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海通证券股份有限公司
HAITONG SECURITIES CO., LTD.*

(A joint stock limited company incorporated in the People's Republic of China with limited liability)
(Stock Code: 6837)

POLL RESULTS OF THE 2023 FIRST EXTRAORDINARY GENERAL MEETING HELD ON 12 OCTOBER 2023

I. POLL RESULTS OF THE EGM

References are made to the notice of the 2023 first extraordinary general meeting (the “**EGM**”) and the circular of the EGM (the “**Circular**”) both dated 21 September 2023 of Haitong Securities Co., Ltd. (the “**Company**”). Unless otherwise defined herein, capitalised terms used in this announcement shall have the same meanings as those defined in the Circular.

The board of directors (the “**Board**”) of the Company is pleased to announce that the EGM was held at Conference Room 616, Block C, Haitong Bund Finance Plaza, No. 888 South Zhongshan Road, Shanghai, the PRC, by way of an on-site meeting, on Thursday, 12 October 2023 at 1:30 p.m.

The meeting was convened by the Board and chaired by Mr. ZHOU Jie, the chairman of the Board. Voting at the EGM was conducted by a combination of network voting and poll onsite. In accordance with relevant PRC laws and regulations, the holders of A Shares were entitled to attend the voting at the EGM in person, by proxy, or via network for the relevant resolutions. The time of network voting for the resolutions proposed at the EGM for holders of A Shares on 12 October 2023 was set out in the notice of the EGM to holders of A Shares published on the website of Shanghai Stock Exchange on 21 September 2023 separately. Computershare Hong Kong Investor Services Limited, the Company's H share registrar, two representatives from the Shareholders, one Supervisor and one representative from Grandall Law Firm (Shanghai) were appointed by the Company as the scrutineers for the vote-taking at the EGM. All the Directors, eight Supervisors (Ms. Dai Li was not present due to work arrangement) and some of the senior management of the Company were present at the meeting either in person or by means of telecommunication.

As at the date of the EGM, a total of 13,064,200,000 Shares (comprising 9,654,631,180 A Shares and 3,409,568,820 H Shares) of the Company were in issue, among which, 13,033,932,352 Shares were the shares entitling the holders to attend and vote for or against or abstain from voting in respect of the resolutions at the EGM (30,267,648 A Shares in the special account for repurchase of the Company did not carry any voting rights at the EGM). A total of 64 Shareholders and/or their proxies, holding an aggregate of 4,931,660,407 shares with voting rights, representing approximately 37.837087% of the total shares with voting rights of the Company, in which, 63 Shareholders of A Shares and/or their proxies, holding an aggregate of 3,716,392,406 A Shares, representing approximately 28.513209% of the total shares with voting rights of the Company while one Shareholder of H Shares and/or his/her proxy, holding an aggregate of 1,215,268,001 H Shares, representing approximately 9.323878% of the total shares with voting rights of the Company, have attended the EGM and voted on the resolutions proposed at the EGM.

There was no restriction on any Shareholders casting votes on the proposed resolutions at the EGM. No Shareholder was required under the Hong Kong Listing Rules to abstain from voting on the resolutions proposed at the EGM. No Shareholder who was entitled to attend the EGM had to abstain from voting in favour of any resolution at the EGM pursuant to Rule 13.40 of the Hong Kong Listing Rules. No parties indicated their intention in the Circular to vote against or abstain from voting in respect of any resolution proposed at the EGM.

The Shareholders present at the EGM considered and approved the following resolutions:

SPECIAL RESOLUTION			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
1.	To consider and approve the proposal on the amendments to the Articles of Association and the appendices thereof	A Shares	3,641,485,403	97.984416	74,898,203	2.015347	8,800	0.000237
		H Shares	730,961,576	60.148179	483,810,232	39.810991	496,193	0.040830
		Total	4,372,446,979	88.660747	558,708,435	11.329013	504,993	0.010240
As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.								

Ordinary Resolution through Non-cumulative Voting System			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
2.	To consider and approve the proposal on the amendments to the Terms of Reference of the Independent Directors of the Company	A Shares	3,642,146,499	98.002205	74,244,707	1.997763	1,200	0.000032
		H Shares	739,713,776	60.868366	475,554,225	39.131634	0	0.000000
		Total	4,381,860,275	88.851622	549,798,932	11.148354	1,200	0.000024
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								

Ordinary Resolutions through Cumulative Voting System		For Total votes
3.	To consider and approve the proposal on the election of executive Directors and non-executive Directors of the eighth session of the Board	a total of seven (7) executive Directors and non-executive Directors to be elected
3.01	To consider and approve the re-election of Mr. Zhou Jie as an executive Director of the eighth session of the Board	4,665,207,732 (94.597100%)
3.02	To consider and approve the re-election of Mr. Li Jun as an executive Director of the eighth session of the Board	4,682,573,228 (94.949223%)
3.03	To consider and approve the appointment of Mr. Zhao Yonggang as an executive Director of the eighth session of the Board	4,676,012,209 (94.816184%)
3.04	To consider and approve the re-election of Mr. Tu Xuanxuan as a non-executive Director of the eighth session of the Board	4,570,872,028 (92.684241%)
3.05	To consider and approve the appointment of Mr. Shi Lei as a non-executive Director of the eighth session of the Board	4,675,892,582 (94.813758%)
3.06	To consider and approve the re-election of Ms. Xiao Hehua as a non-executive Director of the eighth session of the Board	4,449,619,418 (90.225584%)
3.07	To consider and approve the re-election of Mr. Xu Jianguo as a non-executive Director of the eighth session of the Board	4,452,048,352 (90.274836%)
As more than half of the votes were cast in favour of this resolution, each of these resolutions was duly passed as an ordinary resolution.		
4.	To consider and approve the proposal on the election of independent non-executive Directors of the eighth session of the Board	a total of four (4) independent non-executive Directors to be elected
4.01	To consider and approve the re-election of Mr. Zhou Yu as an independent non-executive Director of the eighth session of the Board	4,690,552,323 (95.111016%)
4.02	To consider and approve the appointment of Mr. Fan Ren Da Anthony ⁽¹⁾ as an independent non-executive Director of the eighth session of the Board	4,215,815,746 (85.484713%)
4.03	To consider and approve the appointment of Mr. Mao Fugen as an independent non-executive Director of the eighth session of the Board	4,699,018,311 (95.282682%)
4.04	To consider and approve the appointment of Mr. Mao Huigang as an independent non-executive Director of the eighth session of the Board	4,699,054,310 (95.283412%)
As more than half of the votes were cast in favour of this resolution, each of these resolutions was duly passed as an ordinary resolution.		

(1) The English name of Mr. Fan Ren Da Anthony (范仁達) should be “Mr. Fan Ren Da Anthony” instead of “Mr. Fan Renda” as disclosed in the Circular, the notice and proxy form of the EGM and the Chinese name of Mr. Fan Ren Da Anthony remains correct.

Ordinary Resolutions through Cumulative Voting System		For Total votes
5.	To consider and approve the proposal on the election of non-employee representative Supervisors of the eighth session of the Supervisory Committee	a total of six (6) non-employee representative Supervisors to be elected
5.01	To consider and approve the re-election of Mr. Tong Jianping as a non-employee representative Supervisor of the eighth session of the Supervisory Committee	4,630,386,675 (93.891028%)
5.02	To consider and approve the re-election of Mr. Ruan Feng as a non-employee representative Supervisor of the eighth session of the Supervisory Committee	4,695,879,651 (95.219039%)
5.03	To consider and approve the re-election of Mr. Li Zhenghao as a non-employee representative Supervisor of the eighth session of the Supervisory Committee	4,695,875,451 (95.218954%)
5.04	To consider and approve the re-election of Mr. Cao Yijian as a non-employee representative Supervisor of the eighth session of the Supervisory Committee	4,695,879,651 (95.219039%)
5.05	To consider and approve the appointment of Ms. Miao Qing as a non-employee representative Supervisor of the eighth session of the Supervisory Committee	4,630,380,980 (93.890913%)
5.06	To consider and approve the appointment of Mr. Song Chunfeng as a non-employee representative Supervisor of the eighth session of the Supervisory Committee	4,630,416,975 (93.891643%)
As more than half of the votes were cast in favour of this resolution, each of these resolutions was duly passed as an ordinary resolution.		

ELECTION OF NEW SESSION OF THE BOARD

Please refer to the Annex I for biographical details of the Directors elected (collectively, the “**Elected Director(s)**”) by the above ordinary resolutions numbered 3 and 4 as approved by the Shareholders of the Company.

As far as the Board is aware, save as disclosed in the Circular, the Elected Directors have not held any directorship in any other public companies the securities of which are listed on any securities market in Hong Kong or overseas in the past three years, nor do they hold any other position in the Company or any of its subsidiaries. As at the date of this announcement, save as disclosed in the Circular, the Elected Directors do not have other relationship with any Directors, Supervisors, senior management or substantial shareholders of the Company. The Elected Directors do not have any interest in the shares of the Company or its associated companies within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Save as disclosed in the Circular, there is no other information in relation to the Elected Directors which is required to be disclosed pursuant to the requirements set out in Rules 13.51(2) (h) to (v) of the Hong Kong Listing Rules nor are there any matters which need to be brought to the attention of the Shareholders. The Elected Directors were not penalized by the CSRC or other relevant departments or stock exchanges.

As at the date of this announcement and as confirmed by the Company, all of the above mentioned Elected Directors will perform their duties from the date of approval at the EGM for a term of three years. As at the date of this announcement, the Company has not entered into any service contract with the Elected Directors in terms of the appointment of the eighth session of the Board. The remuneration of the executive Directors shall be determined pursuant to the relevant remuneration and performance appraisal management rules. The remuneration of the non-executive Directors and independent non-executive Directors shall be determined pursuant to the “Resolution with regard to Adjustments to Allowances of the Directors and Supervisors” of the Company.

Retirement of Directors

Mr. Zhou Donghui, Mr. Zhang Ming, Mr. Lam Lee G. and Mr. Zhu Hongchao did not act as Directors or members of Board committees under the Board due to the election of new session of the Board. They have confirmed that there is no disagreement with the Board and there are no matters concerning their respective retirement that need to be brought to the attention of the Shareholders.

ELECTION OF NEW SESSION OF THE SUPERVISORY COMMITTEE

Please refer to the Annex II for biographical details of the non-employee representative Supervisors elected by the above ordinary resolution numbered 5 as approved by the Shareholders, and the employee representative Supervisors elected democratically by the employee congress of the Company (collectively, the “**Elected Supervisors**”).

As far as the Supervisory Committee is aware, save as disclosed in the Circular, the Elected Supervisors have not held any directorship in any other public companies the securities of which are listed on any securities market in Hong Kong or overseas in the past three years, nor do they hold any other position in the Company or any of its subsidiaries. As at the date of this announcement, save as disclosed in the Circular, the Elected Supervisors do not have other relationship with any Directors, Supervisors, senior management or substantial shareholders of the Company. The Elected Supervisors do not have any interest in the shares of the Company or its associated companies within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Save as disclosed in the Circular, there is no other information in relation to the appointments of the Elected Supervisors which is required to be disclosed pursuant to the requirements set out in Rules 13.51(2)(h) to (v) of the Hong Kong Listing Rules nor are there any matters which need to be brought to the attention of the shareholders of the Company. The Elected Supervisors were not penalized by the CSRC or other relevant departments or stock exchanges.

As at the date of this announcement and as confirmed by the Company, all of the above mentioned elected non-employee representative Supervisors will perform their duties from the date of approval at the EGM for a term of three years. As at the date of this announcement, the Company has not entered into any service contract with the above Elected Supervisors in terms of the appointment of the eighth session of the Supervisory Committee. The remuneration of the non-employee representative Supervisors shall be determined pursuant to the “Resolution with regard to Adjustments to Allowances of the Directors and Supervisors” of the Company.

Save as the above mentioned non-employee representative Supervisors, the eighth session of the Supervisory Committee will have three employee representative Supervisors. The employee representative Supervisors of the eighth session of the Supervisory Committee shall be elected democratically by the employee congress of the Company and are not subject to shareholders’ approval. The Company held the second meeting of the fifth session of the employee representatives on 12 October 2023, at which Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang were elected as the employee representative Supervisors of the eighth session of the Supervisory Committee. The terms of office of Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang will be the same as the eighth session of the Supervisory Committee.

Election of the Chairman of the Supervisory Committee

The first meeting of the eighth session of the Supervisory Committee (extraordinary meeting) was held on 12 October 2023. Mr. Tong Jianping was elected as the chairman of the Supervisory Committee with a term of office with effective from 12 October 2023 to the completion of performance of the eighth session of the Supervisory Committee.

Retirement of Supervisors

Mr. Dong Xiaochun and Ms. Dai Li did not act as non-employee representative Supervisors of the eighth session of the Supervisory Committee due to the election of new session of the Supervisory Committee. They have confirmed that there is no disagreement with both the Supervisory Committee and the Board and there are no matters concerning their respective retirement that need to be brought to the attention of the Shareholders. Mr. Zhao Yonggang ceased to act as an employee representative Supervisor. He has confirmed that there is no disagreement with both the Supervisory Committee and the Board and there are no matters concerning his retirement that need to be brought to the attention of the Shareholders.

ATTESTATION BY LAWYERS

Grandall Law Firm (Shanghai), the PRC legal adviser to the Company, considers that convening and convocation procedures of the EGM are in compliance with the relevant laws and administrative rules as well as the Rules of Procedure for Shareholder's General Meetings and the Articles of Association; the qualifications of conveners of and the attendees at the EGM are lawful and valid; and the voting procedures and poll results of the EGM are lawful and valid.

By order of the Board
Haitong Securities Co., Ltd.
ZHOU Jie
Chairman

Shanghai, the PRC
12 October 2023

As at the date of this announcement, the executive directors of the Company are Mr. Zhou Jie, Mr. Li Jun and Mr. Zhao Yonggang; the non-executive directors of the Company are Mr. Tu Xuanxuan, Mr. Shi Lei, Ms. Xiao Hehua and Mr. Xu Jianguo; and the independent non-executive directors of the Company are Mr. Zhou Yu, Mr. Fan Ren Da Anthony, Mr. Mao Fugen and Mr. Mao Huigang.

** For identification purpose only*

ANNEX I

BIOGRAPHY OF ELECTED DIRECTORS

Mr. Zhou Jie (周杰), was born in 1967 and is a holder of master's degree in engineering. Mr. Zhou Jie has been serving as an executive Director since 23 September 2016, the Chairman of the Board since 28 October 2016, and the secretary of the CPC Committee of the Company since July 2016. Mr. Zhou Jie has concurrently served as the chairman of the Assets and Liabilities Allocation Committee of the Company. From February 1992 to June 1996, Mr. Zhou Jie worked at the investment banking department of Shanghai International Securities Co., Ltd. (上海萬國證券有限公司). From June 1996 to December 2001, Mr. Zhou Jie served successively as the manager of the investment department, a deputy general manager, and the chairman of the board of directors and the general manager of Shanghai SIIC Asset Operation Co., Ltd. (上海上實資產經營有限公司). From December 2001 to April 2003, he was the director and general manager of SIIC Medical Science and Technology (Group) Limited (上海實業醫藥科技(集團)有限公司). From January 2002 to July 2016, he acted successively as an executive director and vice chief executive officer, an executive director and the executive vice president, and the vice chairman of the board of directors and the chief executive officer of Shanghai Industrial Holdings Limited (上海實業控股有限公司, listed on Hong Kong Stock Exchange under the stock code of 0363). From August 2004 to July 2016, he served successively as the chief planning officer, an executive director and a vice president, an executive director and the executive vice president, and the president and a deputy secretary of CPC Committee of SIIC Shanghai (Holding) Co., Ltd. (上海上實(集團)有限公司). From March 2010 to May 2012, he was the chairman of the supervisory committee of Shanghai Pharmaceuticals Holding Co., Ltd. (上海醫藥集團股份有限公司, listed on the Shanghai Stock Exchange (the "SSE") under the stock code of 601607; listed on the Hong Kong Stock Exchange under the stock code of 02607), where he served as the chairman of the board of directors and the secretary of the CPC Committee from June 2012 to June 2013 and from May 2016 to July 2016. Mr. Zhou Jie has been a supervisor and the chairman of the remuneration committee of the SSE since 2016. He has been a deputy to the Shanghai Municipal People's Congress, a vice chairman of Shanghai Financial Association (上海金融業聯合會), and an arbitrator of Shanghai Arbitration Commission (上海仲裁委員會) since 2017, and a director and a vice chairman of the Securities Association of China (中國證券業協會) since 2021.

Mr. Li Jun (李軍), was born in 1969 and is a holder of master's degrees in business administration and public administration and management. Mr. Li Jun has been serving as a deputy secretary of CPC Committee of the Company since August 2021, an executive Director since 28 September 2021, and the general manager of the Company since 28 October 2021. Mr. Li Jun worked at the Shanghai Branch of China Pacific Insurance Co., Ltd. (中國太平洋保險公司) from July 1992 to February 2001, successively serving as a staff member, a deputy section chief and the section chief of the import division of the overseas business department, the section chief of the export division of the transportation insurance department, and the section chief of business division I of the import and export department. He worked at China Pacific Property Insurance Co., Ltd. (中國太平洋財產保險股份有限公司) from March 2001 to January 2003, and successively served as the section chief of the office secretary division, a deputy manager of the Pudong sub-branch responsible for daily operation, a deputy secretary and the secretary of the CPC Party branch. From January 2003 to May 2014, he worked at Shanghai Financial Services Office (上海市金融服務辦公室), and successively served as an officer and a principal staff member of the institution division, a deputy director of the institution division II, the director of the financial institution division II, and the director of the local financial management division. From December 2013 to May 2014, he served as a deputy secretary-general of the Management Committee of China (Shanghai) Free Trade Zone (中國(上海)自由貿易試驗區管委會) (temporary position). From May 2014 to September 2014, he served as a deputy secretary-general of the Management Committee of China (Shanghai) Free Trade Zone. From September 2014 to November 2018, he served as a deputy director of the Shanghai Financial Services Office. From November 2018 to August 2021, he served as a deputy director of the Shanghai Municipal Financial Regulatory Bureau (上海市地方金融監督管理局) and a deputy director of the Shanghai Financial Affairs Bureau (上海市金融工作局). Mr. Li Jun has been serving as the member representative of council, the chairman of the Members' Self-Discipline and Management Committee (理事會會員自律管理委員會) and a member representative of ChiNext Market Stock Issuance Standardization Committee (創業板股票發行規範委員會) of the Shenzhen Stock Exchange (the "SZSE") since November 2021. Mr. Li Jun has been serving as the chairman of the supervisory committee of the Listed Companies Association of Shanghai (上海上市公司協會) and the chairman of international cooperation committee of the Securities Association of China (中國證券業協會國際合作委員會) since January 2022. Mr. Li Jun has been serving as the chairman of the board of directors and a non-executive director of Haitong International Securities Group Limited (海通國際證券集團有限公司, listed on the Hong Kong Stock Exchange under the stock code of 0665), and the chairman of the board of directors of Haitong International Holdings Limited (海通國際控股有限公司) since October 2021.

Mr. Zhao Yonggang (趙永剛), was born in 1972 and is a holder of bachelor's degree in economics and an economist. Mr. Zhao Yonggang has been serving as a deputy secretary of the CPC Committee of the Company since May 2021, and an executive Director since 12 October 2023. Mr. Zhao Yonggang worked in Shapingba Sub-branch of Chongqing Branch of China Pacific Insurance Company (中國太平洋保險公司) from July 1995 to March 2000, where he successively served as a salesman, a deputy manager of the business department and the manager of the business department. He worked in Chongqing Branch of China Pacific Life Insurance Co., Ltd. (中國太平洋人壽保險股份有限公司) from March 2000 to September 2001, where he successively served as the section chief, the person-in-charge of department and the deputy manager of the human resources department in charge of operation. He served as the deputy secretary of the Youth League Committee in charge of operation and the secretary of the Youth League Committee of China Pacific Life Insurance Co., Ltd. from September 2001 to February 2006. He served as a member of the CPC Committee and a deputy general manager of Guizhou Branch of China Pacific Life Insurance Co., Ltd. from February 2006 to March 2008. He served as the deputy head of the department of the Party and masses affairs, deputy director of the office of the CPC Committee, secretary of the Youth League Committee, general manager of the staff work department and director of the Party affair department of China Pacific Insurance (Group) Co., Ltd. (中國太平洋保險(集團)股份有限公司) from March 2008 to July 2011. From July 2011 to February 2018, he worked at China Pacific Life Insurance Co., Ltd, successively served as the director of the strategic transformation office, the secretary of the CPC Committee and the general manager of Heilongjiang Branch, the secretary of the CPC Committee of Henan Branch, the general manager of Henan Branch, member of the CPC Committee of headquarters, chairman of the trade union, the head of the organization department of the CPC Committee, the general manager of human resources department, the human resources director, etc. From August 2016 to May 2021, he worked at China Pacific Insurance (Group) Co., Ltd, successively served as the chairman of the trade union, the head of the organization department of the CPC Committee and a vice president. Mr. Zhao Yonggang served as the vice chairman of the Supervisory Committee and an employee representative Supervisor from 11 June 2021 to 12 October 2023.

Mr. Tu Xuanxuan (屠旋旋), was born in 1973 and is a holder of bachelor's degree in economics and an economist. Mr. Tu Xuanxuan has been a non-executive Director since 18 June 2019. Mr. Tu Xuanxuan has been serving as the general manager of the capital operation department of Shanghai Guosheng (Group) Co., Ltd. (上海國盛(集團)有限公司) since March 2020. Mr. Tu Xuanxuan worked at Bank of China, Shanghai Branch from July 1993 to March 2001 and at Shanghai Office of China Orient Asset Management Corporation (中國東方資產管理公司) from March 2001 to October 2004. He was in charge of the work of the asset management department of Shanghai Dasheng Assets Co., Ltd. (上海大盛資產有限公司) from October 2004 to September 2009, and was a deputy director of the asset management center of Shanghai Guosheng (Group) Co., Ltd. from September 2009 to October 2012. Mr. Tu Xuanxuan served successively as an assistant to the president, a member of CPC Committee and a vice president of Shanghai Guosheng Group Assets Co., Ltd. (上海國盛集團資產有限公司) from June 2012 to January 2019 (during which he served as the deputy director (temporary position) of the intellectual property department of State-owned Assets Supervision and Administration Commission of Shanghai Municipal Government (上海市國資委) from July 2014 to July 2015), and a deputy general manager (responsible for daily operation) of the capital operation department of Shanghai Guosheng (Group) Co., Ltd. from January 2019 to March 2020. Mr. Tu Xuanxuan has been a director of Arcplus Group PLC (華東建築集團股份有限公司, listed on the SSE under the stock code of 600629) since September 2020, a director of Lingang Group (上海臨港經濟發展(集團)有限公司) since March 2021, a director of Shanghai Di'an Investment Management Co., Ltd. (上海砥安投資管理有限公司) since July 2021, a director of Shanghai Weian Investment Management Co., Ltd. (上海維安投資管理有限公司) since July 2021, the general manager, an executive director and the legal representative of Shanghai Sheng Rui Investment Co., Ltd. (上海盛睿投資有限公司) since December 2021, a director of Shanghai Tunnel Engineering Co., Ltd. (上海隧道工程股份有限公司, listed on the SSE under the stock code of 600820) since January 2022, and a director of Anxin Trust Co., Ltd. (安信信託股份有限公司, listed on the SSE under the stock code of 600816) since September 2022.

Mr. Shi Lei (石磊), was born in 1982 and is a holder of bachelor's degree and a senior accountant. Mr. Shi Lei has been a non-executive Director since 12 October 2023. Mr. Shi Lei has been serving as the director of the finance department of Shanghai Tobacco Group Co., Ltd. (上海煙草集團有限責任公司) since August 2022. Mr. Shi Lei served as an auditor of the audit office, assistant to the section chief, deputy section chief (in charge of the work) and assistant to the director of Shanghai Tobacco Group Co., Ltd. from July 2004 to April 2017; a deputy general manager of Shanghai Tobacco Group Jing'an Tobacco Sugar and Liquor Co., Ltd. (上海煙草集團靜安煙草糖酒有限公司) from April 2017 to April 2018; a deputy general manager of Shanghai Tobacco Group Huangpu Tobacco Sugar and Liquor Co., Ltd. (上海煙草集團黃浦煙草糖酒有限公司) from April 2018 to October 2019; and a deputy director of the finance department of Shanghai Tobacco Group Co., Ltd. from October 2019 to August 2022.

Ms. Xiao Hehua (肖荷花), was born in 1970 and is a holder of master's degree in engineering and an economist. Ms. Xiao Hehua has been a non-executive Director since 16 June 2023. Ms. Xiao Hehua has been the chief financial officer and director of the financial management center of Bright Food (Group) Co., Ltd. since June 2018. Ms. Xiao Hehua worked at Shanghai State-owned Assets Administration Office (上海市國有資產管理辦公室) from September 1996 to February 2004, successively served as the senior staff member, principal staff member and deputy director of the valuation department. She worked at Shanghai State-owned Assets Supervision and Administration Commission (上海市國有資產監督管理委員會) from February 2004 to July 2017, successively served as the deputy director and director of the valuation management division, and during which she concurrently served as the director of Shanghai Municipal Asset Valuation Centre (上海市資產評審中心) from September 2011 to August 2013. From July 2017 to June 2018, she served as the director of investment management of Shanghai United Assets and Equity Exchange Co., Ltd. (上海聯合產權交易所有限公司). Ms. Xiao Hehua has been a director of Shanghai Hongqiao International Commodity Import, Sales and Exhibition Co., Ltd. (上海虹橋國際進口商品展銷有限公司) since November 2022.

Mr. Xu Jianguo (許建國), was born in 1964 and is a holder of master's degree in professional accountancy and a senior accountant. Mr. Xu Jianguo has been a non-executive Director since 18 October 2016. Mr. Xu Jianguo has been serving as a director, a vice president, and the chief financial officer of Shanghai Electric Holding Group Co., Ltd. (上海電氣控股集團有限公司) since January 2022. Mr. Xu Jianguo worked at the finance department and the audit office of Shanghai Cable Works (上海電纜廠) from July 1984 to December 2001, the inspection office of Shanghai Electric (Group) Corporation from January 2002 to March 2004, and the assets and finance department of Shanghai Electric Assets Management Company Limited (上海電氣資產管理有限公司) from April 2004 to August 2008, respectively, during which he also served as the chief financial officer of Shanghai Li Da Heavy Industrial Manufacturing Limited (上海力達重工製造有限公司) from March 2006 to August 2008. From August 2008 to December 2009, Mr. Xu Jianguo was a deputy director of the assets and finance department of Shanghai Electric Assets Management Company Limited. He served as a deputy director and director of the financial budget department of Shanghai Electric (Group) Corporation from December 2009 to January 2022. Mr. Xu Jianguo served as the chairman of the supervisory committee of Shanghai Highly (Group) Co., Ltd. (上海海立(集團)股份有限公司, listed on the SSE under the stock code of 600619) from December 2017 to February 2023, a director of Tianjin Pipe Corporation from March 2020 to February 2023, and a director of Shanghai Electric Henglian Industry Development Co., Ltd. (上海電氣集團恒聯企業發展有限公司) from June 2020 to February 2023. Mr. Xu Jianguo has been a director of Shanghai Electric Group Finance Co., Ltd. (上海電氣集團財務有限責任公司) since April 2013, and a director of Shanghai Life Insurance Company Ltd. (上海人壽保險股份有限公司) since March 2015. Mr. Xu Jianguo has also served as a director of Shanghai Micro Electronics Equipment Co., Ltd. (上海微電子裝備股份有限公司) since June 2016, the chairman of the board of directors of Shanghai Electric Group Hong Kong Limited since September 2021, and the chairman of the board of directors of Shanghai Electric Hong Kong Co., Ltd. (上海電氣香港有限公司) since June 2022.

Mr. Zhou Yu (周宇), was born in 1959 and is a holder of doctor's degree in economics, a researcher, and a doctoral supervisor. He is an expert entitled to the special government allowances of the State Council and an executive director of China Association of World Economic Research (中國世界經濟學會). Mr. Zhou Yu has been an independent non-executive Director since 18 June 2019. He is currently a researcher of Shanghai Academy of Social Sciences (上海社會科學院). Mr. Zhou Yu served as a teacher of the Finance Department at Xinjiang University of Finance and Economics (新疆財經學院) from August 1982 to March 1992, during which he served as a visiting researcher at Osaka University of Commerce (大阪商業大學) in Japan from April 1990 to March 1992. He pursued a master's degree and a doctor's degree at the Department of Economics of Osaka City University (大阪市立大學) from April 1992 to March 2000. He served as a visiting researcher at the Graduate School of Economics of Osaka City University in Japan from April 2000 to November 2000, successively served in various positions at the Institute of World Economy of Shanghai Academy of Social Sciences including assistant researcher, associate researcher, and deputy director of the Finance Research Institution from December 2000 to October 2008, during which he served as a post-doctoral fellow of economic theory at Shanghai Academy of Social Sciences from January 2001 to December 2002. He served as the director of the International Finance Research Institution of the Institute of World Economy of Shanghai Academy of Social Sciences (上海社會科學院世界經濟研究所國際金融研究室) and the director of the International Finance Monetary Research Center of Shanghai Academy of Social Sciences (上海社會科學院國際金融貨幣研究中心) from October 2008 to December 2020.

Mr. Fan Ren Da Anthony (范仁達), was born in 1960 and is a holder of doctor's degree in economics. Mr. Fan Ren Da Anthony has been an independent non-executive Director since 12 October 2023. Mr. Fan Ren Da Anthony has been the chairman of the board of directors and managing director of AsiaLink Capital Limited (東源資本有限公司) since October 2003, an executive director of Tenfu (Cayman) Holdings Company Limited (listed on the Hong Kong Stock Exchange under the stock code of 6868) since May 2021 and a non-executive director of Hilong Holding Limited (listed on the Hong Kong Stock Exchange under the stock code of 1623) since July 2022. Mr. Fan Ren Da Anthony is also an independent non-executive director of Uni-President China Holdings Ltd. (stock code: 220), Shanghai Industrial Urban Development Group Limited (stock code: 563), CITIC Resources Holdings Limited (stock code: 1205), Technovator International Limited (stock code: 1206), China Dili Group (stock code: 1387), Neo-Neon Holdings Limited (stock code: 1868), Hong Kong Resources Holdings Company Limited (stock code: 2882) and China Development Bank International Investment Limited (stock code: 1062), the above-mentioned companies are listed on the Hong Kong Stock Exchange. Mr. Fan Ren Da Anthony is an independent non-executive director of Semiconductor Manufacturing International Corporation (listed on the SSE under the stock code of 688981 and listed on the Hong Kong Stock Exchange under the stock code of 981). Mr. Fan Ren Da Anthony is the chairman of the Asian Independent Non-Executive Directors Association (亞洲獨立非執行董事協會).

Mr. Mao Fugen (毛付根), was born in 1963 and is a holder of doctor's degree in economics and a professor. Mr. Mao Fugen has been an independent non-executive Director since 12 October 2023. Mr. Mao Fugen has successively served as the lecturer, deputy professor and professor of the School of Accounting of Xiamen University from January 1990 till now. Mr. Mao Fugen has been serving as an independent director of AviChina Industry & Technology Company Limited (中國航空科技工業股份有限公司, listed on the Hong Kong Stock Exchange under the stock code of 2357) from May 2021 till now, Zhonghong Pulin Medical Products Co., Ltd. (中紅普林醫療用品股份有限公司, listed on the SZSE under the stock code of 300981) from July 2021 till now, Huatai United Securities Co., Ltd. from October 2019 till now and Unicom Intelligent Network Technology Co., Ltd. (聯通智網科技股份有限公司) since April 2023.

Mr. Mao Huigang (毛惠剛), was born in 1972 and is a holder of master's degree in laws. Mr. Mao Huigang has been an independent non-executive Director since 12 October 2023. He has been working at Shanghai Jin Mao Law Firm (上海市金茂律師事務所) since September 1994, where he has served as a lawyer, partner, managing partner and director. Mr. Mao Huigang is also a member of the Standing Committee of the Third Session of National People's Congress of Huangpu District, Shanghai, an arbitrator of the Shanghai International Economic and Trade Arbitration Commission, an arbitrator of the Shanghai Arbitration Commission, a councilor of the Shanghai Bar Association and a director of the Social Responsibility Promotion Committee and the Arbitration Practice Research Committee of the Shanghai Bar Association. Mr. Mao Huigang has been serving as an independent director of Shanghai Rural Commercial Bank Co., Ltd. (上海農村商業銀行股份有限公司, listed on the SSE under the stock code of 601828) from April 2017 till now and Bright Dairy & Food Co., Ltd. (光明乳業股份有限公司, listed on the SSE under the stock code of 600597) from December 2020 till now.

ANNEX II BIOGRAPHY OF ELECTED SUPERVISORS

EMPLOYEE REPRESENTATIVE SUPERVISORS

Mr. Wu Xiangyang (武向陽), born in 1966, and is a holder of master's degree in law and an economist. Mr. Wu Xiangyang has served as an employee representative Supervisor since 18 June 2019. He has been the general manager of the legal department of the Company since January 2023. Mr. Wu Xiangyang served as a teacher at Huibu Middle School in Fengxin County, Jiangxi Province (江西奉新縣會埠中學) from July 1985 to September 1987, and an officer of the Publicity Department of the CPC Committee and the secretary of the general Communist Youth League branch of the department of electronic engineering at Nanchang Aerospace College (南昌航空學院) from July 1991 to September 1995. He pursued his master's degree in the economic law department of East China University of Political Science and Law (華東政法學院) from September 1995 to July 1998 and obtained a master's degree in law when graduated. Mr. Wu Xiangyang served as the asset administrator of the legal affairs office of Bank of Communications Shanghai Branch (交通銀行上海分行) from August 1998 to April 2000. Mr. Wu Xiangyang has served in the following various positions in the Company since January 2001, including: project manager of the investment banking headquarters; legal counsel and deputy manager of the legal affairs department of the general manager office; deputy manager of the legal compliance department of the compliance office; manager of the legal compliance department and manager of the compliance inspection department of the compliance department; manager of the compliance review department and assistant to general manager of the compliance and risk management headquarters; an assistant to the general manager, a deputy general manager and a deputy general manager (in charge of daily operation) of the compliance and legal department of the Company. Mr. Wu Xiangyang has served as the chairman of the supervisory committee of Haitong Futures Co., Ltd. (海通期貨股份有限公司) since July 2019, a director of Shanghai Weitai Properties Management Co., Ltd. (上海惟泰置業管理有限公司) since March 2020, and the chairman of the supervisory committee of Haitong UniTrust International Leasing Corporation (海通恒信國際融資租賃股份有限公司) since May 2022.

Mr. Cao Zhigang (曹志剛), born in 1978, and is a holder of master's degree in economics. Mr. Cao Zhigang has served as an employee representative Supervisor of the Company since 28 June 2023. He has served as the general manager of the audit department of the Company since June 2023. Mr. Cao Zhigang has served in the following various positions in the Company since July 2001, including: employee of the audit department; commissioner in the risk control headquarters; deputy manager of the rectification and supervision department of the risk control headquarters; manager of division 1 of the audit department; assistant to the general manager of the audit department; and deputy general manager of the audit department. Mr. Cao Zhigang has served as the chairman of the supervisory committee of HFT Investment Management Co., Ltd. (海富通基金管理有限公司) since March 2019 and the supervisor of Fullgoal Fund Management Co., Ltd. (富國基金管理有限公司) since October 2020.

Ms. Yu Yang (喻洋), was born in 1981 and is a holder of master's degree in law. Ms. Yu Yang has served as the deputy secretary of the CPC Committee of company institution of the Company since March 2019, secretary of the Disciplinary Committee of company institution of the Company since April 2020 and director of the department of Party-Masses Relationship of the Company since April 2023. Ms. Yu Yang worked in the Public Complaints and Proposals Office of the CPC Shanghai Municipal Committee and Shanghai Municipal People's Government (中共上海市委、上海市人民政府信訪辦公室) from July 2006 to February 2019. Ms. Yu Yang has served as a cadre, deputy chief staff member of the Call Reception Office, chief staff member of the Call Reception Office, chief staff member of the Secretariat and deputy director of the Secretariat. Ms. Yu Yang served as the deputy director of the department of Party-Masses Relationship of the Company from February 2019 to December 2019 and deputy director of the office of the CPC Committee of the Company from December 2019 to April 2023.

NON-EMPLOYEE REPRESENTATIVE SUPERVISORS

Mr. Tong Jianping (童建平), was born in 1962 and is a holder of bachelor's degree in law, and obtained his master's degree in political economy from the Central Party School of the Communist Party of China (中共中央黨校). He has been serving as the chairman of the Supervisory Committee of the Company since 7 September 2022. Mr. Tong Jianping worked in the People's Procuratorate of Shanghai Municipality (上海市人民檢察院) from July 1984 to June 2012, during which he successively served as a clerk and an assistant prosecutor when working in a branch of the People's Procuratorate of Shanghai Municipality from July 1984 to September 1988, and became a deputy-section-chief level officer since October 1987; he successively served as an officer and deputy head of the district county section when working in the Tax Office of Shanghai People's Procuratorate from September 1988 to July 1993, and became a section-chief level officer since March 1992; he successively served as a an officer, a deputy head of the case handling team II, the section chief of the case handling section I and a deputy-division-director level procurator when working in the Division III of a branch of the People's Procuratorate of Shanghai Municipality from July 1993 to May 1995; from May 1995 to December 2009, Mr. Tong Jianping worked in the Second Branch of the People's Procuratorate of Shanghai Municipality, and successively served as a deputy director of the Corruption and Bribery Office, where he attended the 14th training course for section level officers in Party School of Politics and Law of Shanghai from October 1995 to November 1995, and became a division-director officer since June 2000, a deputy director of the political department, where he attended the 21st advanced course for senior specialists of Party School of Shanghai Committee of the CPC from May 2001 to June 2001, and the director of the Anti- Corruption and Bribery Bureau where he attended the 24th training course for young and middle-aged cadres of Party School of Shanghai Committee of the CPC from September 2002 to January 2003, became a member of the procuratorial committee since June 2003, served a temporary position in the politics and law committee of the Shanghai Municipal Committee of the CPC from September 2003 to December 2003 and took on-job postgraduate program of Party School of the Central Committee of the CPC from July 2004 to July 2007; and from December 2009 to June 2012, he worked in the First Branch of the People's Procuratorate of Shanghai Municipality where he served as the director of the political department (deputy bureau director level) from December 2009 to May 2012, and was a member of the Leading Party Members Group from February 2010 to June 2012. Mr. Tong Jianping served a temporary position as a deputy secretary of the discipline inspection committee and head of the supervision and audit department of the Bureau of Shanghai World Expo Coordination (上海世博局) from August 2008 to June 2011, a standing member of the Shanghai Municipal Commission for Discipline Inspection of the CPC (中共上海市紀律檢查委員會) from May 2012 to May 2017, a deputy secretary of the Shanghai Municipal Commission for Discipline Inspection of the CPC from May 2017 to June 2022, and a vice chairman of the Shanghai Municipal Supervisory Committee (上海市監察委員會) from January 2018 to July 2022. Mr. Tong Jianping served as a member of the 10th and 11th Shanghai Municipal Committee for Discipline Inspection of the CPC. From December 2021 to December 2022, Mr. Tong Jianping served as a deputy to the 15th Shanghai Municipal People's Congress. Since December 2022, Mr. Tong Jianping has been serving as a member of the 14th Shanghai Municipal Committee of the Chinese People's Political Consultative Conference.

Mr. Ruan Feng (阮峰), was born in 1968 and is a holder of bachelor's degree in accounting and a senior auditor. Mr. Ruan Feng has been serving as a Supervisor since 20 October 2020. Mr. Ruan Feng has been the deputy general manager of the Audit Department (formerly the Audit and Supervision Department) of Shanghai Guosheng (Group) Co., Ltd. (上海國盛(集團)有限公司) since May 2019. Mr. Ruan Feng worked at Shanghai Municipal Audit Bureau (上海市審計局) from August 1994 to May 2019 and successively served as an office clerk of the audit division in the commercial grain trade commission; an office clerk, a deputy section chief and the section chief of the audit division in the economic and trade commission; and the section member of the second administrative audit division. Mr. Ruan Feng has been a supervisor of Shanghai Cultural Industry Development Investment Fund Management Co., Ltd. (上海文化產業發展投資基金管理有限公司) since February 2020 and a supervisor of Green Development Fund Private Equity Investment Management (Shanghai) Co., Ltd. (綠色發展基金私募股權投資管理(上海)有限公司) since June 2021.

Mr. Li Zhenghao (李爭浩), was born in 1975 and is a holder of bachelor's degree and EMBA degree and a senior accountant. Mr. Li Zhenghao has been serving as a Supervisor since 18 June 2020. He has been the general manager of the finance department of Shenergy Group Company Limited (申能(集團)有限公司) since June 2019. Mr. Li Zhenghao worked at Shanghai Pudong Development Bank Co., Ltd. (上海浦東發展銀行股份有限公司, listed on the SSE under the stock code of 600000) from July 1997 to March 2007, successively serving as an accountant, a loan officer, a senior account manager and the president of Siping Road Sub-branch. Mr. Li Zhenghao worked at Shenergy Group Finance Co., Ltd. (申能集團財務有限公司) from April 2007 to April 2017, successively serving as an assistant to the manager of the finance department, a deputy manager and the manager of the accounting and settlement department, the manager of the planning and finance department, and the director of operations of the Company. Mr. Li Zhenghao served as the deputy manager of the finance department at Shenergy Group Company Limited from May 2017 to May 2019. Mr. Li Zhenghao served as the chairman of the supervisory committee of Shanghai Shenxin Environmental Protection Co., Ltd. (上海申欣環保有限公司) from June 2019 to November 2022. Mr. Li Zhenghao has been a director of Shanghai Gas Company Limited (上海燃氣有限公司) since June 2019, a director of Shenergy Company Limited (申能股份有限公司, listed on the SSE under the stock code of 600642) since July 2020.

Mr. Cao Yijian (曹奕劍), was born in 1976 and is a holder of master's degree in science and an economist. Mr. Cao Yijian has been serving as a Supervisor since 18 June 2019. He has been serving as the general manager of the investment development department of Shanghai Jiushi (Group) Co., Ltd. (上海久事(集團)有限公司) since April 2018. Mr. Cao Yijian served as a staff member of Shanghai Huipu Technology Investment Company Limited (上海匯浦科技投資有限公司) from March 2001 to February 2003 and a staff member of Shanghai Qiangsheng Holding Co., Ltd. (上海強生控股股份有限公司, listed on the SSE under the stock code of 600662) from February 2003 to July 2003. He served as the manager of the asset management department of Shanghai Huipu Technology Investment Company Limited from July 2003 to July 2007. Mr. Cao Yijian worked at Shanghai Qiangsheng Group Co., Ltd. (上海強生集團有限公司) from August 2007 to June 2013, successively serving a staff member, an assistant to the manager, a deputy manager and the manager of the asset operation department. He served the manager of the asset operation department at Shanghai Jiushi Properties Co., Ltd. (上海久事置業有限公司) from June 2013 to May 2015. He worked at the investment development department of Shanghai Jiushi Corporation (上海久事公司) from May 2015 to October 2015, serving as a deputy general manager. He was a deputy general manager of the investment development department of Shanghai Jiushi (Group) Co., Ltd. from October 2015 to April 2018. Mr. Cao Yijian served as an executive director and the general manager of Shanghai Jiushi Investment Management Co., Ltd. (上海久事投資管理有限公司) from December 2019 to August 2021. Mr. Cao Yijian has been a supervisor of Shanghai Pudong Development Bank Co., Ltd. (上海浦東發展銀行股份有限公司, listed on the SSE under the stock code of 600000) since December 2019, and a director of Shanghai Sitcom Assets Management Co. Ltd. (上海上國投資產管理有限公司) since September 2021.

Ms. Miao Qing (繆青), was born in 1978 and is a holder of master's degree of accounting and is recognized as a senior accountant. Ms. Miao Qing has been the director of the audit and risk control department of Shanghai Bailian Group Co., Ltd. (上海百聯集團股份有限公司, listed on the SSE under the stock code of 600827) since April 2021. Ms. Miao Qing served as a clerk in the finance department of Shanghai Hualian Co., Ltd. (上海華聯商廈股份有限公司) from August 2001 to October 2004, and worked in Shanghai Bailian Group Co., Ltd. since October 2004 in the following positions: a clerk in the finance department from October 2004 to May 2006, and worked in the Audit Centre from May 2006 to April 2018, where she successively served as a head, senior head, assistant to the director and deputy director, and a deputy director of the audit and risk control department from April 2018 to April 2021. Ms. Miao Qing has been serving as a supervisor of Shanghai Bailian Central Shopping Plaza Co., Ltd. (上海百聯中環購物廣場有限公司), a supervisor of Shanghai Bailian Nanqiao Central Shopping Plaza Co., Ltd. (上海百聯南橋購物中心有限公司) and a director of Shanghai Bailian Xijiao Central Shopping Plaza Co., Ltd. (上海百聯西郊購物中心有限公司) since October 2019, and a supervisor of Bailian Group Finance Co., Ltd. (百聯集團財務有限責任公司) since December 2019.

Mr. Song Chunfeng (宋春風), was born in 1969 and is a holder of doctor's degree in law and a senior economist. He has been the managing director of China Shipowners Mutual Assurance Association (中國船東互保協會) since March 2016. From August 1992 to September 1996, Mr. Song Chunfeng worked at China Ocean Shipping (Group) Company ("COSCO", 中國遠洋運輸(集團)總公司), where he successively served as an cadre at the department of insurance and settlement of claims and the department of commerce, and the deputy section chief level clerk of the unit of commerce under the department of transmission. From September 1996 to June 1997, he studied at the China Ocean Administration Training Class (中遠管理培訓班) offered by Peking University. From June 1997 to September 1998, he worked as a project manager at the America branch of COSCO. From September 1998 to March 2012, he worked at COSCO, where he successively served as the section chief level clerk, deputy director and director of the division of commerce and the manager of the unit of commerce. From March 2012 to March 2016, he worked as the managing director of COSCO (Hong Kong) Insurance Brokers Limited and served as the chairman and the general manager of Shenzhen COSCO Insurance Brokers Limited (深圳中遠保險經紀公司). Mr. Song Chunfeng has been a legal representative, an executive director and the general manager of China P&I Management Co., Ltd. (中船保商務管理有限公司) since May 2016, a director of China P&I Services (Hong Kong) Limited (中國保賠服務(香港)有限公司) since May 2016, the vice chairman of Quanzhou Jinjiang COSCO Development Co., Ltd. (泉州市晉江中遠發展有限公司) since August 2016, an executive director of Shanghai Haixing Asset Management Company Limited (上海海興資產管理有限公司) since November 2016, a director of China Minsheng Banking Corp., Ltd. (中國民生銀行股份有限公司, listed on the SSE under the stock code of 600016) since March 2017, and a director of CPI Services (UK) Limited (中船保服務(英國)有限公司) since April 2017. Mr. Song Chunfeng served as a Supervisor from July 2016 to June 2019.