



## Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in Securities

For the month ended: 30 November 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer: Alibaba Health Information Technology Limited (Incorporated in Bermuda with limited liability)

Date Submitted: 06 December 2021

### I. Movements in Authorised / Registered Share Capital

|                                     |  |                 |                |                                     |             |  |
|-------------------------------------|--|-----------------|----------------|-------------------------------------|-------------|--|
| 1. Type of shares                   | Ordinary shares                        | Class of shares | Not applicable | Listed on SEHK (Note 1)             | Yes         |  |
| Stock code                          | 00241                                  | Description     |                |                                     |             |  |
|                                     | Number of authorised/registered shares | Par value       |                | Authorised/registered share capital |             |  |
| Balance at close of preceding month | 20,000,000,000                         | HKD             | 0.01           | HKD                                 | 200,000,000 |  |
| Increase / decrease (-)             | 0                                      |                 |                | HKD                                 | 0           |  |
| Balance at close of the month       | 20,000,000,000                         | HKD             | 0.01           | HKD                                 | 200,000,000 |  |

Total authorised/registered share capital at the end of the month: HKD 200,000,000

**II. Movements in Issued Shares**

|                                     |                 |                 |                |                         |     |  |
|-------------------------------------|-----------------|-----------------|----------------|-------------------------|-----|--|
| 1. Type of shares                   | Ordinary shares | Class of shares | Not applicable | Listed on SEHK (Note 1) | Yes |  |
| Stock code                          | 00241           | Description     |                |                         |     |  |
| Balance at close of preceding month | 13,489,819,792  |                 |                |                         |     |  |
| Increase / decrease (-)             | 348,000         |                 |                |                         |     |  |
| Balance at close of the month       | 13,490,167,792  |                 |                |                         |     |  |

### III. Details of Movements in Issued Shares

#### (A). Share Options (under Share Option Schemes of the Issuer)

| 1. Type of shares issuable  | Ordinary shares   | Class of shares           | Not applicable | Shares issuable to be listed on SEHK (Note 1)             | Yes  |   |  |
|---|---|---------------------------|----------------|---|--|---|--|
| Stock code of shares issuable (if listed on SEHK) (Note 1)  | 00241   |                           |                |   |  |   |  |
| Particulars of share option scheme  | Number of share options outstanding at close of preceding month | Movement during the month |                | Number of share options outstanding at close of the month | No. of new shares of issuer issued during the month pursuant thereto (A) | No. of new shares of issuer which may be issued pursuant thereto as at close of the month | The total number of securities which may be issued upon exercise of all share options to be granted under the scheme at close of the month |
|   |   | Exercised                 | Cancelled      |   |  |   |  |
| 1). Share Award Scheme adopted on 24/11/2014 and the Specific Mandates granted on 30/09/2015 and were subsequently renewed in 2016, 2017, 2018, 2019, 2020 and 2021 | 15,533,250  | Exercised                 | -348,000       | 15,049,000  | 348,000  | 15,049,000  | 404,676,286  |
|   |   | Cancelled                 | -136,250       |   |  |   |  |
| General Meeting approval date (if applicable)   | 30 July 2021  |                           |                |   |  |   |  |

Total A (Ordinary shares): 348,000

Total funds raised during the month from exercise of options: HKD 1,363,692

**(B). Warrants to Issue Shares of the Issuer which are to be Listed** Not applicable

**(C). Convertibles (i.e. Convertible into Issue Shares of the Issuer which are to be Listed)** Not applicable

**(D). Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be listed, including Options (other than Share Options Schemes)**

|  |  |                 |   |  |   |  |
|--|--|-----------------|---|--|---|--|
| 1. Type of shares issuable                                 | Ordinary shares  | Class of shares | Not applicable                                | Shares issuable to be listed on SEHK (Note 1)                            | Yes   |  |
| Stock code of shares issuable (if listed on SEHK) (Note 1) |  | 00241           |   |  |   |  |
| Description  |  |                 | General Meeting approval date (if applicable) | No. of new shares of issuer issued during the month pursuant thereto (D) | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |  |
| 1).  | Grant of 40,753,576 Restricted Share Units on 8 June 2018 pursuant to the Specific Share Award Mandate. (Remark 1)         |                 | 26 July 2017                                  | 0  | 6,665,916   |  |
| 2).  | Grant of 16,698,000 Restricted Share Units on 31 July 2018 pursuant to the Specific Share Award Mandate. (Remark 2)        |                 | 20 July 2018                                  | 0  | 2,182,250   |  |
| 3).  | Grant of 8,363,864 Restricted Share Units on 10 October 2018 pursuant to the Specific Share Award Mandate. (Remark 3)      |                 | 20 July 2018                                  | 0  | 650,963   |  |
| 4).  | Grant of 7,325,754 Restricted Share Units on 31 January 2019 pursuant to the Specific Share Award Mandate. (Remark 4)      |                 | 20 July 2018                                  | 0  | 577,232   |  |
| 5).  | Grant of 28,770,332 Restricted Share Units on 14 June 2019 pursuant to the Specific Share Award Mandate. (Remark 5)        |                 | 20 July 2018                                  | 0  | 7,202,864   |  |
| 6).  | Grant of 9,107,763 Restricted Share Units on 2 August 2019 pursuant to the Specific Share Award Mandate. (Remark 6)        |                 | 10 July 2019                                  | 0  | 3,684,841   |  |
| 7).  | Grant of 2,299,744 Restricted Share Units on 18 September 2019 pursuant to the Specific Share Award Mandate. (Remark 7)    |                 | 10 July 2019                                  | 0  | 266,948   |  |
| 8).  | Grant of 4,459,871 Restricted Share Units on 24 February 2020 pursuant to the Specific Share Award Mandate. (Remark 8)     |                 | 10 July 2019                                  | 0  | 528,994   |  |
| 9).  | Grant of 3,070,383 Restricted Share Units on 16 March 2020 pursuant to the Specific Share Award Mandate. (Remark 9)        |                 | 10 July 2019                                  | 0  | 1,495,409   |  |
| 10).   | Grant of 16,213,032 Restricted Share Units on 15 June 2020 pursuant to the Specific Shares Award Mandate. (Remark 10)      |                 | 10 July 2019                                  | 0  | 9,444,575   |  |
| 11).   | Grant of 4,259,476 Restricted Share Units on 15 September 2020 pursuant to the Specific Shares Award Mandate. (Remark 11)  |                 | 30 July 2020                                  | 0  | 3,102,522   |  |
| 12).   | Grant of 1,966,950 Restricted Share Units on 15 December 2020 pursuant to the Specific Shares Award Mandate. (Remark 12)   |                 | 30 July 2020                                  | 0  | 1,681,950   |  |
| 13).   | Grant of 1,708,000 Restricted Share Units on 15 March 2021 pursuant to the Specific Shares Award Mandate. (Remark 13)      |                 | 30 July 2020                                  | 0  | 1,275,000   |  |
| 14).   | Grant of 18,587,600 Restricted Share Units on 15 June 2021 pursuant to the Specific Shares Award Mandate. (Remark 14)      |                 | 30 July 2020                                  | 0  | 17,105,300  |  |
| 15).   | Grant of 10,826,922 Restricted Share Units on 15 September 2021 pursuant to the Specific Shares Award Mandate. (Remark 15) |                 | 30 July 2021                                  | 0  | 10,769,922  |  |

Total D (Ordinary shares): 0

## Remarks:

(Remark 1) For details, please refer to the Company's announcements dated 26 July 2017 and 8 June 2018.

(Remark 2) For details, please refer to the Company's announcements dated 20 July 2018 and 31 July 2018.

(Remark 3) For details, please refer to the Company's announcements dated 20 July 2018 and 10 October 2018.

(Remark 4) For details, please refer to the Company's announcements dated 20 July 2018 and 31 January 2019.

(Remark 5) For details, please refer to the Company's announcements dated 20 July 2018 and 14 June 2019.

(Remark 6) For details, please refer to the Company's announcements dated 10 July 2019 and 2 August 2019.

(Remark 7) For details, please refer to the Company's announcements dated 10 July 2019 and 18 September 2019.

(Remark 8) For details, please refer to the Company's announcements dated 10 July 2019 and 24 February 2020.

(Remark 9) For details, please refer to the Company's announcements dated 10 July 2019 and 16 March 2020.

(Remark 10) For details, please refer to the Company's announcements dated 10 July 2019, 15 June 2020 and 17 June 2020.

(Remark 11) For details, please refer to the Company's announcements dated 30 July 2020 and 15 September 2020.

(Remark 12) For details, please refer to the Company's announcements dated 30 July 2020 and 15 December 2020.

(Remark 13) For details, please refer to the Company's announcements dated 30 July 2020 and 15 March 2021.

(Remark 14) For details, please refer to the Company's announcements dated 30 July 2020, 15 June 2021 and 30 June 2021.

(Remark 15) For details, please refer to the Company's announcements dated 30 July 2021 and 15 September 2021.

**(E). Other Movements in Issued Share** Not applicable

Total increase / decrease (-) in Ordinary shares during the month (i.e. Total of A to E)

348,000

**IV. Information about Hong Kong Depositary Receipt (HDR)** Not applicable

## V. Confirmations

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III and IV which has not been previously disclosed in a return published under Main Board Rule 13.25A / GEM Rule 17.27A, it has been duly authorised by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

- (i) all money due to the listed issuer in respect of the issue of securities has been received by it;
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 3);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

Submitted by: LEE Wai Yan, Vivian

Title: Company Secretary

(Director, Secretary or other Duly Authorised Officer)

### Notes

1. SEHK refers to Stock Exchange of Hong Kong.
2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under Main Board Rule 13.25A / GEM Rule 17.27A in relation to the securities issued, no further confirmation is required to be made in this return.

3. "Identical" means in this context:
  - . the securities are of the same nominal value with the same amount called up or paid up;
  - . they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
  - . they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
4. If there is insufficient space, please submit additional document.
5. In the context of repurchase of shares:
  - . "shares issuable to be listed on SEHK" should be construed as "shares repurchased listed on SEHK"; and
  - . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares repurchased (if listed on SEHK)"; and
  - . "type of shares issuable" should be construed as "type of shares repurchased"; and
  - . "issue and allotment date" should be construed as "cancellation date"
6. In the context of redemption of shares:
  - . "shares issuable to be listed on SEHK" should be construed as "shares redeemed listed on SEHK"; and
  - . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares redeemed (if listed on SEHK)"; and
  - . "type of shares issuable" should be construed as "type of shares redeemed"; and
  - . "issue and allotment date" should be construed as "redemption date"