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*(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name "东方证券股份有限公司" and carrying on business in Hong Kong as "東方證券" (in Chinese) and "DFZQ" (in English))*

**(Stock Code: 03958)**

## **INDICATIVE ANNOUNCEMENT ON RELEVANT PRECAUTIONS FOR ATTENDING ANNUAL GENERAL MEETING DURING THE PANDEMIC PREVENTION AND CONTROL PERIOD**

References are made to the notice of 2021 AGM and the form of proxy for use at the AGM dated June 2, 2022, and the circular of the AGM dated June 10, 2022 (the "**Circular**") of 東方證券股份有限公司 (the "**Company**"). Unless otherwise defined, capitalised terms used herein shall have the same meanings as those defined in the Circular.

According to the prevailing requirements of pandemic prevention and control, the Company would like to remind the Shareholders of matters that require their attention when attending the AGM.

### **I. RECOMMENDATION TO CHOOSE THE VOTING METHOD IN PRIORITY BY APPOINTMENT OF THE CHAIRMAN OF THE MEETING (FOR A SHAREHOLDERS AND H SHAREHOLDERS) OR BY NETWORK VOTING (FOR A SHAREHOLDERS ONLY) TO PARTICIPATE IN THE MEETING**

In view of the recent recurrence of the COVID-19 pandemic, to support pandemic prevention and control, protect the health and safety of the attending Shareholders, their proxies and other attendees, and reduce crowd gathering, public health risks and personal infection risks, Shareholders are recommended to participate in the AGM by way of appointing the chairman of the meeting as proxy (for A Shareholders and H Shareholders) or internet voting (for A Shareholders).

For any questions on the participation in the AGM or related resolutions, Shareholders may send emails to the Company's investor relations mailbox at [ir@orientsec.com.cn](mailto:ir@orientsec.com.cn), which will be answered by the Company.

## II. PANDEMIC PREVENTION ARRANGEMENTS TO BE FOLLOWED BY SHAREHOLDERS AND THEIR PROXIES ATTENDING THE PHYSICAL MEETING

1. The physical meeting of the AGM will be held in Huangpu District, Shanghai, and Shareholders attending the physical meeting are advised to pay attention to and strictly comply with the rules and requirements of Shanghai and Xiaodongmen Subdistrict in Huangpu District regarding health declaration, quarantine and observation during pandemic prevention and control period. In order to strictly implement the pandemic prevention and control requirements of the relevant governmental departments, the Company will take appropriate pandemic prevention and control measures for Shareholders attending the physical meeting under the guidance and supervision of the relevant governmental departments. Shareholders attending the physical meeting will be required to present a negative result in nucleic acid test within 72 hours, the “Shanghai QR Code” and the “Venue QR Code”, have their body temperature checked and complete an on-site antigen test, in addition to bringing their relevant identification and attendance materials. Shareholders who show symptoms such as fever or do not comply with the pandemic prevention and control requirements will be denied entry to the venue of the AGM.
2. To facilitate the overall management of the meeting, Shareholders and their proxies are advised to send the “Pre-registration Form for Attendance at the 2021 AGM of 東方證券股份有限公司” (see annex) to the Company’s investor relations mailbox at ir@orientsec.com.cn before 17:00 on June 29, 2022.
3. Save for the above arrangements, the venue, time, record date, resolutions to be considered and other matters of the AGM of the Company remain unchanged.

## III. CONTACT DETAILS

Please find the contact details regarding the AGM as follows:

**Correspondence address:** Board office of 東方證券股份有限公司, 11/F, No. 119 South Zhongshan Road, Shanghai (Postal code: 200010)

**Telephone:** +86 21 63326373

**Fax:** +86 21 63326010

By order of the Board of Directors  
**JIN Wenzhong**  
Chairman

Shanghai, PRC  
June 27, 2022

*As at the date of this announcement, the Board of Directors comprises Mr. SONG Xuefeng and Mr. JIN Wenzhong as executive Directors; Mr. YU Xuechun, Mr. ZHOU Donghui, Mr. CHENG Feng, Mr. REN Zhixiang and Ms. ZHU Jing as non-executive Directors; and Mr. XU Zhiming, Mr. JIN Qinglu, Mr. WU Hong, Mr. FENG Xingdong and Mr. LUO Xinyu as independent non-executive Directors.*

ANNEX:

**Pre-registration Form for Attendance at the 2021 AGM of  
東方證券股份有限公司**

No.	Item	Content
1	Name of Shareholder/proxy	
2	Power of attorney (if any)	
3	Business licence number (or identity document number of Shareholder/proxy)	
4	Account number of Shareholder	
5	Number of shares held as of June 27, 2022	
6	Attendee and current location (province, city)	
7	Contact (mobile)	
8	E-mail	
9	Matters to be addressed	