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国联证券股份有限公司
GUOLIAN SECURITIES CO., LTD.

(a joint stock limited company established in the People's Republic of China with limited liability)

(Stock Code: 01456)

**ANNOUNCEMENT
POLL RESULTS OF
THE THIRD EXTRAORDINARY GENERAL MEETING
FOR THE YEAR 2021
AMENDMENTS TO ARTICLES OF ASSOCIATION**

Reference is made to the circular (the “**Circular**”) of Guolian Securities Co., Ltd. (the “**Company**”) dated 11 November 2021 in relation to, among others, the Third Extraordinary General Meeting for the Year 2021 (the “**EGM**”) of the Company convened on 1 December 2021. Unless otherwise defined herein, capitalised terms used in this announcement shall have the same meanings as those defined in the Circular.

The EGM was held at 2:00 p.m. on Wednesday, 1 December 2021 at the conference room at 4th Floor, No. 8 Jinrong One Street, Wuxi, Jiangsu Province, the PRC. The EGM was chaired by Mr. Yao Zhiyong, the Chairman of the Company. The convening procedures of the EGM was in accordance with the relevant laws and regulations of the PRC, the Articles of Association and the Listing Rules.

POLL RESULTS OF THE EGM

The holders of a total number of 2,831,773,168 Shares (comprising 2,389,133,168 A Shares and 442,640,000 H Shares), representing 100% of the total issued share capital of the Company, were entitled to attend and vote on the resolutions considered at the EGM. No Shareholder was required under the Listing Rules to give up the voting rights or abstain from voting in favour of the resolutions at the EGM, and no person has indicated in the Circular his/her intention to vote against or abstain from voting on any of the resolutions proposed at the EGM. The Shareholders and their proxies who attended the EGM hold a total of 1,440,511,891 Shares, representing 50.869607% of the total issued share capital of the Company.

The voting was taken by poll at the EGM. The poll results in respect of the resolutions proposed at the EGM are as follows:

Ordinary Resolution		For		Against		Abstain	
		Number of Votes	Percent (%)	Number of Votes	Percent (%)	Number of Votes	Percent (%)
1.	Resolution on purchasing liability insurance for Directors, Supervisors and senior management;	1,440,347,591	99.988594	50,700	0.003520	113,600	0.007886
Special Resolutions		For		Against		Abstain	
		Number of Votes	Percent (%)	Number of Votes	Percent (%)	Number of Votes	Percent (%)
2.	Resolution on applying for expanding the business scope of the Company;	1,440,467,891	99.996946	44,000	0.003054	0	0.000000
3.	Resolution on change of registered capital; and	1,440,467,891	99.996946	44,000	0.003054	0	0.000000
4.	Resolution on amendments to the Articles of Association and its attachments						
4.1	Amendments to the Articles of Association;	1,431,816,213	99.396348	8,695,678	0.603652	0	0.000000
4.2	Amendments to the Rules of Procedures of the Board;	1,440,467,891	99.996946	44,000	0.003054	0	0.000000
4.3	Amendments to the Rules of Procedures of the Supervisory Committee.	1,440,467,891	99.996946	44,000	0.003054	0	0.000000

The full texts of the aforesaid resolutions were set out in the Circular.

As more than half of the votes were cast in favour of the ordinary resolution No.1, and more than two thirds of the votes were cast in favour of the special resolutions No. 2 to No.4, each of the aforesaid resolutions of the Company was duly passed. The poll results were legally valid.

Representatives of Computershare Hong Kong Investor Services Limited (the H Share registrar of the Company), Shareholder representatives of the Company, the Supervisor of the Company and the PRC legal advisor of the Company, acted as vote counters scrutineers for the purpose of vote-taking of the poll at the EGM.

AMENDMENTS TO ARTICLES OF ASSOCIATION

The Company is pleased to announce that, the amendments to the Articles of Association were duly approved by the Shareholders at the EGM, and the amended Articles of Association is effective from 1 December 2021.

By order of the Board
Guolian Securities Co., Ltd.
Yao Zhiyong
Chairman

Wuxi, Jiangsu Province, the PRC
1 December 2021

As of the date of this announcement, the executive Director of the Company is Mr. Ge Xiaobo; the non-executive Directors of the Company are Mr. Yao Zhiyong, Mr. Hua Weirong, Mr. Zhou Weiping, Mr. Liu Hailin and Mr. Zhang Weigang; and the independent non-executive Directors of the Company are Mr. Lu Yuanzhu, Mr. Wu Xingyu and Mr. Chu, Howard Ho Hwa.