680,768</u>	
Hong Kong			
JD LOGISTICS INC	49,417	457,415	2.77%
MEITUAN-CLASS B	8,588	915,563	5.55%
MTR CORP	32,767	944,952	5.73%
ORIENT OVERSEAS INTL LTD	2,726	265,005	1.61%
SITC INTERNATIONAL HOLDINGS	26,471	324,069	1.97%
		<u>2,907,004</u>	
United States			
ALIBABA GROUP HOLDING-SP ADR	1,520	960,590	5.82%
FULL TRUCK ALLIANCE -SPN ADR	18,149	930,894	5.64%
JD.COM INC-ADR JD.COM INC-ADR	4,215	894,567	5.42%
PDD HOLDINGS INC	1,436	1,026,043	6.22%
VIPSHOP HOLDINGS LTD - ADS	9,177	1,070,452	6.49%
ZTO EXPRESS CAYMAN INC-ADR	5,593	984,910	5.97%
		<u>5,867,456</u>	
Total investments		<u>16,455,228</u>	<u>99.77%</u>
Total investments, at cost		<u>19,741,751</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Games and Entertainment ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
37 INTERACTIVE ENTERTAINME-A	22,400	486,080	4.57%
BEIJING ENLIGHT MEDIA CO L-A	30,600	263,160	2.48%
BEIJING JETSEN TECHNOLOGY-A	54,400	282,880	2.66%
BEIJING ULTRAPOWER SOFTWARE-A	33,900	341,034	3.21%
BTG HOTELS GROUP CO LTD-A	1,800	31,014	0.29%
CHINESE UNIVERSE PUBLISHIN-A	12,100	151,613	1.43%
G-BITS NETWORK TECHNOLOGY-A	900	329,058	3.10%
GIANT NETWORK GROUP CO LTD-A	17,800	230,332	2.17%
KINGNET NETWORK CO LTD-A	30,500	384,300	3.62%
MANGO EXCELLENT MEDIA CO L-A	12,200	345,382	3.25%
OURPALM CO LTD-A	56,200	270,322	2.54%
PERFECT WORLD CO LTD-A	29,700	392,040	3.69%
SHANGHAI JINJIANG INTERNET-A	1,500	56,400	0.53%
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	29,600	361,712	3.40%
SOUTHERN PUBLISHING & MEDI-A	6,500	102,310	0.96%
WANDA FILM HOLDING CO LTD-A	26,600	358,568	3.37%
ZHEJIANG CENTURY HUATONG -A	102,300	494,109	4.65%
ZHEJIANG JINKE CULTURE IND-A	57,600	301,248	2.83%
ZHEJIANG PUBLISHING & MEDI-A	25,600	201,984	1.90%
		<u>5,383,546</u>	
Hong Kong			
CHINA LITERATURE LTD	8,537	227,532	2.14%
CHINA RUYI HOLDINGS LTD	131,360	248,068	2.33%
TENCENT HOLDINGS LTD	3,164	901,266	8.48%
TONGCHENG TRAVEL HOLDINGS LTD	4,030	64,483	0.61%
		<u>1,441,349</u>	
United States			
ATOUR LIFESTYLE HOLDINGS-ADR	348	47,793	0.45%
BILIBILI INC-SPONSORED ADR	7,154	717,725	6.75%
HUAZHU GROUP LTD-ADR	1,038	298,194	2.81%
IQIYI INC-ADR IQ	11,398	393,625	3.70%
NETEASE INC-ADR	1,336	974,935	9.17%
TENCENT MUSIC ENTERTAINM-ADR TME	16,403	762,463	7.17%
TRIP.COM GROUP LTD	2,184	556,446	5.23%
		<u>3,751,181</u>	
Total investments		<u>10,576,076</u>	<u>99.49%</u>
Total investments, at cost		<u>11,580,068</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

FinTech ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
Listed equities			
Australia			
HUB24 LTD	382	8,013	0.61%
IRESS LTD NPV	881	3,309	0.25%
XERO LTD XRO	717	52,027	3.97%
ZIP CO LTD	3,469	604	0.05%
		<u>63,953</u>	
Canada			
GALAXY DIGITAL HOLDINGS LTD	468	1,727	0.13%
HUT 8 MINING CORP	885	1,748	0.13%
NUVEI CORP-SUBORDINATE VTG	311	4,686	0.36%
		<u>8,161</u>	
Germany			
HYPOPORT SE	33	4,483	0.34%
		<u>4,483</u>	
Hong Kong			
LINKLOGIS INC-CLASS B	9,108	2,035	0.16%
YEAHKA LTD	2,129	4,023	0.31%
		<u>6,058</u>	
Italy			
NEXI SPA NEXI	6,259	38,355	2.93%
		<u>38,355</u>	
Japan			
WEALTHNAVI INC	220	1,871	0.14%
		<u>1,871</u>	
South Korea			
KAKAO PAY CORP	640	19,327	1.48%
		<u>19,327</u>	
Switzerland			
LEONTEQ AG LEON	90	3,768	0.29%
TEMENOS AG - REG	358	25,210	1.93%
		<u>28,978</u>	
Netherlands			
ADYEN NV ADYEN	60	44,830	3.42%
		<u>44,830</u>	
United Kingdom			
WISE PLC - A	4,888	40,903	3.12%
		<u>40,903</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

FinTech ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
Listed equities (continued)			
United States			
ACI WORLDWIDE INC COM	537	12,115	0.93%
AFFIRM HOLDINGS INC	1,117	23,759	1.81%
AVANTAX INC	230	5,883	0.45%
BAKKT HOLDINGS INC	346	405	0.03%
BILL HOLDINGS INC	508	55,154	4.21%
BLEND LABS INC-A	993	1,360	0.10%
BLOCK INC SQ	1,105	48,907	3.73%
COINBASE GLOBAL INC -CLASS A	854	64,118	4.90%
DLOCAL LTD/URUGUAY	773	14,818	1.13%
ENVESTNET INC ENVESTNET INC	264	11,624	0.89%
FIDELITY NATIONAL INFO SERV FIS	1,350	74,614	5.70%
FISERV INC	737	83,252	6.36%
FLYWIRE CORP-VOTING	498	15,881	1.21%
GLOBAL PAYMENTS INC COM NPV	584	67,388	5.15%
GUIDEWIRE SOFTWARE INC	391	35,190	2.69%
HEALTH EQUITY INC HQY	404	29,512	2.25%
I3 VERTICALS INC-CLASS A IIIV	104	2,199	0.17%
INTUIT INC	215	109,852	8.39%
JACK HENRY & ASSOCIATES INC COM	349	52,748	4.03%
LEMONADE INC	330	3,835	0.29%
LENDINGCLUB CORP	509	3,105	0.24%
LENDINGTREE INC TREE	58	899	0.07%
LUFAX HOLDING LTD	10,914	11,569	0.88%
MARATHON DIGITAL HOLDINGS IN	558	4,743	0.36%
MERIDIANLINK INC	386	6,585	0.50%
MITEK SYSTEMS INC	202	2,165	0.17%
NCINO INC	530	16,854	1.29%
OPEN LENDING CORP - CL A	603	4,414	0.34%
PAGAYA TECHNOLOGIES LTD -A	2,417	3,819	0.29%
PAGSEGURO DIGITAL LTD-CL A	954	8,214	0.63%
PAYMENTUS HOLDINGS INC-A	88	1,461	0.11%
PAYONEER GLOBAL INC	1,672	10,233	0.78%
PAYPAL HOLDINGS INC	1,164	68,047	5.20%
PAYSAFE LTD	289	3,465	0.26%
QIWI PLC-SPONSORED ADR ¹	738	-	0.00%
RIOT PLATFORMS INC	799	7,455	0.57%
SAPIENS INTERNATIONAL CORP	263	7,477	0.57%
SHIFT4 PAYMENTS INC-CLASS A	253	14,009	1.07%
SOFI TECHNOLOGIES INC	4,431	35,404	2.70%
SS&C TECHNOLOGIES HOLDINGS	1,093	57,426	4.39%
STONECO LTD-A STNE	1,340	14,298	1.09%
TOAST INC-CLASS A	1,685	31,560	2.41%
UPSTART HOLDINGS INC	389	11,102	0.85%
VERTEX INC - CLASS A VERX	233	5,382	0.41%
VIRTU FINANCIAL INC-CLASS A	470	8,117	0.62%
		1,050,417	
Total investments		1,307,336	99.84%
Total investments, at cost		2,149,812	

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Autonomous and Electric Vehicles ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
Listed equities			
Australia			
ALLKEM LTD	3,033	23,020	0.83%
IGO LTD	3,218	26,294	0.95%
PILBARA MINERALS LTD PLS	10,204	28,318	1.02%
		<u>77,632</u>	
Canada			
LITHIUM AMERICAS CORP	1,597	27,262	0.98%
LUNDIN MINING CORP COM NPV	3,947	29,573	1.06%
		<u>56,835</u>	
France			
FAURECIA EUR7	1,305	27,095	0.97%
RENAULT SA	820	33,750	1.21%
STMICROELECTRONICS NV	837	36,320	1.31%
		<u>97,165</u>	
Germany			
CONTINENTAL AG NPV	455	32,160	1.16%
INFINEON TECHNOLOGIES AG	1,197	39,737	1.43%
VOLKSWAGEN AG-PREF NON VTG PRF NPV	301	34,717	1.25%
		<u>106,614</u>	
Hong Kong			
GANFENG LITHIUM CO LTD-H	5,035	20,669	0.74%
GEELY AUTOMOBILE HOLDINGS LTD	27,843	32,849	1.18%
NEXTEER AUTOMOTIVE HKD0.1	47,557	25,929	0.93%
		<u>79,447</u>	
Italy			
STELLANTIS NV	2,468	47,583	1.71%
		<u>47,583</u>	
Japan			
DENSO CORP NPV	2,484	39,967	1.44%
GS YUASA CORP NPV	1,637	29,213	1.05%
HITACHI LTD NPV	811	50,407	1.81%
HONDA MOTOR CO LTD NPV	4,632	52,210	1.88%
NISSAN MOTOR CO LTD	8,664	38,337	1.38%
TOYOTA MOTOR CORP NPV	5,156	92,513	3.33%
		<u>302,647</u>	
South Korea			
HYUNDAI MOTOR CO	250	35,405	1.27%
KIA CORP	556	33,540	1.21%
LG ENERGY SOLUTION	88	31,075	1.12%
		<u>100,020</u>	
Taiwan			
ADVANCED ENERGY SOLUTION HOL	1,420	28,109	1.01%
		<u>28,109</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Autonomous and Electric Vehicles ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
Listed equities (continued)			
Netherlands			
APERAM NPV	1,000	29,221	1.05%
TOMTOM	4,074	29,158	1.05%
		<u>58,379</u>	
United Kingdom			
JOHNSON MATTHEY PLC JMAT	1,455	28,912	1.04%
		<u>28,912</u>	
United States			
ALBEMARLE CORP	175	29,757	1.07%
ALLEGHENY TECHNOLOGIES INC	744	30,616	1.10%
ALPHABET INC-CL A GOOGL	773	101,155	3.64%
AMBARELLA INC AMBA	400	21,212	0.76%
AMERICAN AXLE & MFG HOLDINGS	3,728	27,065	0.97%
APPLE INC	505	86,461	3.11%
APTIV PLC	385	37,957	1.36%
BAIDU INC - SPON ADR	310	41,649	1.50%
BALLARD POWER SYSTEMS INC	7,068	25,940	0.93%
BLACKBERRY LTD COM NPV	6,630	31,227	1.12%
BLOOM ENERGY CORP- A	1,880	24,929	0.90%
CABOT CORP	487	33,734	1.21%
CEVA INC	1,245	24,141	0.87%
COHERENT CORP	655	21,379	0.77%
ENERSYS	308	29,158	1.05%
FORD MOTOR CO	3,433	42,638	1.53%
GENERAL MOTORS CO	1,274	42,004	1.51%
GENTHERM INC COM NPV	601	32,610	1.17%
HONEYWELL INTERNATIONAL INC	381	70,386	2.53%
HYSTER-YALE MATERIALS	685	30,537	1.10%
INDIE SEMICONDUCTOR INC-A	3,428	21,596	0.78%
INNOVIZ TECHNOLOGIES LTD	9,723	18,960	0.68%
INTEL CORP	2,363	84,005	3.02%
ITT INC	360	35,248	1.27%
LEAR CORP	232	31,134	1.12%
LIVENT CORP LTHM	1,210	22,276	0.80%
LUCID GROUP INC	4,720	26,385	0.95%
LUMINAR TECHNOLOGIES INC	4,368	19,874	0.71%
MICROSOFT CORP COM	187	59,045	2.12%
MICROVAST HOLDINGS INC	12,279	23,207	0.83%
MICROVISION INC WA USD0.01	7,509	16,445	0.59%
NIKOLA CORP	14,891	23,379	0.84%
NIO INC - ADR	3,546	32,056	1.15%
NVIDIA CORP	209	90,913	3.27%
NXP SEMICONDUCTORS NV	238	47,581	1.71%

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Autonomous and Electric Vehicles ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
Listed equities (continued)			
United States (continued)			
ON SEMICONDUCTOR	465	43,222	1.55%
PIEDMONT LITHIUM INC	557	22,113	0.79%
PLUG POWER INC	2,820	21,432	0.77%
QUALCOMM INC	639	70,967	2.55%
QUANTUMSCAPE CORP	3,297	22,057	0.79%
QUIMICA Y MINERA CHIL-SP ADR	463	27,627	0.99%
SITIME CORP	255	29,134	1.05%
STANDARD LITHIUM LTD	7,101	20,096	0.72%
TESLA INC	346	86,576	3.11%
VISTEON CORP	219	30,237	1.09%
WABTEC CORP	349	37,088	1.33%
XPENG INC - ADR	2,551	46,836	1.68%
YANDEX NV-A YNDX ¹	802	–	0.00%
		<u>1,794,044</u>	
Total investments		<u>2,777,387</u>	<u>99.83%</u>
Total investments, at cost		<u>3,503,555</u>	

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Asia Innovator Active ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
CONTEMPORARY AMPEREX TECHN-A	3,420	694,363	5.57%
EAST MONEY INFORMATION CO-A	16,056	244,051	1.96%
EVE ENERGY CO LTD-A	4,200	189,504	1.52%
GUANGZHOU KDT MACHINERY CO-A	5,340	104,664	0.84%
HAN'S LASER TECHNOLOGY IN-A	9,100	210,028	1.68%
LONGI GREEN ENERGY TECHNOL-A	15,520	423,386	3.39%
LUXSHARE PRECISION INDUSTR-A	4,400	131,208	1.05%
NARI TECHNOLOGY DEVELOPMEN-A	9,696	215,154	1.72%
SHENZHEN INOVANCE TECHNOLO-A	2,400	159,576	1.28%
SHENZHEN MINDRAY BIO-MEDIC-A	1,000	269,810	2.16%
SUNGROW POWER SUPPLY CO LT-A	1,100	98,461	0.79%
VENUSTECH GROUP INC-A	4,500	127,080	1.02%
WUXI LEAD INTELLIGENT EQUI-A	7,000	190,540	1.53%
ZHEJIANG SHUANGHUAN DRIVEL-A	8,300	236,384	1.90%
		<u>3,294,209</u>	
Hong Kong			
ALIBABA GROUP HOLDING LTD	3,658	291,292	2.34%
BAIDU INC-CLASS A	1,033	128,194	1.03%
BYD CO LTD-H	4,818	1,084,660	8.70%
CHINASOFT INTERNATIONAL LTD	47,545	246,803	1.98%
FUYAO GLASS INDUSTRY GROUP-H	7,597	253,716	2.03%
HUA HONG SEMICONDUCTOR LTD	4,109	75,838	0.61%
JD.COM INC - CL A	1,229	131,595	1.05%
L.K. TECH HLDG LTD COMMON SHARES	13,023	94,254	0.76%
MEITUAN-CLASS B	2,088	222,601	1.79%
PING AN HEALTHCARE AND TECHNOLOGY CO LTD	4,977	84,636	0.68%
TENCENT HOLDINGS LTD	1,762	501,906	4.02%
WUXI BIOLOGICS CAYMAN INC	2,817	119,630	0.96%
XINYI SOLAR HLDGS	31,601	172,270	1.38%
		<u>3,407,395</u>	
Japan			
KEYENCE CORP NPV	101	273,683	2.19%
NIDEC CORP NPV	383	129,607	1.04%
SONY GROUP CORP	451	269,520	2.16%
		<u>672,810</u>	
South Korea			
HANWHA SOLUTIONS CORP	706	113,403	0.91%
HYBE CO LTD	14	17,990	0.14%
LG CHEM LTD	16	42,892	0.34%
NAVER CORP	86	93,564	0.75%
SAMSUNG BIOLOGICS CO LTD	23	84,569	0.68%
SAMSUNG ELECTRONICS CO LTD	758	279,936	2.24%
SAMSUNG SDI CO LTD	33	91,226	0.73%
		<u>723,580</u>	
Taiwan			
MEDIATEK INC	506	83,939	0.67%
TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	8,296	979,262	7.85%
UNIMICRON TECHNOLOGY CORP	4,962	194,305	1.56%
		<u>1,257,506</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Asia Innovator Active ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities (continued)			
United States			
COUPANG INC	1,766	218,733	1.75%
FUTU HOLDINGS LTD-ADR	515	216,913	1.74%
GRAB HOLDINGS LTD - CL A	7,683	198,157	1.59%
HDFC BANK LTD-ADR	1,182	508,181	4.07%
ICICI BANK LTD-SPON ADR	2,181	367,383	2.94%
INFOSYS LTD-SP ADR SPON ADR EACH REP 1 INR5	3,306	412,124	3.30%
PDD HOLDINGS INC	225	160,766	1.29%
SEA LTD-ADR SE	237	75,890	0.61%
		<u>2,158,147</u>	
Total investments		<u>11,513,647</u>	<u>92.29%</u>
Total investments, at cost		<u>14,927,108</u>	

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED)

For the period from 1 April 2023 to 30 September 2023

China Clean Energy ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Industrials					
DAJIN HEAVY INDUSTRY CO LT-A	288,500	–	–	288,500	–
GINLONG TECHNOLOGIES CO LT-A	219,700	6,000	–	193,500	32,200
GOODWE TECHNOLOGIES CO LTD-A	48,042	–	17,795	20,581	45,256
JIANGSU LINYANG ELECTRONIC-A	–	1,442,300	–	1,143,200	299,100
LUOYANG XINQIANGLIAN SLEWI-A	200,770	–	–	200,770	–
MING YANG SMART ENERGY GRO-A	1,664,292	4,100	–	669,192	999,200
NARI TECHNOLOGY DEVELOPMEN-A	3,139,390	27,100	581,518	1,404,908	2,343,100
NINGBO DEYE TECHNOLOGY CO -A	–	242,500	–	35,400	207,100
NINGBO ORIENT WIRES & CABL-A	388,913	–	–	357,413	31,500
SUNGROW POWER SUPPLY CO LT-A	912,360	20,200	–	342,560	590,000
SUZHOU MAXWELL TECHNOLOGIE-A	76,408	–	43,144	119,552	–
TITAN WIND ENERGY SUZHOU-A	1,261,005	5,200	–	1,133,105	133,100
XINJIANG GOLDWIND SCI&TECH-A	2,603,282	–	–	1,101,782	1,501,500
ZHEJIANG CHINT ELECTRICS-A	918,378	2,600	–	310,478	610,500
Information Technology					
DAQO NEW ENERGY CORP-ADR	71,607	999	–	25,133	47,473
FLAT GLASS GROUP CO LTD-A	423,926	2,900	–	397,526	29,300
GCL POLY ENERGY HOLDINGS LTD	–	15,638,400	–	2,077,000	13,561,400
HAINAN DRINDA NEW ENERGY T-A	–	96,100	–	76,900	19,200
HENGDIAN GROUP DMEGC -A	645,200	5,200	–	190,800	459,600
HOYUAN GREEN ENERGY CO LTD-A	168,409	2,000	20,371	176,879	13,901
JA SOLAR TECHNOLOGY CO LTD-A	918,011	32,100	364,324	877,600	436,835
JIANGSU AKCOME SCIENCE & T-A	4,250,000	–	–	4,250,000	–
JINKO SOLAR CO LTD-A	–	7,826,900	–	6,204,492	1,622,408
JINKOSOLAR HOLDING CO-ADR	38,149	–	–	38,149	–
LONGI GREEN ENERGY TECHNOL-A	2,847,836	664,000	–	686,200	2,825,636
NAURA TECHNOLOGY GROUP CO-A	–	214,100	–	38,000	176,100
RISEN ENERGY CO LTD-A	340,500	369,500	–	356,600	353,400
SHANGHAI AIKO SOLAR ENERGY-A	789,740	10,300	292,336	410,876	681,500
SHENZHEN SC NEW ENERGY TEC-A	230,300	2,800	–	81,400	151,700
TCL ZHONGHUAN RENEWABLE EN-A	2,134,749	23,500	369,262	1,813,811	713,700
TRINA SOLAR CO LTD-A	918,519	9,800	–	492,858	435,461
WUHAN DR LASER TECHNOLOGY -A	122,220	1,000	67,632	158,852	32,000
XINYI SOLAR HLDGS	4,345,133	–	–	1,364,391	2,980,742
ZHEJIANG JINGSHENG MECHANI-A	603,381	1,700	–	211,981	393,100
Materials					
JIANGSU CNANO TECHNOLOGY C-A	178,952	–	78,284	257,236	–
Utilities					
CECEP WIND POWER CORP-A	4,105,433	–	–	3,595,833	509,600
CHINA LONGYUAN POWER GROUP-H	3,286,107	–	–	1,224,966	2,061,141
CHINA THREE GORGES RENEWAB-A	21,163,909	38,100	–	17,825,609	3,376,400
CHINA YANGTZE POWER CO LTD-A	5,905,334	16,500	–	2,144,534	3,777,300
HUANENG LANCANG RIVER HYDR-A	1,686,300	–	–	686,500	999,800
SICHUAN CHUANTOU ENERGY CO-A	1,194,134	–	–	475,734	718,400

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Clean Energy ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Unlisted funded total return swaps						
Industrials						
GINLONG TECHNOLOGIES CO LT-(Fully funded Swap)	16-Feb-24	–	102,000	–	–	102,000
JIANGSU LINYANG ENERGY CO-(Fully funded Swap)	16-Feb-24	–	951,000	–	–	951,000
NINGBO ORIENT WIRES & CABL-(Fully funded Swap)	15-Dec-23	–	207,000	–	–	207,000
TITAN WIND ENERGY SUZHOU-(Fully funded Swap)	14-Mar-24	–	421,000	–	–	421,000
Information Technology						
FLAT GLASS GROUP CO LTD-(Fully funded Swap)	15-Nov-23	–	293,000	–	–	293,000
HAINAN DRINDA NEW ENERGY T-(Fully funded Swap)	15-Dec-23	–	64,500	–	–	64,500
HOYUAN GREEN ENERGY CO LTD-(Fully funded Swap)	15-Dec-23	–	101,045	40,154	–	141,199
JA SOLAR TECHNOLOGY CO LTD-(Fully funded Swap)	27-Oct-23	–	603,500	–	–	603,500
JINKO SOLAR CO LTD-(Fully funded Swap)	15-Nov-23	–	2,840,000	–	–	2,840,000
JINKO SOLAR CO LTD-(Fully funded Swap)	16-Feb-24	–	2,327,000	–	–	2,327,000
RISEN ENERGY CO LTD-(Fully funded Swap)	15-Dec-23	–	236,800	–	–	236,800
TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap)	14-Dec-23	–	500,000	125,000	–	625,000
TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap)	15-Dec-23	–	250,000	–	–	250,000
WUHAN DR LASER TECHNOLOGY-(Fully funded Swap)	16-Feb-24	–	102,000	–	–	102,000
Utilities						
CECEP WIND POWER CORP-(Fully funded Swap)	16-Feb-24	–	1,622,000	–	–	1,622,000
CHINA THREE GORGES RENEWAB-(Fully funded Swap)	15-Dec-23	–	2,700,000	–	–	2,700,000
CHINA THREE GORGES RENEWAB-(Fully funded Swap)	19-Dec-23	–	5,000,000	–	–	5,000,000

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Consumer Brand ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Consumer Discretionary					
ANTA SPORTS PRODUCTS LTD	410,340	34,236	–	73,247	371,329
BYD CO LTD -A	225,073	7,600	–	232,673	–
CHOW TAI FOOK JEWELRY	585,281	16,000	–	601,281	–
ECOVACS ROBOTICS CO LTD-A	52,100	8,200	–	9,200	51,100
FUYAO GROUP GLASS INDUSTRY	468,512	33,900	–	121,212	381,200
GALAXY ENTERTAINMENT GROUP LTD	769,683	33,759	–	803,442	–
GREAT WALL MOTOR CO LTD-A	279,774	20,500	–	247,074	53,200
HAILAO INTERNATIONAL HOLDINGS	554,980	16,000	–	570,980	–
HAIER SMART HOME CO LTD-A	1,163,628	92,500	–	240,428	1,015,700
HUALI INDUSTRIAL GROUP CO -A	32,550	800	–	33,350	–
HUAZHU GROUP LTD-ADR	105,971	6,478	–	30,870	81,579
JASON FURNITURE HANGZHOU C-A	116,217	6,800	–	23,717	99,300
LI AUTO INC - ADR LI	–	210,624	–	20,234	190,390
LI NING CO LTD	829,119	53,217	–	197,358	684,978
MIDEA GROUP CO LTD-A	–	968,500	–	93,100	875,400
OPPEIN HOME GROUP INC-A	47,678	10,100	–	9,200	48,578
SAIC MOTOR CORPORATION LTD-A	908,860	59,800	–	219,960	748,700
SHANGHAI JINJIANG INTERNET-A	153,900	8,000	–	49,000	112,900
SHENZHEN INTERNATIONAL GROUP	–	235,119	–	21,600	213,519
SONGCHENG PERFORMANCE	–	–	–	–	–
DEVELOPMENT CO LTD	480,897	13,600	–	494,497	–
YUM CHINA HOLDINGS INC	135,600	4,596	–	140,196	–
ZHEJIANG SUPOR COOKWARE CO-A	46,512	1,600	–	48,112	–
Consumer Staples					
CHINA MENGNIU DAIRY CO	–	970,633	–	81,000	889,633
EASTROC BEVERAGE GROUP CO -A	20,800	1,700	–	18,000	4,500
FOSHAN HAITIAN FLAVOURING -A	357,151	28,780	71,170	88,801	368,300
FU JIAN ANJOY FOODS CO LTD-A	61,500	3,400	–	11,000	53,900
HENAN SHUANGHUI INVESTMENT-A	–	324,000	–	250,400	73,600
HENGAN INTL GROUP CO LTD	–	206,281	–	13,500	192,781
KWEICHOW MOUTAI CO LTD-A	32,754	2,200	–	6,054	28,900
LUZHOU LAOJIAO CO LTD-A	–	210,200	–	20,200	190,000
NONGFU SPRING CO LTD-H	634,694	39,871	–	164,505	510,060
SHANXI XINGHUACUN FEN WINE-A	124,996	4,500	–	129,496	–
TINGYI (CAYMAN ISLN) HLDG CO	675,038	34,000	–	148,041	560,997
WANT WANT CHINA HOLDINGS LTD	–	794,360	–	81,000	713,360
WULIANGYE YIBIN CO LTD-A	315,230	28,800	–	51,900	292,130
YIHAI KERRY ARAWANA HOLDINGS	162,900	28,300	–	166,800	24,400
YUNNAN BOTANEE BIO-TECHNOLOGY	–	95,500	–	8,100	87,400
Industrials					
TECHTRONIC INDUSTRIES CO	447,439	27,744	–	98,601	376,582
Real Estate					
GEMDALE CORP-A	991,400	575,500	–	1,285,300	281,600

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Consumer Brand ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Unlisted funded total return swaps						
Consumer Discretionary						
GREAT WALL MOTOR CO LTD-(Fully funded Swap)	16-Feb-24	–	183,700	–	–	183,700
Consumer Staples						
EASTROC BEVERAGE GROUP CO-(Fully funded Swap)	15-Nov-23	–	12,000	–	–	12,000
HENAN SHUANGHUI INVESTMENT-(Fully funded Swap)	16-Feb-24	–	218,000	–	–	218,000
YIHAI KERRY ARAWANA HOLDIN-(Fully funded Swap)	15-Dec-23	–	120,300	–	19,000	101,300
Real Estate						
GEMDALE CORP-(Fully funded Swap)	15-Dec-23	–	1,073,000	–	–	1,073,000

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Electric Vehicle and Battery ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Consumer Discretionary					
BYD CO LTD -A	1,097,131	19,400	–	326,731	789,800
FUYAO GROUP GLASSIndustr-A	1,225,900	1,051,000	–	408,200	1,868,700
HUIZHOU DESAY SV AUTOMOTIV-A	–	275,500	–	147,000	128,500
NINGBO TUOPU GROUP CO LTD-A	286,000	386,600	–	123,400	549,200
SHENZHEN KEDALI INDUSTRY C-A	141,919	184,000	–	266,400	59,519
TIANNENG BATTERY GROUP CO -A	–	1,404,487	–	207,506	1,196,981
Industrials					
BEIJING EASPRING MATERIAL-A	724,765	4,700	–	570,565	158,900
CONTEMPORARY AMPEREX TECHN-A	695,758	13,400	546,046	342,404	912,800
EVE ENERGY CO LTD-A	3,395,130	45,900	–	1,667,330	1,773,700
GOTION HIGH-TECH CO LTD-A	2,460,955	42,400	–	2,141,055	362,300
GUANGZHOU GREAT POWER ENER-A	319,740	213,833	–	533,573	–
HONGFA TECHNOLOGY CO LTD-A	639,898	523,480	–	221,700	941,678
NINGBO RONBAY NEW ENERGY T-A	1,027,213	20,464	–	919,749	127,928
PYLON TECHNOLOGIES CO LTD-A	127,931	72,265	–	174,608	25,588
SHENZHEN INOVANCE TECHNOLO-A	3,941,697	33,900	–	2,090,197	1,885,400
SUNWODA ELECTRONIC CO LTD-A	3,445,474	49,400	–	1,682,774	1,812,100
WUXI LEAD INTELLIGENT EQUI-A	887,206	864,800	–	855,200	896,806
ZHEJIANG SANHUA INTELLIGEN-A	1,494,852	1,851,100	–	2,742,600	603,352
ZHUZHOU CRRC TIMES ELECTRI-A	667,358	499,305	–	213,630	953,033
Information Technology					
STARPOWER SEMICONDUCTOR LT-A	111,128	400	–	111,528	–
WUXI AUTOWELL TECHNOLOGY C-A	96,673	119,119	–	185,892	29,900
XIAMEN FARATRONIC CO LTD-A	113,400	104,900	–	178,400	39,900
Materials					
CANMAX TECHNOLOGIES CO LTD-A	434,400	521,900	127,680	941,800	142,180
CHENGXIN LITHIUM GROUP CO-A	541,439	1,800	–	543,239	–
CNGR ADVANCED MATERIAL CO -A	1,163,081	10,400	–	1,009,381	164,100
DO-FLUORIDE NEW MATERIALS -A	620,500	813,500	243,360	1,463,900	213,460
GANFENG LITHIUM GROUP CO L-A	3,676,295	28,200	–	1,729,695	1,974,800
GEM CO LTD-A	4,095,964	3,508,800	–	4,926,500	2,678,264
GUANGZHOU TINCI MATERIALS -A	3,940,240	82,100	–	3,499,940	522,400
NINGBO SHANSHAN CO LTD-A	3,696,822	898,000	–	4,362,022	232,800
SHANGHAI PUTAILAI NEW ENER-A	1,449,379	19,500	639,845	1,016,024	1,092,700
SHENZHEN CAPCHEM TECHNOLOG-A	1,067,008	12,500	–	926,008	153,500
SHENZHEN DYNANONIC CO LTD-A	261,752	62,313	50,431	346,343	28,153
SHENZHEN SENIOR TECHNOLOGY-A	917,404	874,400	–	1,443,200	348,604
SINOMINE RESOURCE GROUP CO-A	–	934,000	–	806,000	128,000
TIANQI LITHIUM CORP-A	2,412,016	26,058	–	1,512,116	925,958
YUNNAN ENERGY NEW MATERIAL-A	400,663	602,100	–	822,100	180,663
ZHEJIANG HUAYOU COBALT CO - A	829,600	948,900	–	1,455,600	322,900

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Unlisted funded total return swaps						
Consumer Discretionary						
HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap)	15-Nov-23	–	55,000	–	–	55,000
HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap)	16-Feb-24	–	52,000	–	–	52,000
SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap)	15-Nov-23	–	100,000	–	–	100,000
SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap)	16-Feb-24	–	110,000	–	–	110,000
Industrials						
BEIJING EASPRING MATERIAL-(Fully funded Swap)	15-Nov-23	–	173,000	–	–	173,000
BEIJING EASPRING MATERIAL-(Fully funded Swap)	16-Feb-24	–	174,000	–	–	174,000
GOTION HIGH-TECH CO LTD-(Fully funded Swap)	15-Nov-23	–	632,000	–	–	632,000
GOTION HIGH-TECH CO LTD-(Fully funded Swap)	15-Dec-23	–	250,000	–	–	250,000
GOTION HIGH-TECH CO LTD-(Fully funded Swap)	16-Feb-24	–	265,000	–	–	265,000
GUANGZHOU GREAT POWER ENER-(Fully funded Swap)	10-Nov-23	–	212,700	–	212,700	–
NINGBO RONBAY NEW ENERGY T-(Fully funded Swap)	27-Mar-24	–	451,000	–	–	451,000
PYLON TECHNOLOGIES CO LTD-(Fully funded Swap)	15-Nov-23	–	137,000	–	–	137,000
WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap)	15-Nov-23	–	270,000	–	–	270,000
WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap)	16-Feb-24	–	270,000	–	–	270,000
ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap)	21-Dec-23	–	928,000	–	–	928,000
ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap)	14-Mar-24	–	1,198,000	–	–	1,198,000
Information Technology						
WUXI AUTOWELL TECHNOLOGY C-(Fully funded Swap)	15-Nov-23	–	148,000	–	–	148,000
XIAMEN FARATRONIC CO LTD-(Fully funded Swap)	14-Mar-24	–	138,000	–	–	138,000
Materials						
CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap)	15-Dec-23	–	200,000	–	–	200,000
CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap)	15-Nov-23	–	550,000	–	–	550,000
CNGR ADVANCED MATERIAL CO-(Fully funded Swap)	15-Dec-23	–	123,700	–	–	123,700
CNGR ADVANCED MATERIAL CO-(Fully funded Swap)	15-Nov-23	–	140,000	–	–	140,000
CNGR ADVANCED MATERIAL CO-(Fully funded Swap)	16-Feb-24	–	262,000	–	–	262,000
DO-FLUORIDE NEW MATERIALS-(Fully funded Swap)	10-Nov-23	–	415,300	–	–	415,300
DO-FLUORIDE NEW MATERIALS-(Fully funded Swap)	15-Nov-23	–	750,000	–	–	750,000
GEM CO LTD-(Fully funded Swap)	15-Nov-23	–	1,168,000	–	–	1,168,000
GEM CO LTD-(Fully funded Swap)	16-Feb-24	–	2,378,000	–	–	2,378,000

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Unlisted funded total return swaps						
(continued)						
Materials (continued)						
GUANGZHOU TINCI MATERIALS-(Fully funded Swap)	16-Feb-24	–	1,842,000	–	–	1,842,000
NINGBO SHANSHAN CO LTD-(Fully funded Swap)	06-Nov-23	–	2,491,800	–	860,000	1,631,800
SHENZHEN CAPCHEM TECHNOLOG-(Fully funded Swap)	16-Feb-24	–	492,000	–	–	492,000
SHENZHEN DYNANONIC CO LTD-(Fully funded Swap)	06-Nov-23	–	163,300	97,980	59,933	201,347
SHENZHEN SENIOR TECHNOLOGY-(Fully funded Swap)	16-Feb-24	–	1,120,000	–	–	1,120,000
SINOMINE RESOURCE GROUP CO-(Fully funded Swap)	15-Nov-23	–	670,000	–	–	670,000
TIANQI LITHIUM CORP-(Fully funded Swap)	15-Dec-23	–	451,000	–	–	451,000
YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap)	10-Nov-23	–	263,800	–	–	263,800
YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap)	29-Dec-23	–	40,000	–	–	40,000
YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap)	16-Feb-24	–	325,000	–	–	325,000
ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap)	06-Nov-23	–	546,800	–	–	546,800
ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap)	16-Feb-24	–	594,000	–	–	594,000

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Robotics and AI ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Communication Services					
BAIDU INC - SPON ADR	11,749	71	–	2,972	8,848
Consumer Discretionary					
ZHEJIANG SHUANGHUAN DRIVEL-A	–	103,400	–	500	102,900
Industrials					
DONGGUAN YIHEDA AUTOMATION-A	46,794	–	8,518	55,312	–
ESTUN AUTOMATION CO LTD-A	152,400	–	–	61,500	90,900
GUANGDONG CREATE CENTURY I-A	407,300	–	–	407,300	–
GUANGZHOU KDT MACHINERY CO-A	95,000	–	–	95,000	–
HAN'S LASER TECHNOLOGY IN-A	237,374	1,700	–	95,674	143,400
HEFEI MEIYA OPTOELECTRONIC	75,300	–	–	75,300	–
LEADER HARMONIOUS DRIVE SY-A	–	20,000	–	100	19,900
NARI TECHNOLOGY DEVELOPMEN-A	412,565	2,700	75,513	106,578	384,200
SHENZHEN INOVANCE TECHNOLO-A	148,649	1,100	–	23,749	126,000
SHENZHEN YINGHE TECHNOLOGY-A	102,533	–	–	102,533	–
SHENZHEN ZHAOWEI MACHINERY-A	40,800	–	–	40,800	–
SIASUN ROBOT & AUTOMATION-A	–	179,500	–	800	178,700
WUXI LEAD INTELLIGENT EQUI-A	259,320	800	–	260,120	–
XI'AN BRIGHT LASER TECHNOL-A	–	16,600	–	100	16,500
Information Technology					
BEIJING KINGSOFT OFFICE SO-A	34,429	200	–	12,729	21,900
CASTECH INC -A	87,000	–	–	87,000	–
HANGZHOU HIKVISION DIGITAL-A	284,400	17,700	–	42,000	260,100
HOLLYSYS AUTOMATION TECHNOLO	16,352	–	–	16,352	–
HUAGONG TECH CO LTD-A	–	124,300	–	–	124,300
IFLYTEK CO LTD	225,739	1,400	–	48,539	178,600
INSPUR ELECTRONIC INFORMAT-A	–	167,500	–	700	166,800
MONTAGE TECHNOLOGY CO LTD-A	–	110,400	–	400	110,000
OPT MACHINE VISION TECH CO-A	–	19,200	–	100	19,100
SHANGHAI FRIENDESS ELECTRO-A	12,897	–	–	5,097	7,800
SUZHOU TFC OPTICAL COMMUNI-A	–	38,100	–	–	38,100
THUNDER SOFTWARE TECHNOLOG-A	107,176	900	–	39,876	68,200
WUHAN DR LASER TECHNOLOGY -A	37,604	–	20,762	21,466	36,900
WUHAN JINGCE ELECTRONIC GR-A	63,446	300	–	27,146	36,600
WUHAN RAYCUS FIBER LASER T-A	79,500	–	–	79,500	–
WUXI AUTOWELL TECHNOLOGY C-A	36,394	200	–	14,594	22,000
ZHEJIANG DAHUA TECHNOLOGY-A	668,215	3,900	–	246,215	425,900
ZHEJIANG SUPCON TECHNOLOGY-A	82,477	700	33,877	31,254	85,800
ZHONGJI INNOLIGHT CO LTD-A	–	84,300	–	400	83,900

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Semiconductor ETF

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities						
Information Technology						
3PEAK INC-A		32,731	18,781	–	25,212	26,300
ACM RESEARCH SHANGHAI I-A		–	141,400	–	300	141,100
ADVANCED MICRO-FABRICATION-A		169,672	21,727	–	120,699	70,700
ASMPT LTD		–	178,026	–	–	178,026
BOE TECHNOLOGY GROUP CO LT-A		9,139,300	–	–	1,057,400	8,081,900
CHANGSHA JINGJIA MICROELEC-A		163,100	–	–	163,100	–
GALAXYCORE INC-A		–	603,800	–	–	603,800
GIGADEVICE SEMICONDUCTOR B-A		289,927	56,700	–	265,500	81,127
HANGZHOU CHANG CHUAN TECHN-A		247,576	29,200	–	21,600	255,176
HANGZHOU LION ELECTRONICS -A		221,401	21,300	–	181,000	61,701
HANGZHOU SILAN MICROELECTR-A		453,089	29,900	–	38,400	444,589
HUA HONG SEMICONDUCTOR LTD 1347		349,692	–	–	349,692	–
JCET GROUP CO LTD		713,839	82,300	–	61,200	734,939
MAXSCEND MICROELECTRONICS -A		206,368	14,500	–	17,200	203,668
MONTAGE TECHNOLOGY CO LTD-A		344,696	50,647	–	268,243	127,100
NAURA TECHNOLOGY GROUP CO-A		142,404	–	–	22,004	120,400
NAVTECH INC-A		–	326,500	–	–	326,500
SEMICONDUCTOR MANUFACTURING						
INTL CORP -A		865,044	–	–	253,944	611,100
SG MICRO CORP-A		129,475	16,400	38,002	105,000	78,877
SHANGHAI FUDAN MICROELE-DO-A		176,740	–	–	176,740	–
SHENZHEN SC NEW ENERGY TEC-A		136,484	38,000	–	12,000	162,484
STARPOWER SEMICONDUCTOR LT-A		86,255	–	–	76,255	10,000
TCL CORP-A		7,889,813	–	775,331	8,665,144	–
TIANSHUI HUATIAN TECHNOLOG-A		1,233,989	80,100	–	1,011,200	302,889
TONGFU MICROELECTRONIC CO-A		465,100	11,100	–	354,400	121,800
UNIGROUP GUOXIN MICROELECT-A		351,542	13,200	–	280,300	84,442
WILL SEMICONDUCTOR CO LTD-A		356,143	21,500	–	30,200	347,443
WINGTECH TECHNOLOGY CO LTD-A		361,346	46,400	–	31,900	375,846
ZHEJIANG JINGSHENG MECHAN-A		359,296	22,100	–	29,600	351,796
Unlisted funded total return swaps						
Information Technology						
3PEAK INC-(Fully funded Swap)	14-Mar-24	–	22,500	–	–	22,500
ADVANCED MICRO-FABRICATION-(Fully funded Swap)	27-Oct-23	–	106,000	–	–	106,000
GIGADEVICE SEMICONDUCTO-CL-(Fully funded Swap)	15-Nov-23	–	241,000	–	–	241,000
HANGZHOU LION ELECTRONICS-(Fully funded Swap)	15-Dec-23	–	161,800	–	–	161,800
MONTAGE TECHNOLOGY CO LTD-(Fully funded Swap)	27-Oct-23	–	238,800	–	–	238,800
SG MICRO CORP-(Fully funded Swap)	15-Nov-23	–	92,000	–	–	92,000
STARPOWER SEMICONDUCTOR LT-(Fully funded Swap)	16-Feb-24	–	28,000	–	–	28,000
TIANSHUI HUATIAN TECHNOLOG-(Fully funded Swap)	16-Feb-24	–	908,000	–	–	908,000
TONGFU MICROELECTRONIC CO-(Fully funded Swap)	15-Dec-23	–	316,000	–	–	316,000
UNIGROUP GUOXIN MICROELECT-(Fully funded Swap)	15-Nov-23	–	166,000	–	–	166,000
UNIGROUP GUOXIN MICROELECT-(Fully funded Swap)	16-Feb-24	–	85,000	–	–	85,000

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Global Leaders ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Consumer Discretionary					
BYD CO LTD-H	18,652	–	–	18,652	–
HAIER SMART HOME CO LTD-H	53,815	–	–	13,243	40,572
HUALI INDUSTRIAL GROUP CO -A	20,500	–	–	20,500	–
MIDEA GROUP CO LTD-A	–	58,900	–	7,200	51,700
SHENZHOU INTERNATIONAL GROUP	18,598	–	–	4,442	14,156
Consumer Staples					
BUDWEISER BREWING CO APAC LT	39,102	–	–	9,463	29,639
WH GROUP LTD	182,824	–	–	182,824	–
Health Care					
SHENZHEN MINDRAY BIO-MEDIC-A	10,000	200	–	2,600	7,600
WUXI BIOLOGICS CAYMAN INC	59,244	18,145	–	13,000	64,389
Industrials					
CHINA CSSC HOLDINGS LTD-A	36,000	–	–	36,000	–
CK HUTCHISON HOLDINGS LTD	62,010	731	–	15,832	46,909
EVE ENERGY CO LTD-A	27,800	–	–	27,800	–
SANY HEAVY INDUSTRY CO LTD-A	–	109,000	–	13,200	95,800
TECHTRONIC INDUSTRIES CO	31,979	–	–	8,482	23,497
XCMG CONSTRUCTION MACHIN-A	–	210,700	–	25,200	185,500
Information Technology					
AVARY HOLDING SHENZHEN CO -A	11,500	–	–	3,100	8,400
BOE TECHNOLOGY GROUP CO LT-A	686,500	6,600	–	128,400	564,700
GIGADEVICE SEMICONDUCTOR B-A	11,620	–	–	3,320	8,300
GOERTEK INC -A	56,800	–	–	17,500	39,300
HANGZHOU HIKVISION DIGITAL-A	88,800	14,100	–	18,300	84,600
JCET GROUP CO LTD	–	21,800	–	2,700	19,100
LENOVO GROUP LTD	144,803	4,402	–	35,177	114,028
LENS TECHNOLOGY CO LTD-A	36,300	–	–	36,300	–
LONGI GREEN ENERGY TECHNOL-A	85,284	33,100	–	19,900	98,484
LUXSHARE PRECISION INDUSTR-A	101,400	700	–	35,000	67,100
MONTAGE TECHNOLOGY CO LTD-A	–	11,400	–	1,362	10,038
SEMICONDUCTOR MANUFACTURING INTL CORP	97,580	–	–	32,204	65,376
SHENZHEN TRANSSION HOLDING-A	–	6,900	–	828	6,072
TCL TECHNOLOGY GROUP CORP	313,500	–	31,350	113,750	231,100
WILL SEMICONDUCTOR CO LTD-A	14,065	–	–	4,465	9,600
WINGTECH TECHNOLOGY CO LTD-A	14,200	–	–	4,000	10,200
XIAOMI CORP-CLASS B	360,162	2,083	–	105,386	256,859
YEALINK NETWORK TECHNOLOGY-A	11,000	–	4,240	15,240	–
ZHEJIANG DAHUA TECHNOLOGY-A	–	49,900	–	6,000	43,900
ZHONGJI INNOLIGHT CO LTD-A	–	9,500	–	1,200	8,300
Materials					
ZHEJIANG HUAYOU COBALT CO - A	23,740	300	–	4,500	19,540
Real Estate					
ESR GROUP LTD	56,732	–	–	56,732	–
Utilities					
CK INFRASTRUCTURE HOLDINGS LTD	11,099	2,131	–	2,500	10,730

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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China Innovator Active ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Communication Services					
Baidu Inc-Class A	–	14,113	–	7,450	6,663
NETEASE INC	13,552	1,000	–	12,300	2,252
TENCENT HOLDINGS LTD	30,670	3,000	–	29,400	4,270
Consumer Discretionary					
ALIBABA GROUP HOLDING LTD	120,582	12,000	–	118,201	14,381
BYD CO LTD-H	67,532	5,000	–	67,094	5,438
FUYAO GLASS INDUSTRY GROUP-H	87,200	13,037	–	84,800	15,437
LI NING CO LTD	31,979	5,000	–	36,979	–
MEITUAN-CLASS B	42,463	4,000	–	41,704	4,759
MIDEA GROUP CO LTD-A	53,400	8,300	–	51,300	10,400
PDD HOLDINGS INC	3,449	1,630	–	3,945	1,134
ZHEJIANG SHUANGHUAN DRIVEL-A	52,001	5,000	–	49,000	8,001
Consumer Staples					
PING AN HEALTHCARE AND TECHNOLOGY CO LTD	104,115	10,000	–	98,500	15,615
Financials					
EAST MONEY INFORMATION CO-A	214,780	21,000	47,156	244,600	38,336
HITHINK ROYALFLUSH INFORMA-A	–	5,400	–	2,200	3,200
Health Care					
HANGZHOU TIGERMED CONSULTI-A	20,600	2,000	–	19,600	3,000
JIANGSU HENGRUI MEDICINE C-A	82,100	18,400	–	84,700	15,800
SHENZHEN MINDRAY BIO-MEDIC-A	11,000	1,000	–	9,800	2,200
SINO BIOPHARMACEUTICAL	337,750	30,000	–	314,000	53,750
WUXI APPTec CO LTD-H	42,227	4,000	–	43,170	3,057
WUXI BIOLOGICS CAYMAN INC	102,406	10,000	–	98,000	14,406
Industrials					
CONTEMPORARY AMPEREX TECHN-A	22,214	6,000	17,611	40,600	5,225
ESTUN AUTOMATION CO LTD-A	29,000	3,000	–	28,300	3,700
EVE ENERGY CO LTD-A	43,161	4,000	–	47,161	–
GUANGDONG KINLONG HARDWARE-A	15,100	1,000	–	16,100	–
GUANGZHOU KDT MACHINERY CO-A	181,760	18,000	–	173,900	25,860
HAN'S LASER TECHNOLOGY IN-A	111,428	11,000	–	122,428	–
JIANGSU HENGLI HIGHPRESSUR-A	–	12,500	–	6,400	6,100
L.K. TECH HLDG LTD COMMON SHARES	293,767	25,000	–	265,000	53,767
NARI TECHNOLOGY DEVELOPMEN-A	161,390	16,000	6,798	163,000	21,188
NINGBO ORIENT WIRES & CABL-A	21,200	2,000	–	19,600	3,600
RIYUE HEAVY INDUSTRY CO LT-A	78,700	8,000	–	75,100	11,600
S F HOLDING CO LTD-A	28,000	3,000	–	31,000	–
SHENZHEN ENVICOOL TECHNOLO-A	–	27,500	–	14,400	13,100
SHENZHEN INOVANCE TECHNOLO-A	43,950	4,000	–	41,200	6,750
SUNGROW POWER SUPPLY CO LT-A	14,500	4,500	–	15,700	3,300
WUXI LEAD INTELLIGENT EQUI-A	136,760	25,800	–	135,500	27,060
ZTO EXPRESS CAYMAN INC-ADR	17,446	1,690	–	17,856	1,280

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For the period from 1 April 2023 to 30 September 2023

China Innovator Active ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities (continued)					
Information Technology					
BEIJING KINGSOFT OFFICE SO-A	–	796	–	–	796
BOE TECHNOLOGY GROUP CO LT-A	411,900	40,000	–	451,900	–
BYD ELECTRONIC INTL CO LTD	127,200	20,752	–	125,000	22,952
GUANGZHOU SIE CONSULTING C-A	–	24,000	–	12,800	11,200
HUA HONG SEMICONDUCTOR LTD	222,000	20,000	–	234,769	7,231
IFLYTEK CO LTD	70,995	13,600	–	78,000	6,595
LENOVO GROUP LTD	–	47,202	–	2,000	45,202
LONGI GREEN ENERGY TECHNOL-A	184,944	18,000	–	189,500	13,444
LONGSHINE TECHNOLOGY GROUP-A	–	30,200	–	16,000	14,200
LUXSHARE PRECISION INDUSTR-A	54,000	5,000	–	50,700	8,300
SANGFOR TECHNOLOGIES INC-A	12,400	1,000	–	11,200	2,200
SHANGHAI AWINIC TECHNOLOGY-A	–	9,900	–	4,191	5,709
TONGWEI CO LTD-A	19,200	2,000	–	19,000	2,200
XINYI SOLAR HLDGS	313,265	40,000	–	353,265	–
YONYOU NETWORK TECHNOLOGY CO LTD	48,600	5,000	–	47,000	6,600
Materials					
GANFENG LITHIUM GROUP CO LTD-H	72,880	8,000	–	80,880	–
ZHEJIANG HUAYOU COBALT CO - A	–	5,900	–	–	5,900
ZIJIN MINING GROUP CO LTD-H	–	76,068	–	32,000	44,068
Real Estate					
KE HOLDINGS INC-CL A	–	12,412	–	–	12,412

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Asia Semiconductor ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Consumer Discretionary					
SONY GROUP CORP	10,405	2,118	–	1,433	11,090
Health Care					
HOYA CORP NPV	2,445	265	–	538	2,172
Industrials					
HITACHI LTD NPV	6,223	669	–	1,380	5,512
MINEBEA MITSUMI INC	2,370	223	–	492	2,101
Information Technology					
ACM RESEARCH SHANGHAI I-A	–	4,100	–	–	4,100
ADVANCED MICRO-FABRICATION-A	4,275	925	–	592	4,608
ADVANTEST CORP NPV	1,276	138	3,414	276	4,552
ASE TECHNOLOGY HOLDINGS CO LTD	53,542	8,777	–	7,134	55,185
BOE TECHNOLOGY GROUP CO LT-A	436,020	166,000	–	68,930	533,090
CANON INC NPV	6,400	693	–	1,480	5,613
DISCO CORP	615	68	–	134	549
FUJITSU LIMITED	1,250	135	–	283	1,102
GIGADEVICE SEMICONDUCTOR B-A	7,348	2,000	–	1,076	8,272
GLOBALWAFERS CO LTD	3,662	494	–	476	3,680
HANGZHOU SILAN MICROELECTR-A	11,407	1,000	–	12,407	–
JCET GROUP CO LTD	17,977	3,400	–	2,452	18,925
LASERTEC CORP NPV	520	48	–	104	464
LONGI GREEN ENERGY TECHNOL-A	82,436	10,800	–	10,686	82,550
MAXSCEND MICROELECTRONICS -A	5,162	700	–	680	5,182
MEDIATEK INC	27,156	2,918	–	4,331	25,743
MONTAGE TECHNOLOGY CO LTD-A	8,689	2,011	–	1,220	9,480
NANYA TECHNOLOGY CORPORATION	–	22,069	–	2,528	19,541
NAURA TECHNOLOGY GROUP CO-A	3,847	600	–	520	3,927
NOVATEK MICROELECTRONICS COR	9,769	1,324	–	1,269	9,824
PEGATRON CORP	–	39,348	–	4,505	34,843
QUANTA COMPUTER INC	48,456	6,535	–	6,297	48,694
RENESAS ELECTRONICS CORP NPV	7,967	864	–	1,669	7,162
ROHM CO LTD NPV	577	54	1,539	118	2,052
SAMSUNG ELECTRONICS CO LTD	20,170	2,195	–	4,741	17,624
SEMICONDUCTOR MANUFACTURING INTL CORP	62,071	7,708	–	7,989	61,790
SG MICRO CORP-A	3,289	300	1,046	4,635	–
SILERGY CORP	5,633	536	–	6,169	–
SK HYNIX INC	11,708	1,257	–	2,067	10,898
STARPOWER SEMICONDUCTOR LT-A	2,216	200	–	2,416	–
TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	54,565	7,816	–	7,142	55,239
TCL TECHNOLOGY GROUP CORP	198,932	35,300	19,893	29,110	225,015
TDK CORP NPV	2,589	244	–	526	2,307
TOKYO ELECTRON LTD NPV	3,078	330	–	637	2,771
UNIGROUP GUOXIN MICROELECT-A	8,717	1,000	–	1,120	8,597
UNIMICRON TECHNOLOGY CORP	–	23,769	–	2,721	21,048
UNITED MICROELECTRONICS CORP	205,370	28,024	–	26,721	206,673
WILL SEMICONDUCTOR CO LTD-A	8,808	1,300	–	1,164	8,944
WINGTECH TECHNOLOGY CO LTD-A	9,115	1,800	–	1,253	9,662
ZHEJIANG JINGSHENG MECHANI-A	9,009	1,200	–	1,173	9,036

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China E-Commerce and Logistics ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Consumer Discretionary					
ALIBABA GROUP HOLDING-SP ADR	3,012	91	–	1,583	1,520
JD.COM INC-ADR JD.COM INC-ADR	6,174	579	–	2,538	4,215
MEITUAN-CLASS B	15,364	780	–	7,556	8,588
PDD HOLDINGS INC	2,914	92	–	1,570	1,436
VIPSHOP HOLDINGS LTD - ADS	17,220	537	–	8,580	9,177
ZHEJIANG CHINA COMMODITIES-A	–	47,600	–	–	47,600
Consumer Staples					
DADA NEXUS LTD-ADR	10,087	269	–	10,356	–
Energy					
CHINA MERCHANTS ENERGY -A	–	49,100	–	–	49,100
Industrials					
CHINA COSCO HOLDINGS-A	157,400	4,500	–	68,500	93,400
CHINA MERCHANTS EXPRESSWAY-A	103,000	36,000	–	42,600	96,400
CHINA RAILWAY MATERIALS CO-A	67,000	1,900	–	35,500	33,400
CHINA RAILWAY SPECIAL CARG-A	–	39,400	–	–	39,400
DAQIN RAILWAY CO LTD -A	195,800	5,600	–	96,300	105,100
DEPPON LOGISTICS CO LTD -A	8,200	800	–	3,600	5,400
FULL TRUCK ALLIANCE -SPN ADR	34,368	1,020	–	17,239	18,149
GUANGSHEN RAILWAY CO LTD-A	–	57,500	–	–	57,500
JD LOGISTICS INC	94,784	2,717	–	48,084	49,417
JIANGSU EXPRESSWAY CO LTD-A	18,700	600	–	9,500	9,800
MILKYWAY CHEMICAL SUPPLY C-A	3,300	100	–	3,400	–
MTR CORP	49,119	4,648	–	21,000	32,767
ORIENT OVERSEAS INTL LTD	5,234	1,180	–	3,688	2,726
PACIFIC BASIN SHIPPING LTD	209,048	4,667	–	213,715	–
S F HOLDING CO LTD-A	33,100	4,300	–	13,800	23,600
SHANDONG HI-SPEED CO LTD -A	45,400	1,300	–	22,800	23,900
SHANGHAI INTERNATIONAL AIR-A	–	23,000	–	–	23,000
SHANGHAI ZHONGGU LOGISTICS-A	–	38,800	–	–	38,800
SHENZHEN AIRPORT CO-A	33,400	1,000	–	34,400	–
SHENZHEN INTL HOLDINGS	53,076	1,521	–	54,597	–
SINOTRANS LIMITED-A	–	23,600	–	–	23,600
SITC INTERNATIONAL HOLDINGS	51,003	4,472	–	29,004	26,471
XIAMEN C & D INC-A	60,700	2,000	–	30,800	31,900
XIAMEN XIANGYU CO LTD-A	37,700	1,100	–	38,800	–
YTO EXPRESS GROUP CO LTD-A	54,500	1,600	–	28,000	28,100
YUNDA HOLDING CO LTD-A	47,500	1,600	–	24,100	25,000
ZTO EXPRESS CAYMAN INC-ADR	9,707	304	–	4,418	5,593
Information Technology					
CHINA TRANSINFO TECHNOLOGY-A	47,500	1,600	–	30,700	18,400
Real Estate					
XIANGCAI CO LTD-A	58,100	1,900	–	60,000	–

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Games and Entertainment ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Communication Services					
37 INTERACTIVE ENTERTAINME-A	19,800	9,800	–	7,200	22,400
BEIJING ENLIGHT MEDIA CO L-A	19,600	19,400	–	8,400	30,600
BEIJING JETSEN TECHNOLOGY-A	49,700	22,500	–	17,800	54,400
BILIBILI INC-SPONSORED ADR	6,624	2,886	–	2,356	7,154
CHINA LITERATURE LTD	7,996	3,341	–	2,800	8,537
CHINA RUYI HOLDINGS LTD	115,803	59,557	–	44,000	131,360
CHINESE UNIVERSE PUBLISHIN-A	11,100	4,900	–	3,900	12,100
G-BITS NETWORK TECHNOLOGY-A	800	400	–	300	900
GIANT NETWORK GROUP CO LTD-A	15,900	7,600	–	5,700	17,800
IQIYI INC-ADR IQ	10,385	4,733	–	3,720	11,398
KINGNET NETWORK CO LTD-A	24,200	15,500	–	9,200	30,500
MANGO EXCELLENT MEDIA CO L-A	11,200	4,900	–	3,900	12,200
NETEASE INC-ADR	2,487	10	–	1,161	1,336
OURPALM CO LTD-A	–	64,300	–	8,100	56,200
PERFECT WORLD CO LTD-A	26,100	13,100	–	9,500	29,700
SOUTHERN PUBLISHING & MEDI-A	–	7,400	–	900	6,500
STAR CM HOLDINGS LTD	1,228	–	–	1,228	–
TENCENT HOLDINGS LTD	4,877	35	–	1,748	3,164
TENCENT MUSIC ENTERTAINM-ADR TME	17,430	4,825	–	5,852	16,403
WANDA FILM HOLDING CO LTD-A	20,100	14,300	–	7,800	26,600
WEIBO CORP-SPON ADR	2,875	–	–	2,875	–
ZHEJIANG CENTURY HUATONG -A	91,000	44,400	–	33,100	102,300
ZHEJIANG JINKE CULTURE IND-A	52,300	24,200	–	18,900	57,600
ZHEJIANG PUBLISHING & MEDI-A	–	29,300	–	3,700	25,600
Consumer Discretionary					
ATOUR LIFESTYLE HOLDINGS-ADR	2,140	18	–	1,810	348
BTG HOTELS GROUP CO LTD-A	12,000	–	–	10,200	1,800
HUAZHU GROUP LTD-ADR	4,265	15	–	3,242	1,038
MEITUAN-CLASS B	12,694	88	–	12,782	–
SHANGHAI JINJIANG INTERNET-A	8,700	100	–	7,300	1,500
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	27,200	12,000	–	9,600	29,600
TONGCHENG TRAVEL HOLDINGS LTD	23,753	–	–	19,723	4,030
TRIP.COM GROUP LTD	5,711	20	–	3,547	2,184
Industrials					
FOSUN INTERNATIONAL	42,969	532	–	43,501	–
Information Technology					
BEIJING ULTRAPOWERS SOFTWARE-A	–	38,800	–	4,900	33,900

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

FinTech ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Financials					
ADYEN NV ADYEN	65	3	–	8	60
AFFIRM HOLDINGS INC	1,207	61	–	151	1,117
AVANTAX INC	249	12	–	31	230
BAKKT HOLDINGS INC	395	–	–	49	346
BLOCK INC SQ	1,195	60	–	150	1,105
COINBASE GLOBAL INC -CLASS A	923	47	–	116	854
DLOCAL LTD/URUGUAY	836	42	–	105	773
FIDELITY NATIONAL INFO SERV FIS	1,459	74	–	183	1,350
FISERV INC	796	41	–	100	737
FLYWIRE CORP-VOTING	539	27	–	68	498
GALAXY DIGITAL HOLDINGS LTD	535	–	–	67	468
GLOBAL PAYMENTS INC COM NPV	631	32	–	79	584
HUB24 LTD	413	21	–	52	382
HYPOPORT SE	35	2	–	4	33
I3 VERTICALS INC-CLASS A IIIV	119	–	–	15	104
JACK HENRY & ASSOCIATES INC COM	377	19	–	47	349
KAKAO PAY CORP	692	35	–	87	640
LEMONADE INC	357	18	–	45	330
LENDINGCLUB CORP	550	28	–	69	509
LENDINGTREE INC TREE	66	–	–	8	58
LEONTEQ AG LEON	98	4	–	12	90
LUFAX HOLDING LTD	11,799	594	–	1,479	10,914
NEXI SPA NEXI	6,767	340	–	848	6,259
NUVEI CORP-SUBORDINATE VTG	336	17	–	42	311
OPEN LENDING CORP - CL A	652	33	–	82	603
PAGSEGURO DIGITAL LTD-CL A	1,031	52	–	129	954
PAYMENTUS HOLDINGS INC-A	101	–	–	13	88
PAYONEER GLOBAL INC	1,808	91	–	227	1,672
PAYPAL HOLDINGS INC	1,258	64	–	158	1,164
PAYSAFE LTD	314	14	–	39	289
QIWI PLC-SPONSORED ADR	738	–	–	–	738
SHIFT4 PAYMENTS INC-CLASS A	274	13	–	34	253
SOFI TECHNOLOGIES INC	4,790	241	–	600	4,431
STONECO LTD-A STNE	1,449	73	–	182	1,340
TOAST INC-CLASS A	1,821	92	–	228	1,685
UPSTART HOLDINGS INC	420	22	–	53	389
VIRTU FINANCIAL INC-CLASS A	515	20	–	65	470
WEALTHNAVI INC	251	–	–	31	220
WISE PLC - A	5,285	265	–	662	4,888
YEAHKA LTD	2,302	115	–	288	2,129
ZIP CO LTD	3,966	–	–	497	3,469
Health Care					
HEALTHEQUITY INC HQY	437	22	–	55	404
Industrials					
SS&C TECHNOLOGIES HOLDINGS	1,182	59	–	148	1,093

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For the period from 1 April 2023 to 30 September 2023

FinTech ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities (continued)					
Information Technology					
ACI WORLDWIDE INC COM	580	30	–	73	537
BILL HOLDINGS INC	549	28	–	69	508
BLACK KNIGHT INC	805	–	(704)	101	–
BLEND LABS INC-A	1,135	–	–	142	993
ENVESTNET INC ENVESTNET INC	286	14	–	36	264
GUIDEWIRE SOFTWARE INC	423	21	–	53	391
HUT 8 MINING CORP	1,012	–	–	127	885
INTUIT INC	233	11	–	29	215
IRESS LTD NPV	952	48	–	119	881
LINKLOGIS INC-CLASS B	10,413	–	–	1,305	9,108
MARATHON DIGITAL HOLDINGS IN	603	31	–	76	558
MERIDIANLINK INC	418	20	–	52	386
MITEK SYSTEMS INC	231	–	–	29	202
NCINO INC	573	29	–	72	530
PAGAYA TECHNOLOGIES LTD -A	2,613	131	–	327	2,417
RIOT PLATFORMS INC	864	43	–	108	799
SAPIENS INTERNATIONAL CORP	284	15	–	36	263
SIMCORP A/S	209	7	–	216	–
TEMENOS AG - REG	387	19	–	48	358
VERTEX INC - CLASS A VERX	252	13	–	32	233
XERO LTD XRO	775	39	–	97	717

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Autonomous and Electric Vehicles ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Communication Services					
ALPHABET INC-CL A GOOGL	859	–	–	86	773
BAIDU INC - SPON ADR	296	14	–	–	310
YANDEX NV-A YNDX	802	–	–	–	802
Consumer Discretionary					
AMERICAN AXLE & MFG HOLDINGS	2,805	923	–	–	3,728
APTIV PLC	352	33	–	–	385
CONTINENTAL AG NPV	386	69	–	–	455
DENSO CORP NPV	635	–	1,863	14	2,484
FAURECIA EUR7	1,345	–	–	40	1,305
FORD MOTOR CO	3,433	–	–	–	3,433
GEELY AUTOMOBILE HOLDINGS LTD	18,045	9,798	–	–	27,843
GENERAL MOTORS CO	1,218	56	–	–	1,274
GENTHERM INC COM NPV	357	244	–	–	601
HONDA MOTOR CO LTD NPV	1,597	–	3,088	53	4,632
HYUNDAI MOTOR CO	239	11	–	–	250
KIA CORP	583	–	–	27	556
LEAR CORP	203	29	–	–	232
LUCID GROUP INC	3,161	1,559	–	–	4,720
LUMINAR TECHNOLOGIES INC	4,368	–	–	–	4,368
NEXTEER AUTOMOTIVE HKD0.1	33,259	14,298	–	–	47,557
NIO INC - ADR	2,709	837	–	–	3,546
NISSAN MOTOR CO LTD	8,355	309	–	–	8,664
PANASONIC HOLDINGS CORP	3,698	–	–	3,698	–
QUANTUMSCAPE CORP	3,339	–	–	42	3,297
RENAULT SA	683	137	–	–	820
STELLANTIS NV	2,425	43	–	–	2,468
TESLA INC	634	–	–	288	346
TOYOTA MOTOR CORP NPV	5,471	–	–	315	5,156
VISTEON CORP	184	35	–	–	219
VOLKSWAGEN AG-PREF NON VTG PRF NPV	244	59	–	2	301
XPENG INC - ADR	2,707	–	–	156	2,551
Industrials					
ADVANCED ENERGY SOLUTION HOL	1,041	379	–	–	1,420
BALLARD POWER SYSTEMS INC	4,261	2,807	–	–	7,068
BLOOM ENERGY CORP- A	1,111	769	–	–	1,880
ENERSYS	321	–	–	13	308
GS YUASA CORP NPV	1,506	131	–	–	1,637
HITACHI LTD NPV	853	–	–	42	811
HONEYWELL INTERNATIONAL INC	362	19	–	–	381
HYSTER-YALE MATERIALS	805	–	–	120	685
ITT INC	316	44	–	–	360
LG ENERGY SOLUTION	78	10	–	–	88
MICROVAST HOLDINGS INC	13,902	–	–	1,623	12,279
NIKOLA CORP	9,960	4,931	–	–	14,891
PLUG POWER INC	1,678	1,142	–	–	2,820
QUIMICA Y MINERA CHIL-SP ADR	348	115	–	–	463
WABTEC CORP	311	40	–	2	349

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Autonomous and Electric Vehicles ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities (continued)					
Information Technology					
AMBARELLA INC AMBA	305	95	–	–	400
APPLE INC	587	–	–	82	505
BLACKBERRY LTD COM NPV	6,685	–	–	55	6,630
CEVA INC	834	411	–	–	1,245
COHERENT CORP	655	–	–	–	655
INDIE SEMICONDUCTOR INC-A	3,569	–	–	141	3,428
INFINEON TECHNOLOGIES AG	1,254	–	–	57	1,197
INNOVIZ TECHNOLOGIES LTD	5,176	4,547	–	–	9,723
INTEL CORP	2,480	–	–	117	2,363
MICROSOFT CORP COM	219	–	–	32	187
MICROVISION INC WA USD0.01	9,772	–	–	2,263	7,509
NVIDIA CORP	474	–	–	265	209
NXP SEMICONDUCTORS NV	249	–	–	11	238
ON SEMICONDUCTOR	554	–	–	89	465
QUALCOMM INC	645	–	–	6	639
SITIME CORP	–	255	–	–	255
STMICROELECTRONICS NV	874	–	–	37	837
TOMTOM	3,432	642	–	–	4,074
Materials					
ALBEMARLE CORP	148	27	–	–	175
ALLEGHENY TECHNOLOGIES INC	779	–	–	35	744
ALLKEM LTD	3,033	–	–	–	3,033
APERAM NPV	688	312	–	–	1,000
CABOT CORP	361	126	–	–	487
GANFENG LITHIUM CO LTD-H	3,256	1,779	–	–	5,035
IGO LTD	2,650	568	–	–	3,218
JOHNSON MATTHEY PLC JMAT	1,002	453	–	–	1,455
LITHIUM AMERICAS CORP	1,225	372	–	–	1,597
LIVENT CORP LTHM	1,111	99	–	–	1,210
LUNDIN MINING CORP COM NPV	3,627	320	–	–	3,947
PIEDMONT LITHIUM INC	410	147	–	–	557
PILBARA MINERALS LTD PLS	9,569	635	–	–	10,204
STANDARD LITHIUM LTD	6,336	765	–	–	7,101

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Asia Innovator Active ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities					
Communication Services					
BAIDU INC-CLASS A	–	1,033	–	–	1,033
HYBE CO LTD	256	–	–	242	14
NAVER CORP	219	–	–	133	86
SEA LTD-ADR SE	237	–	–	–	237
TENCENT HOLDINGS LTD	1,550	212	–	–	1,762
Consumer Discretionary					
ALIBABA GROUP HOLDING LTD	3,658	–	–	–	3,658
BYD CO LTD-H	5,288	–	–	470	4,818
COUPANG INC	1,766	–	–	–	1,766
FUYAO GLASS INDUSTRY GROUP-H	7,597	–	–	–	7,597
JD.COM INC - CL A	1,229	–	–	–	1,229
MEITUAN-CLASS B	1,988	574	–	474	2,088
PDD HOLDINGS INC	225	–	–	–	225
SONY GROUP CORP	–	451	–	–	451
ZHEJIANG SHUANGHUAN DRIVEL-A	8,300	–	–	–	8,300
Consumer Staples					
PING AN HEALTHCARE AND TECHNOLOGY CO LTD	4,977	–	–	–	4,977
Financials					
EAST MONEY INFORMATION CO-A	13,380	–	2,676	–	16,056
FUTU HOLDINGS LTD-ADR	515	–	–	–	515
HDFC BANK LTD-ADR	900	282	–	–	1,182
ICICI BANK LTD-SPON ADR	–	2,181	–	–	2,181
Health Care					
SAMSUNG BIOLOGICS CO LTD	23	–	–	–	23
SHENZHEN MINDRAY BIO-MEDIC-A	1,000	–	–	–	1,000
WUXI BIOLOGICS CAYMAN INC	8,049	–	–	5,232	2,817
Industrials					
CONTEMPORARY AMPEREX TECHN-A	1,900	–	1,520	–	3,420
EVE ENERGY CO LTD-A	3,400	800	–	–	4,200
GRAB HOLDINGS LTD - CL A	9,589	–	–	1,906	7,683
GUANGDONG KINLONG HARDWARE-A	1,500	–	–	1,500	–
GUANGZHOU KDT MACHINERY CO-A	5,340	–	–	–	5,340
HAN'S LASER TECHNOLOGY IN-A	9,100	–	–	–	9,100
L.K. TECH HLDG LTD COMMON SHARES	13,023	–	–	–	13,023
NARI TECHNOLOGY DEVELOPMEN-A	8,080	–	1,616	–	9,696
NIDEC CORP NPV	383	–	–	–	383
SHENZHEN INOVANCE TECHNOLO-A	3,000	–	–	600	2,400
SUNGROW POWER SUPPLY CO LT-A	1,100	–	–	–	1,100
WUXI LEAD INTELLIGENT EQUI-A	12,000	–	–	5,000	7,000

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Asia Innovator Active ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
Listed equities (continued)					
Information Technology					
CHINASOFT INTERNATIONAL LTD	62,677	–	–	15,132	47,545
HUA HONG SEMICONDUCTOR LTD	9,503	–	–	5,394	4,109
INFOSYS LTD-SP ADR SPON ADR EACH REP 1 INR5	3,470	470	–	634	3,306
KEYENCE CORP NPV	83	18	–	–	101
LONGI GREEN ENERGY TECHNOL-A	13,820	1,700	–	–	15,520
LUXSHARE PRECISION INDUSTR-A	4,400	–	–	–	4,400
MEDIATEK INC	937	–	–	431	506
SAMSUNG ELECTRONICS CO LTD	591	303	–	136	758
SAMSUNG SDI CO LTD	64	16	–	47	33
TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	8,697	–	–	401	8,296
UNIMICRON TECHNOLOGY CORP	7,022	2,196	–	4,256	4,962
VENUSTECH GROUP INC-A	–	4,500	–	–	4,500
XINYI SOLAR HLDGS	18,722	12,879	–	–	31,601
Materials					
HANWHA SOLUTIONS CORP	–	706	–	–	706
LG CHEM LTD	37	51	–	72	16

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED)

As at 30 September 2023

China Clean Energy ETF			
Collateral Provider	Nature of Collateral	Value of the collateral RMB	% of net assets covered by collateral
Mirae Asset Securities (HK) Limited	Equities	190,496,397	24.09%
Custody/safe-keeping arrangement	Amount of collateral received/held as of 30 Sep 2023	RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2023 %
Custodian of collateral			
Citibank, N.A., Hong Kong Branch		190,496,397	100.00

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Consumer Brand ETF			
Collateral Provider	Nature of Collateral	Value of the collateral RMB	% of net assets covered by collateral
Mirae Asset Securities (HK) Limited	Equities	24,661,938	4.61%
Custody/safe-keeping arrangement		Amount of collateral received/held as of 30 Sep 2023 RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2023 %
Custodian of collateral			
Citibank, N.A., Hong Kong Branch		<u>24,661,938</u>	<u>100.00</u>

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF

Collateral Provider	Nature of Collateral	Value of the collateral RMB	% of net assets covered by collateral
Mirae Asset Securities (HK) Limited	Equities	564,691,728	32.17%
Custody/safe-keeping arrangement		Amount of collateral received/held as of 30 Sep 2023 RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2023 %
Custodian of collateral			
Citibank, N.A., Hong Kong Branch		564,691,728	100.00

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Semiconductor ETF

Collateral Provider	Nature of Collateral	Value of the collateral RMB	% of net assets covered by collateral
Mirae Asset Securities (HK) Limited	Equities	109,075,274	24.74%
Custody/safe-keeping arrangement	Amount of collateral received/held as of 30 Sep 2023	RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2023 %
Custodian of collateral			
Citibank, N.A., Hong Kong Branch		109,075,274	100.00

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

PERFORMANCE RECORD (UNAUDITED)

NET ASSET VALUE

	<u>As at 30 September 2023</u>		<u>As at 31 March 2023</u>		<u>As at 31 March 2022</u>	
	Dealing net asset value	Dealing net asset value per share	Dealing net asset value	Dealing net asset value per share	Dealing net asset value	Dealing net asset value per share
China Clean Energy ETF (in RMB) ¹	790,903,207	77.9215	1,283,195,710	99.8596	2,465,824,183	111.8288
China Consumer Brand ETF (in RMB) ¹	534,608,774	42.0952	671,784,088	47.4759	489,360,114	45.1023
China Electric Vehicle and Battery ETF (in RMB) ¹	1,755,464,522	75.9941	2,771,314,730	95.5626	5,322,805,114	118.6802
China Robotics and AI ETF (in RMB) ²	127,602,185	43.2550	172,995,029	50.1435	181,456,113	42.1992
China Semiconductor ETF (in RMB) ²	440,854,773	33.2721	578,213,676	40.0148	760,130,828	43.0669
China Global Leaders ETF (in RMB) ³	39,922,003	36.2927	52,395,975	38.8118	75,918,337	42.1769
China Innovator Active ETF (in RMB) ³	22,306,878	29.7425	168,223,606	32.6648	97,610,654	34.2494
Asia Semiconductor ETF (in RMB) ⁴	66,331,151	42.7943	65,837,590	41.1485	97,546,137	45.3703
China E-Commerce and Logistics ETF (in RMB) ⁴	16,493,311	36.6518	29,757,808	39.6771	20,549,927	37.3635
China Games and Entertainment ETF (in RMB) ⁴	10,629,975	30.3714	17,733,079	35.4662	16,616,517	30.2118
FinTech ETF (in USD) ⁵	1,309,458	3.7413	1,545,427	3.8636	–	–
Autonomous and Electric Vehicles ETF (in USD) ⁵	2,782,030	6.1823	2,805,014	6.2334	–	–
Asia Innovator Active ETF (in RMB) ⁵	12,474,857	31.1871	13,389,028	33.4726	–	–

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER SHARE

	<u>For the period ended 30 September 2023</u>		<u>For the period ended 31 March 2023</u>		<u>For the period ended 31 March 2022</u>	
	Highest issue price per share	Lowest redemption price per share	Highest issue price per share	Lowest redemption price per share	Highest issue price per share	Lowest redemption price per share
China Clean Energy ETF (in RMB) ¹	100.3833	75.0626	129.3482	91.9401	137.3514	84.1293
China Consumer Brand ETF (in RMB) ¹	47.5371	41.0158	51.8292	36.8655	71.6463	41.3395
China Electric Vehicle and Battery ETF (in RMB) ¹	96.4193	75.6980	147.7430	88.2945	173.9260	90.7279
China Robotics and AI ETF (in RMB) ²	51.4311	41.2780	50.1435	34.3728	58.0673	41.0812
China Semiconductor ETF (in RMB) ²	43.8312	32.3506	44.2258	32.5872	62.8456	43.0670
China Global Leaders ETF (in RMB) ³	39.8910	35.0735	46.4561	33.1446	57.5353	39.1821
China Innovator Active ETF (in RMB) ³	32.9286	29.2945	38.5188	28.1787	55.3382	30.2095
Asia Semiconductor ETF (in RMB) ⁴	46.5695	39.3929	44.9418	33.2839	54.4102	43.7328
China E-Commerce and Logistics ETF (in RMB) ⁴	41.0783	35.6803	43.5667	33.8376	52.3097	33.4318
China Games and Entertainment ETF (in RMB) ⁴	36.0329	29.4994	35.4662	20.7754	49.9991	24.5835
FinTech ETF (in USD) ⁵	4.5995	3.6694	7.9999	3.4502	–	–
Autonomous and Electric Vehicles ETF (in USD) ⁵	7.2966	5.7298	8.1248	5.0974	–	–
Asia Innovator Active ETF (in RMB) ⁵	34.3604	30.9615	49.9990	29.8654	–	–

	<u>For the period ended 31 March 2021</u>	
	Highest issue price per share	Lowest redemption price per share
China Clean Energy ETF (in RMB) ¹	106.6927	43.9877
China Consumer Brand ETF (in RMB) ¹	81.9421	39.1943
China Electric Vehicle and Battery ETF (in RMB) ¹	125.4896	44.9081
China Robotics and AI ETF (in RMB) ²	57.2702	43.6934
China Semiconductor ETF (in RMB) ²	54.5649	40.4773
China Global Leaders ETF (in RMB) ³	–	–
China Innovator Active ETF (in RMB) ³	–	–
Asia Semiconductor ETF (in RMB) ⁴	–	–
China E-Commerce and Logistics ETF (in RMB) ⁴	–	–
China Games and Entertainment ETF (in RMB) ⁴	–	–
FinTech ETF (in USD) ⁵	–	–
Autonomous and Electric Vehicles ETF (in USD) ⁵	–	–
Asia Innovator Active ETF (in RMB) ⁵	–	–

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 16 January 2020 (date of inception) to 31 March 2021.

²The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 8 August 2020 (date of inception) to 31 March 2021.

³The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 10 March 2021 (date of inception) to 31 March 2022.

⁴The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 22 July 2021 (date of inception) to 31 March 2022.

⁵The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

PERFORMANCE OF THE SUB-FUNDS

	<u>For the period ended 30 September 2023</u>		<u>For the period ended 31 March 2023</u>		<u>For the period ended 31 March 2022</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
China Clean Energy ETF ^{1# ^}	(21.97)	(21.67)	(10.70)	(9.81)	28.57	29.71
China Consumer Brand ETF ^{1# ^}	(11.33)	(10.99)	5.26	6.08	(33.84)	(33.18)
China Electric Vehicle and Battery ETF ^{1# ^}	(20.48)	(20.17)	(19.48)	(18.53)	26.19	27.69
China Robotics and AI ETF ^{2# ^}	(13.74)	(13.31)	18.83	19.80	(18.07)	(17.56)
China Semiconductor ETF ^{2# ^}	(16.85)	(16.53)	(7.09)	(6.39)	0.09	0.78
China Global Leaders ETF ^{3# ^}	(6.49)	(6.11)	(7.98)	(7.29)	(17.72)	(17.02)
China Innovator Active ETF ^{3# ^}	(8.94)	(6.72)	(4.63)	1.55	(34.44)	(29.34)
Asia Semiconductor ETF ^{3# ^}	4.00	4.43	(9.31)	(8.52)	(9.90)	(9.28)
China E-Commerce and Logistics ETF ^{4# ^}	(7.62)	(7.34)	6.19	7.02	(23.52)	(23.20)
China Games and Entertainment ETF ^{4# ^}	(14.36)	(14.08)	17.39	18.41	(35.42)	(35.30)
FinTech ETF ^{5# ^}	(3.16)	(2.86)	(49.63)	(49.12)	–	–
Autonomous and Electric Vehicles ETF ^{5# ^}	(0.82)	(0.40)	(21.06)	(20.84)	–	–
Asia Innovator Active ETF ^{5# ^}	(6.83)	1.69	(32.35)	(9.84)	–	–
	<u>For the period ended 31 March 2021</u>					
	Sub-Fund performance (%)	Index performance (%)				
China Clean Energy ETF ^{1# ^}	73.10	75.32				
China Consumer Brand ETF ^{1# ^}	36.30	38.09				
China Electric Vehicle and Battery ETF ^{1# ^}	84.85	86.03				
China Robotics and AI ETF ^{2# ^}	3.52	4.02				
China Semiconductor ETF ^{2# ^}	(14.67)	(14.43)				
China Global Leaders ETF ^{3# ^}	–	–				
China Innovator Active ETF ^{3# ^}	–	–				
Asia Semiconductor ETF ^{3# ^}	–	–				
China E-Commerce and Logistics ETF ^{4# ^}	–	–				
China Games and Entertainment ETF ^{4# ^}	–	–				
FinTech ETF ^{5# ^}	–	–				
Autonomous and Electric Vehicles ETF ^{5# ^}	–	–				
Asia Innovator Active ETF ^{5# ^}	–	–				

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 16 January 2020 (date of inception) to 31 March 2021.

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⁵The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

[#]The respective tracking indices of these Sub-Funds are net total return indices. A net total return index calculates the performance of the index constituents on the basis that any dividends or distributions are reinvested after the deduction of any withholding taxes that may apply.

[^]The Sub-Funds' performances are calculated on the basis that any dividends or distributions are reinvested.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INFORMATION ON EXPOSURE ARISING FROM FINANCIAL DERIVATIVE INSTRUMENTS (UNAUDITED)

	<u>For the period ended 30 September 2023</u>					
	Highest (%)	Gross exposure Lowest (%)	Average (%)	Highest (%)	Net exposure Lowest (%)	Average (%)
<u>Unlisted funded total return swaps</u>						
China Clean Energy ETF	24.21	0.00	7.64	24.21	0.00	7.64
China Consumer Brand ETF	4.45	0.00	1.12	4.45	0.00	1.12
China Electric Vehicle and Battery ETF	32.24	0.00	9.01	32.24	0.00	9.01
China Semiconductor ETF	24.81	0.00	6.92	24.81	0.00	6.92

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

MANAGEMENT AND ADMINISTRATION

Manager

Mirae Asset Global Investments (Hong Kong) Limited
Room 1101, 11th Floor
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Causeway Bay, Hong Kong

Directors of the Manager

Mr. Wanyoun Cho
Ms. Joo Hee An
Mr. Se Han Song

Directors of the Company

Mr Wanyoun Cho
Mr. Se Han Song
Mr. Stewart Robert Kenneth Aldcroft

Custodian

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Three Garden Road
Central, Hong Kong

Sub-Custodian

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50th Floor, Champion Tower
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Service Agent

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Legal Counsel to the Manager

Simmons & Simmons
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979 King's Road
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Auditors

PricewaterhouseCoopers
Certified Public Accountant
Registered Public Interest Entity Auditor
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10 Chater Road
Central, Hong Kong

Listing Agent

Altus Capital Limited
21 Wing Wo Street
Central, Hong Kong

Participating Dealers

ABN AMRO Clearing Hong Kong Limited
Units 7001-06, 7008B, Level 70
International Commerce Centre
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Kowloon, Hong Kong

Barclays Bank PLC
41/F Cheung Kong Center
2 Queen's Road Central
Central, Hong Kong

China Galaxy International Securities (Hong Kong) Co., Limited
20/F Wing On Centre
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Citigroup Global Markets Asia Limited[&]
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Central District Hong Kong

[^] In respect of China Clean Energy ETF, China Consumer Brand ETF and China Electric Vehicle and Battery ETF only.

[&] In respect of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF and China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF and China Games and Entertainment ETF only.

[#] In respect of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF and China Semiconductor ETF only.

^{*} In respect of China Clean Energy ETF, China Consumer Brand ETF, China Games and Entertainment ETF, FinTech ETF and Autonomous and Electric Vehicles ETF only.

[@] In respect of China Electric Vehicle and Battery ETF, China Robotics and AI ETF and China Semiconductor ETF only.