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*(A joint stock company incorporated in the People's Republic of China with limited liability)*  
**(Stock Code: 6806)**

**POLL RESULTS OF THE 2021 FIRST  
EXTRAORDINARY GENERAL MEETING  
APPOINTMENT OF NON-EXECUTIVE DIRECTOR  
APPOINTMENT OF SUPERVISOR  
AND  
ELECTION OF THE CHAIRMAN OF THE BOARD  
OF SUPERVISORS AT THE MEETING OF THE BOARD  
OF SUPERVISORS**

**THE 2021 FIRST EXTRAORDINARY GENERAL MEETING**

Reference is made to the circular of Shenwan Hongyuan Group Co., Ltd. (the “**Company**”) dated September 30, 2021 (the “**Circular**”) in relation to the 2021 first extraordinary general meeting (the “**EGM**”). Unless otherwise indicated, capitalized terms used in this announcement shall have the same meaning as those defined in the Circular.

**CONVENING AND ATTENDANCE OF THE MEETING**

The EGM of the Company was held at 2:30 p.m. on Friday, October 22, 2021 at the Company's conference room, No. 19, Taipingqiao Street, Xicheng District, Beijing, the PRC. The EGM was convened by the Board and presided over by Mr. Chu Xiaoming, the chairman of the Board. Voting for the resolutions submitted to the Shareholders at the EGM was conducted by poll, which includes both onsite voting by poll and, for A Shareholders only, online voting by poll. Representatives of Shareholders of the Company, representatives of Supervisors, representatives of Beijing Alliance J&S Law Firm, the PRC legal adviser of the Company, and Computershare Hong Kong Investor Services Limited, the Company's H Share registrar, were appointed by the Company as the scrutineers for the EGM.

The convening and holding procedures of the EGM were in compliance with the requirements of the applicable laws and regulations of the PRC and the Articles of Association. 5 Directors, 3 Supervisors, and the secretary to the Board of the Company attended the EGM, and senior management of the Company also observed the EGM.

The total number of issued Shares of the Company as at the date of the EGM was 25,039,944,560, comprising 22,535,944,560 A Shares and 2,504,000,000 H Shares, which was the total number of eligible Shares that entitled the Shareholders to attend and vote for or against or abstain from voting on the resolutions proposed at the EGM. As at the date of this announcement, to the best knowledge, information and belief of the Directors, there was no restriction on any Shareholders casting votes on the proposed resolutions (the “**Proposed Resolutions**”) at the EGM. There was no Shares held by any Shareholders entitling the holders thereof to attend the EGM but to abstain from voting for the resolutions at the EGM pursuant to Rule 13.40 of the Listing Rules, and no Shareholder was required under the Listing Rules to abstain from voting. No party had stated its intention in the Circular to vote against or to abstain from voting on any of the Proposed Resolutions.

Details for the attendance of the Shareholders and their authorized proxies at the EGM are set out as follows:

<b>Number of Shareholders and authorized proxies attending the EGM</b>	55
Of which: Number of A Shareholders	54
Number of H Shareholders	1
<b>Total number of the voting Shares held by the attendees</b>	15,566,609,080
Of which: Total number of Shares held by A Shareholders	15,492,755,877
Total number of Shares held by H Shareholders	73,853,203
<b>Percentage of the total number of voting Shares of the Company</b>	62.1671%
Of which: Percentage of the voting Shares held by A Shareholders to the total number of voting Shares	61.8722%
Percentage of the voting Shares held by H Shareholders to the total number of voting Shares	0.2949%

## Poll Results

The poll results in respect of the Proposed Resolutions are set out as follows:

No.	Ordinary Resolutions	Number of Votes & Percentage of the Total Voting Shares		
		For	Against	Abstain
1.	To consider and approve the resolution regarding the election of Ms. Zhang Ying as a non-executive Director of the fifth session of the Board of Directors of the Company	15,550,442,310 (99.8961%)	16,166,770 (0.1039%)	0 (0.0000%)
2.	To consider and approve the resolution regarding the election of Mr. Fang Rongyi as a Supervisor of the fifth session of the Board of Supervisors of the Company	15,540,363,422 (99.8314%)	26,245,558 (0.1686%)	100 (0.0000%)
3.	To consider and approve the resolution regarding the adjustment to remuneration of the independent non-executive Directors of the Company	15,565,842,810 (99.9951%)	766,270 (0.0049%)	0 (0.0000%)

As more than half of the votes were cast in favor of each of No. 1 to No. 3 ordinary resolutions above, all resolutions aforementioned were duly passed at the EGM. Apart from the above resolutions, no new proposal was submitted for voting and approval.

Please refer to the Circular for details of the resolutions. The Circular can be downloaded from the HKExnews website ([www.hkexnews.hk](http://www.hkexnews.hk)) and the Company's website ([www.swhygh.com](http://www.swhygh.com)).

## Witness by Lawyers

The Company engaged Beijing Alliance J&S Law Firm, the PRC legal adviser, to certify the EGM. Beijing Alliance J&S Law Firm was of the opinion that the convening and holding procedures of the EGM were in compliance with the requirements of the applicable laws and regulations of the PRC and the Articles of Association, and the qualifications of the convener and the attendees of the meeting, the voting procedures of the meeting and the poll results were lawful and valid.

## **APPOINTMENT OF NON-EXECUTIVE DIRECTOR**

Ms. Zhang Ying (“**Ms. Zhang**”) was elected as a non-executive Director of the fifth session of the Board of the Company at the EGM, with the term of office commencing from October 22, 2021 and expiring at the end of the fifth session of the Board. Pursuant to the Articles of Association, Ms. Zhang is eligible for re-election after her term of office expires.

The biographical details of Ms. Zhang and other information that shall be disclosed as required by Rule 13.51(2) of the Listing Rules were set out in the Circular. As of the date of this announcement, there has been no changes in relevant information.

## **APPOINTMENT OF SUPERVISOR**

Mr. Fang Rongyi (“**Mr. Fang**”) was elected as a Supervisor of the fifth session of the Board of Supervisors of the Company at the EGM, with the term of office commencing from October 22, 2021 and expiring at the end of the fifth session of the Board of Supervisors. Pursuant to the Articles of Association, Mr. Fang is eligible for re-election after his term of office expires.

As of the date of this announcement, the update on biographical information of Mr. Fang is as follows:

Mr. Fang has been the deputy general manager, a member of the executive committee, chief financial officer, and secretary to the board of directors of Shenwan Hongyuan Securities Co., Ltd. from January 2021 to September 2021; he has served as the supervisor and the chairman of the board of supervisors of Shenwan Hongyuan Securities Co., Ltd. since September 2021.

Save as the update above, the biographical details of Mr. Fang and other information that shall be disclosed as required by Rule 13.51(2) of the Listing Rules were set out in the Circular.

## **ELECTION OF THE CHAIRMAN OF THE BOARD OF SUPERVISORS AT THE MEETING OF THE BOARD OF SUPERVISORS**

It was resolved to elect Mr. Fang as the chairman of the fifth session of the Board of Supervisors of the Company at the 4th meeting of the fifth session of the Board of Supervisors of the Company held immediately after the EGM, with the term of office commencing from October 22, 2021 and expiring at the end of the fifth session of the Board of Supervisors.

By order of the Board  
**Shenwan Hongyuan Group Co., Ltd.**  
**Chu Xiaoming**  
*Chairman*

Beijing, the PRC  
October 22, 2021

*As at the date of this announcement, the Board comprises Mr. Chu Xiaoming and Mr. Huang Hao as executive directors; Ms. Ge Rongrong, Mr. Ren Xiaotao, Mr. Zhang Yigang, Mr. Zhu Zhilong and Ms. Zhang Ying as non-executive directors; Ms. Yeung Siuman Shirley, Mr. Wu Changqi, Mr. Chen Hanwen and Mr. Zhao Lei as independent non-executive directors.*