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## **恒 投 證 券**

# **HENGTOU SECURITIES**

*(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name “恒泰证券股份有限公司” and carrying on business in Hong Kong as “恒投證券” (in Chinese) and “HENGTOU SECURITIES” (in English))*

(the “Company”)  
(Stock Code: 01476)

### **(1) RESIGNATION OF NON-EXECUTIVE DIRECTORS AND (2) ELECTION OF EXECUTIVE DIRECTOR AND NON-EXECUTIVE DIRECTORS OF THE FOURTH SESSION OF THE BOARD**

#### **1. RESIGNATION OF NON-EXECUTIVE DIRECTORS**

The Board hereby announces that Ms. Dong Hong (“**Ms. Dong**”) has tendered her resignation as a non-executive Director of the Company due to work arrangement, with effect from the date when the appointment of a new non-executive Director is considered and approved at the EGM. The Board hereby announces that Ms. Gao Liang (“**Ms. Gao**”) has tendered her resignation as a non-executive Director of the Company due to work arrangement, with effect from the date when the appointment of a new non-executive Director is considered and approved at the EGM.

Ms. Dong and Ms. Gao have confirmed respectively that they have no disagreement with the Board and there is no other matter relating to their resignation that needs to be brought to the attention of the shareholders of the Company or the Stock Exchange.

## **2. ELECTION OF EXECUTIVE DIRECTOR AND NON-EXECUTIVE DIRECTORS OF THE FOURTH SESSION OF THE BOARD**

Pursuant to the Articles of Association, the election of Mr. Zhu Yanhui as an executive Director of the fourth session of the Board, the election of Ms. Yu Lei as a non-executive Director of the fourth session of the Board and the election of Mr. Li Ye as a non-executive Director of the fourth session of the Board were proposed by the Board. Accordingly, the following ordinary resolutions will be proposed by the Board at the EGM:

- (1) To consider and approve the election of Mr. Zhu Yanhui as an executive Director of the fourth session of the Board;
- (2) To consider and approve the election of Ms. Yu Lei as a non-executive Director of the fourth session of the Board; and
- (3) To consider and approve the election of Mr. Li Ye as a non-executive Director of the fourth session of the Board.

**The biographical details of Mr. Zhu Yanhui, who has been nominated as an executive Director, are set out below:**

Mr. Zhu Yanhui (祝豔輝先生) (“**Mr. Zhu**”), aged 48, served as an engineer and assistant to the chief engineer of the engineering department of Beijing Anhua Real Estate Company\* (北京安華房地產公司) from July 1996 to August 2000. From September 2000 to November 2002, Mr. Zhu served as an engineer of the engineering department of Beijing Chaoshifa Management Co., Ltd.\* (北京市超市發管理有限公司). From December 2002 to January 2017, Mr. Zhu successively held an office in Finance Street Holdings Co., Ltd. (金融街控股股份有限公司), Financial Street (Beijing) Real Estate Co., Ltd.\* (金融街(北京)置業有限公司) and Financial Street (Tianjin) Real Estate Co., Ltd. (金融街(天津)置業有限公司). He successively served as an engineer and the project manager of the engineering department, an assistant to the general manager and the deputy general manager of the manager office of Finance Street Holdings Co., Ltd. (金融街控股股份有限公司), as well as the general manager of the manager office and an executive director of Financial Street (Beijing) Real Estate Co., Ltd.\* (金融街(北京)置業有限公司) and Financial Street (Tianjin) Real Estate Co., Ltd. (金融街(天津)置業有限公司). From November 2017 to December 2019, Mr. Zhu served as the chairman of the supervisory committee of Greatwall Life Insurance Co., Ltd. (長城人壽保險股份有限公司). From February 2017 to October 2022, Mr. Zhu served as the secretary to the Party Committee of Greatwall Life Insurance Co., Ltd. (長城人壽保險股份有限公司). Mr. Zhu has been a director of Greatwall Life Insurance Co., Ltd. (長城人壽保險股份有限公司) since November 2021, the deputy general manager of Beijing Financial

Street Investment (Group) Co., Ltd. (北京金融街投資 (集團) 有限公司) since February 2020, and the chairman and general manager of Beijing Huarong Zonghe Investment Co., Ltd. (北京華融綜合投資有限公司) since October 2022. Mr. Zhu graduated from the Beijing Institute of Civil Engineering and Architecture (北京建築工程學院) in July 1996 with a bachelor's degree majoring in civil engineering.

Saved as disclosed above, Mr. Zhu did not hold any other positions in the Company or any of its subsidiaries, or hold any directorships in any other listed companies in the last three years. Mr. Zhu does not have any relationship with any Director, senior management or substantial shareholders of the Company, nor does he has or is deemed to have any interest or short positions in the shares, underlying shares or debentures of the Company or any of its associated corporation within the meaning of Part XV of the Securities and Futures Ordinance, Chapter 571 of the Laws of Hong Kong.

**The biographical details of Ms. Yu Lei, who has been nominated as a non-executive Director, are set out below:**

Ms. Yu Lei (于蕾女士) (“Ms. Yu”), aged 50, served as the project manager and a partner of Jilin Certified Public Accountants\* (吉林會計師事務所) from September 1994 to December 2000. From January 2001 to June 2002, Ms. Yu served as the deputy director, deputy chief accountant and a partner of Jilin Reanda Certified Public Accountants\* (吉林利安達會計師事務所). From July 2002 to October 2006, Ms. Yu served as a department manager of Beijing Reanda Xinlong Certified Public Accounts Co., Ltd.\* (北京利安達信隆會計師事務所). From November 2006 to April 2013, Ms. Yu served as the manager of the audit department of Greatwall Life Insurance Co., Ltd. (長城人壽保險股份有限公司). From April 2013 to December 2013, Ms. Yu served as the deputy general manager of the audit department of Beijing Financial Street Investment (Group) Co., Ltd. (北京金融街投資 (集團) 有限公司). Ms. Yu has been the general manager of the risk audit department of Beijing Financial Street Investment (Group) Co., Ltd. (北京金融街投資 (集團) 有限公司) since January 2014 and the chief risk officer of Beijing Financial Street Investment (Group) Co., Ltd. (北京金融街投資 (集團) 有限公司) since December 2017. Ms. Yu has been the chairman of the board of supervisors of Greatwall Wealth Insurance Asset Management Co., Ltd. (長城財富保險資產管理股份有限公司) since March 2015. Ms. Yu has been the chairman of the board of supervisors of Beijing Financial Street Group Finance Company Limited (北京金融街集團財務有限公司) since June 2015. Ms. Yu has been a director of Beijing Financial Assets Exchange Co., Ltd. (北京金融資產交易所有限公司) since January 2018. Ms. Yu has been the chairman of the supervisory committee of Beijing Huarong Zonghe Investment Co., Ltd. (北京華融綜合投資有限公司) since November 2022. Ms. Yu graduated from Changchun Taxation College (長春稅務學院) in July 1994 with a bachelor's degree majoring in accounting. Ms. Yu possesses professional qualifications including Chinese certified public accountant, certified public valuer, certified tax accountant and international certified internal auditor.

Saved as disclosed above, Ms. Yu did not hold any other positions in the Company or any of its subsidiaries, or hold any directorships in any other listed companies in the last three years. Ms. Yu does not have any relationship with any Director, senior management or substantial shareholders of the Company, nor does she has or is deemed to have any interest or short positions in the shares, underlying shares or debentures of the Company or any of its associated corporation within the meaning of Part XV of the Securities and Futures Ordinance, Chapter 571 of the Laws of Hong Kong.

**The biographical details of Mr. Li Ye, who has been nominated as a non-executive Director, are set out below:**

Mr. Li Ye (李曄先生) (“**Mr. Li**”), aged 47, served as the head of the corporate management department of Zhonglu, a subsidiary of State Development & Investment Corporation (國家開發投資總公司) from February 2002 to January 2004. From February 2004 to October 2004, Mr. Li served as the project manager of the investment department of Greencool (China) Investment Co., Ltd.\* (格林柯爾(中國)投資有限公司). From November 2004 to March 2007, Mr. Li served as an assistant to the general manager of the manager office of Tianjiao Technology Venture Capital Co., Ltd.\* (天驕科技創業投資有限公司) and presided over the company’s work. From April 2007 to December 2010, Mr. Li held investment management role in the asset management department of Beijing Huarong Zonghe Investment Co., Ltd. (北京華融綜合投資有限公司) (formerly known as Beijing Huarong Zonghe Investment Company (北京華融綜合投資公司)). From January 2011 to December 2016, Mr. Li successively held roles of investment management and deputy general manager in the asset management department of Beijing Financial Street Investment (Group) Co., Ltd. (北京金融街投資(集團)有限公司). Mr. Li served as an executive director and general manager of Beijing Huarong Zonghe Investment Co., Ltd. (北京華融綜合投資有限公司) from December 2020 to October 2022. Mr. Li has been the general manager of the asset management department of Beijing Financial Street Investment (Group) Co., Ltd. (北京金融街投資(集團)有限公司) since January 2017 and the investment director of the manager office of Beijing Financial Street Investment (Group) Co., Ltd. (北京金融街投資(集團)有限公司) since December 2017. Mr. Li served as a director of Greatwall Wealth Insurance Asset Management Co., Ltd (長城財富保險資產管理股份有限公司) since December 2019. Mr. Li served as a director of Beijing Huarong Infrastructure Investment Co., Ltd. (北京華融基礎設施投資有限責任公司) since November 2020. Mr. Li has been a director and deputy general manager of Beijing Huarong Zonghe Investment Co., Ltd. (北京華融綜合投資有限公司) since October 2022. Mr. Li graduated from Shandong Agricultural University (山東農業大學) in July 1997 with a bachelor’s degree majoring in agricultural economics and management and from Renmin University of China (中國人民大學) in July 2002 with a master’s degree majoring in agricultural economy management.

Saved as disclosed above, Mr. Li did not hold any other positions in the Company or any of its subsidiaries, or hold any directorships in any other listed companies in the last three years. Mr. Li does not have any relationship with any Director, senior management or substantial shareholders of the Company, nor does he has or is deemed to have any interest or short positions in the shares, underlying shares or debentures of the Company or any of its associated corporation within the meaning of Part XV of the Securities and Futures Ordinance, Chapter 571 of the Laws of Hong Kong.

The terms of office of Mr. Zhu Yanhui, Ms. Yu Lei and Mr. Li Ye will commence from the date of consideration and approval at the EGM until the expiration of the term of office of the fourth session of the Board. The Company will enter into service agreements with the above nominated Directors.

Mr. Zhu Yanhui, an executive Director, will not receive any director's fee from the Company. Ms. Yu Lei and Mr. Li Ye, both of whom are non-executive Directors, will receive director's fee in accordance with the prevailing non-executive director's fee standards of the Company.

Save as disclosed above, the Board is not aware of any other matters in relation to the election of Mr. Zhu Yanhui, Ms. Yu Lei and Mr. Li Ye that need to be brought to the attention of the Shareholders or any information that need to be disclosed pursuant to the requirement of Rules 13.51(2)(h) to 13.51(2)(v) of the Listing Rules.

### **3. THE EGM AND CLOSURE OF REGISTER OF MEMBERS**

The EGM will be held on Wednesday, 7 December 2022.

A circular of the EGM containing the election of Mr. Zhu Yanhui as an executive Director of the fourth session of the Board, the election of Ms. Yu Lei as a non-executive Director of the fourth session of the Board and the election of Mr. Li Ye as a non-executive Director of the fourth session of the Board, together with the relevant form of proxy for the EGM will be despatched to the Shareholders in due course.

In order to determine the entitlement to attend and vote at the EGM, the register of members of the Company will be closed from Friday, 2 December 2022 to Wednesday, 7 December 2022 (both days inclusive) during which period no transfer of shares will be effected. Shareholders whose names appear on the register of members of the Company on Wednesday, 7 December 2022 shall be entitled to attend the EGM. In order to be eligible to attend and vote at the EGM, all transfer documents accompanied by the relevant share certificates must be lodged with the Company's head office in the PRC at 11/F, China Life Centre, No. 17 Finance Street, Xicheng District, Beijing, the PRC (for holders of Domestic Shares), or the Company's H share registrar, Computershare Hong Kong Investor Services Limited, at Shops 1712–1716, 17th Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong (for holders of H Shares) no later than 4:30 p.m. on Thursday, 1 December 2022 for registration.

#### 4. DEFINITIONS

Unless the context otherwise requires, the following expressions in this announcement shall have the following meanings:

“Articles of Association”	the articles of association of the Company as amended from time to time
“Board”	the board of Directors of the Company
“Company”	a joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name “恒泰证券股份有限公司” and carrying on business in Hong Kong as “恒投證券” (in Chinese) and “HENGTOU SECURITIES” (in English)
“Director(s)”	the director(s) of the Company
“Domestic Share(s)”	ordinary share(s) issued by the Company with a nominal value of RMB1.00 each in the share capital of the Company, which are subscribed for or credited as paid in Renminbi
“EGM”	the 2022 third extraordinary general meeting of the Company to be held at the meeting room of 11/F, China Life Centre, No. 17 Finance Street, Xicheng District, Beijing, the PRC at 9:00 a.m. on Wednesday, 7 December 2022

“H Share(s)”	ordinary share(s) issued by the Company with a nominal value of RMB1.00 each in the share capital of the Company, which are subscribed for and traded in HK\$ and listed on the Stock Exchange
“HK\$”	Hong Kong dollars, the lawful currency of Hong Kong
“Hong Kong”	the Hong Kong Special Administrative Region of the PRC
“PRC”	the People’s Republic of China, for the purpose of this announcement, excluding Hong Kong, the Macau Special Administrative Region of the PRC and Taiwan
“RMB”	Renminbi, the lawful currency of the PRC
“Share(s)”	the Domestic Share(s) and the H Share(s)
“Shareholder(s)”	holder(s) of the Share(s)
“Stock Exchange”	The Stock Exchange of Hong Kong Limited

By order of the Board  
**Wu Yigang**  
*Acting Chairman*

Beijing, the PRC  
17 November 2022

*As at the date of this announcement, the Board comprises Mr. Wu Yigang as executive Director; Mr. Yu Lei, Mr. Wang Linjing, Ms. Dong Hong and Ms. Gao Liang as non-executive Directors; Dr. Lam Sek Kong, Mr. Xie Deren and Mr. Dai Genyou as independent non-executive Directors.*